

# Binomial Theorem Goal: $(1+x)^m$ , $m$ rational

Lemma.  $A(x)$  formal power series s.t.  $A(0)=1$ ,  $d = \text{positive integer}$

There exists unique formal power series  $B(x)$  s.t.  $B(0)=1$  &  $B(x)^d = A(x)$ .

Notation:  $B(x) = A(x)^{1/d}$

Pf. Try to solve for  $b_1, b_2, \dots$  in equations coming from comparing coeff.

of  $B(x)^d = A(x)$ . We know that  $[x^n](B(x)^d)$  has the form

$db_n + f_{n,d}$  where  $f_{n,d}$  is a polynomial using  $b_1, \dots, b_{n-1}$ .

Ex.  $[x^1](B(x)^d) = [x^1]B(x)B(x)\dots B(x)$   
 $= [x^1](1+b_1x+\dots)(1+b_1x+\dots)\dots(1+b_1x+\dots)$   
 $= db_1 \quad (f_{1,d}=0)$

$$[x^2](B(x)^d) = [x^2](1+b_1x+b_2x^2)(1+b_1x+b_2x^2)\dots(1+b_1x+b_2x^2)$$
$$= db_2 + \frac{d(d-1)}{2}b_1 \quad (f_{2,d} = \frac{d(d-1)}{2}b_1)$$

Have eqns  $db_n + f_{n,d} = a_n$  for  $n \geq 1$ .

Solve iteratively:  $b_n = \frac{a_n - f_{n,d}}{d}$  ← uses only  $b_1, \dots, b_{n-1}$

base case ( $n=1$ ):  $b_1 = a_1/d$ . □

How to define  $A(x)^{c/d}$  where  $c, d$  integers,  $A(0)=1$ .

Two ways: ① by lemma,  $A(x)^{1/d}$  exists, so define  $(A(x)^{1/d})^c$

②  $A(x)^c$  has constant term 1, lemma gives  $(A(x)^c)^{1/d}$ .

They agree:  $((A(x)^{1/d})^c)^d = ((A(x)^{1/d})^d)^c = A(x)^c$

$\Rightarrow (A(x)^{1/d})^c$  is a  $d$ th root of  $A(x)^c$

It has constant term 1, but  $d$ th roots are unique, so

$(A(x)^{1/d})^c = (A(x)^c)^{1/d}$ . Define  $A(x)^{c/d}$  to be either one.

A similar argument shows  $A(x)^{c/d} = A(x)^{ec/ed}$  for any integer  $e$ .

Def.  $m$  rational,  $\binom{m}{0} = 1$ ,  $\binom{m}{k} = \frac{m(m-1)\dots(m-k+1)}{k!}$   $k > 0$  integer.  
 $k! = k(k-1)\dots 1$

If  $m = \text{positive integer}$ ,  $\binom{m}{k} = \frac{m!}{k!(m-k)!}$

Thm (Binomial theorem),  $m$  rational.

$$(1+x)^m = \sum_{n \geq 0} \binom{m}{n} x^n$$

Note: can compose w/ any formal power series whose constant term is 0

Ex. If  $A(0) = 1$ :  $A(x)^m = (1 + (A(x) - 1))^m = \sum_{n \geq 0} \binom{m}{n} (A(x) - 1)^n$

Ex ①  $m$  positive integer  $(1+x)^m = \sum_{n \geq 0} \binom{m}{n} x^n = 1 + \binom{m}{1}x + \binom{m}{2}x^2 + \dots + \binom{m}{m}x^m$

Note:  $\binom{m}{n} = 0$  if  $n > m$  b/c in numerator  $m(m-1)\dots(m-n+1)$ , get 0

②  $m = -1$ .  $(1+x)^{-1} = \frac{1}{1+x} = \sum_{n \geq 0} \binom{-1}{n} x^n = \sum_{n \geq 0} (-1)^n x^n$

$$\binom{-1}{n} = \frac{(-1)(-2)\dots(-1-n+1)}{n!} = \frac{(-1)^n 1 \cdot 2 \cdot \dots \cdot n}{n!} = (-1)^n$$

Alt: from geom. series, have  $\frac{1}{1-x} = \sum_{n \geq 0} x^n$ , compose w/  $-x$ .

③  $m = -d$ ,  $d$  positive integer.

$$(1+x)^{-d} = \sum_{n \geq 0} \binom{-d}{n} x^n = \sum_{n \geq 0} (-1)^n \binom{d+n-1}{n} x^n$$

$$\begin{aligned} \binom{-d}{n} &= \frac{(-d)(-d-1)\dots(-d-n+1)}{n!} = (-1)^n \frac{d(d+1)\dots(d+n-1)}{n!} = \frac{(d+n-1)!}{n!(d-1)!} (-1)^n \\ &= (-1)^n \binom{d+n-1}{n} \end{aligned}$$

$$\Rightarrow \frac{1}{(1-x)^d} = \sum_{n \geq 0} \binom{d+n-1}{n} x^n$$

Ex.  $m = 1/2$ .  $\binom{1/2}{n} = \frac{(\frac{1}{2})(-\frac{1}{2})(-\frac{3}{2})\dots(\frac{1}{2}-n+1)}{n!}$

$= \frac{(-1)^{n-1} \frac{1}{2}(\frac{1}{2})(\frac{3}{2})\dots(n-\frac{3}{2})}{n!} = \frac{(-1)^{n-1} 3 \cdot 5 \cdot \dots \cdot (2n-3)}{2^n n!}$

Notation:  $k!! = k(k-2)(k-4)\dots$   $\hookrightarrow \frac{(-1)^{n-1} (2n-3)!!}{2^n n!}$   $n \geq 2$

$\binom{1/2}{0} = 1$ ,  $\binom{1/2}{1} = 1/2$

$(1+x)^{1/2} = \sum_{n \geq 0} \binom{1/2}{n} x^n = 1 + \frac{1}{2}x + \sum_{n \geq 2} \frac{(-1)^{n-1} (2n-3)!!}{2^n n!} x^n$

Lemma.  $m$  rational,  $A(0)=1$ , then  $D(A(x)^m) = m(DA) A(x)^{m-1}$

PF.  $m = p/q$   $p, q$  integers. Then

$p(DA) A(x)^{p-1} = D(A(x)^p) = D((A(x)^m)^q) = q(A(x)^m)^{q-1} D(A(x)^m)$

$\Rightarrow D(A(x)^m) = \frac{p(DA) A(x)^{p-1}}{q(A(x)^m)^{q-1}} = m(DA) A(x)^{m-1}$   $\square$

**PF of BINOMIAL THM**

$D((1+x)^m) = m(1+x)^{m-1} \mid \begin{matrix} D^n((1+x)^m) \\ = m(m-1)\dots(m-n+1)(1+x)^{m-n} \end{matrix}$

$[x^n] (1+x)^m = \frac{(D^n (1+x)^m)(0)}{n!} = \frac{m(m-1)\dots(m-n+1)}{n!} = \binom{m}{n}$   $\square$

Consider eqn  $A(x)t^2 + B(x)t + C(x) = 0$

Facts: ① There are at most 2 solutions for  $t$

② If solution exists, it must be given by quadratic formula:

$t = \frac{-B(x) \pm (B(x)^2 - 4A(x)C(x))^{1/2}}{2A(x)}$   $\leftarrow$  Is this defined?  
 $\leftarrow$  Is  $A(x)$  invertible?

If  $A(x)$  not invertible, this means  $A(x)$  divides numerator for some choice of sign.