

# MATH 140A: FOUNDATIONS OF REAL ANALYSIS I

## SUMMARY OF KEY FACTS FOR EXAM 2

TODD KEMP

Throughout, reference numbers (like Definition 2.18 and Proposition 2.21) refer to the course lecture notes, also available on the website.

### lim sup, lim inf, and the Extended Reals $\overline{\mathbb{R}}$

- (1) Definition of lim sup and lim inf (Definition 2.18).
- (2) The limit exists iff  $\limsup = \liminf$  (Proposition 2.21).
- (3) The lim sup is the largest subsequential limit; the lim inf is the smallest subsequential limit (Theorem 2.24).
- (4) The Bolzano-Weierstrass Theorem (Theorem 2.25): every bounded subsequence in  $\mathbb{R}$  contains a convergent subsequence.
- (5) The extended real numbers  $\overline{\mathbb{R}} = \mathbb{R} \cup \{\pm\infty\}$ .

### Complex Numbers

- (1) Construction of  $\mathbb{C}$  using matrices (Definition 3.7).
- (2) Definition and properties of the complex modulus (Definition 3.9 and Lemma 3.10).
- (3) Convergent and Cauchy sequences in  $\mathbb{C}$  (Definition 3.11 and Theorem 3.12).
- (4) Convergence and Cauchy in terms of Real and Imaginary parts (Proposition 3.13).
- (5) Cauchy completeness (Theorem 3.14) and Bolzano-Weierstrass theorem (Theorem 3.15).

### Series

- (1) Definition and convergence (Definition 4.2).
- (2) Geometric series (Example 4.3).
- (3) Harmonic series (Example 4.5).
- (4) Cauchy criterion for convergence (Proposition 4.6).
- (5) If  $\sum a_n$  converges then  $a_n \rightarrow 0$  (Corollary 4.7).
- (6) Comparison test (Theorem 4.8).
- (7) Lacunary series (Proposition 4.10);  $\sum \frac{1}{n^p}$  converges iff  $p > 1$  (Example 4.11).
- (8) Root test (Theorem 4.12) and Ratio test (Theorem 4.15).
- (9) The number  $e$  (Example 4.19, Lemma 4.20, Proposition 4.21).
- (10) Absolute convergence (Definition 4.22); implies convergence (Lemma 4.23).
- (11) Alternating series test (Proposition 4.24).
- (12) Alternating harmonic series (Example 4.25).
- (13) Rearrangements (Theorem 4.26).

### Metric Spaces

- (1) Definition of a metric (Definition 5.1).
- (2)  $p$ -metrics on  $\mathbb{R}^n$  and  $\mathbb{C}^n$  for  $1 \leq p \leq \infty$  (Example 5.2(3)).

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- (3) Discrete metrics (Example 5.2(6)).
- (4) Balls (Definition 5.3).
- (5) Convergent and Cauchy sequences in a metric space (Definition 5.5).
- (6) Limit points and isolated points (Definition 5.7).
- (7) Closed sets (Definition 5.9); in terms of closure under limits (Proposition 5.11).
- (8) Open sets (Definition 5.12).
- (9) Balls are open sets (Proposition 5.14).
- (10) A set is open iff its complement is closed (Proposition 5.15).
- (11) Closure, interior, and boundary (Definition 5.17).
- (12) Compact sets (Definition 5.22).

### Some Useful Hints.

- (1) If  $\emptyset \neq A \subset \mathbb{R}$  is bounded above and  $\alpha \in \mathbb{R}$ , to show that  $\alpha = \sup A$  it is necessary and sufficient to show two things: that  $\alpha$  is an upper bound for  $A$ , and given any  $x < \alpha$  there is some element  $a \in A$  with  $a \geq x$ . Similarly, if  $A$  is bounded below and  $\beta \in \mathbb{R}$ , to show  $\beta = \inf A$  it is necessary and sufficient to show two things: that  $\beta$  is a lower bound for  $A$ , and given any  $y > \beta$  there is some element  $b \in A$  with  $b \leq y$ .
- (2) If  $\emptyset \neq A \subset \mathbb{R}$  is bounded above and  $\alpha = \sup A$ , there exists an increasing sequence  $a_n \in A$  such that  $a_n \rightarrow \alpha$ . Similarly, if  $A$  is bounded below and  $\beta = \inf A$ , there exists a decreasing sequence  $b_n \in A$  such that  $b_n \rightarrow \beta$ .
- (3) Statements about  $\sup$  and  $\inf$ , or about  $\limsup$  and  $\liminf$ , can be (carefully!) interchanged by multiplying by  $-1$ :  $\sup(-E) = -\inf(E)$ , and  $\limsup_{n \rightarrow \infty}(-a_n) = -\liminf_{n \rightarrow \infty} a_n$ .
- (4) The Root test and Ratio test are not subtle tools. They essentially work by comparison to a geometric series, which converges or diverges *fast*. They can be useful for analyzing series whose terms have complicated expressions; but series that either converge or diverge slowly will fall under the “no information” case in these tests.
- (5) Typically, the only property of a putative metric that is difficult to verify is the triangle inequality. This is no accident: by far the most important and most commonly used property of metrics is the triangle inequality.
- (6) This is one of the rare times when it pays to do rote memorization in mathematics. You should have the definitions of *limit point*, *isolated point*, *interior*, *closure*, *boundary*, *closed*, *open* at your fingertips.