# Communication Complexity II 

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## introduction

compression is about finding efficient representations of objects of interest (pictures, music, data,...)
the ability to compress is often an evidence that we understand something (Occam's razor, learning theory,...)
focus on compression of conversations

Alice: hi. Bob: hi. Alice: how are you? Bob: good. how are you? Alice: good. how are you? Bob: good. how are you? Alice: good. how are you? Bob: good. how are you? Alice: good. how are you? Bob: good. how are you? Alice: good. how are you? Bob: good. how are you? Alice: good. how are you? Bob: good. how are you? Alice: good. how are you? Bob: good. how are you? Alice: good. how are you? Bob: good. how are you? Alice: good. how are you? Bob: good. how are you? Alice: good. how are you? Bob: good. how are you? Alice: great. how are you? Bob: great. how are you? Alice: good. how are you? Bob: good. how are you? Alice: good. how are you? Bob: good. how are you? Alice: good. how are you? Bob: good. bye. Alice: bye.

## compression?

want: length $\approx$ "true content"

## definitions:

communication complexity [Yao]
information theory
[Shannon, ... ,(Bar-Yossef)-Jayram-Kumar-Sivakumar, Chakrabarti-Shi-Wirth-Yao,
Barak-Braverman-Chen-Rao, ... , Bauer-Moran-Y, ...]

## the model

## two players

$(x, y)$ is chosen from a known distribution $\mu$
alice gets input $x$ bob gets input $y$
they communicate according to a randomized protocol $\pi$
the transcript $T_{\pi}=\left(\pi\left(x, y, r, r_{a}, r_{b}\right), r\right)$ is the "conversation"

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compression $=$ an efficient simulation of $T_{\pi}$
external compression

## simulations

a protocol $\sigma$ is a external $\epsilon$-error simulation of $\pi$ if

$$
\left(x, y, T_{\pi}\right) \underset{\epsilon}{\approx}\left(x, y, d\left(T_{\sigma}\right)\right)
$$

i.e. $\epsilon$-close in statistical distance, for some $d$

## comments:

the map $d$ can be thought of as the dictionary that translates the language of $\sigma$ to that of $\pi$
the word "external" indicates that every person that hears $\sigma$ can understand the meaning of $\sigma(x, y)$ as a " $\pi$ conversation" as long as he/she knows the dictionary

## example: one way conversations

assume alice wants to send $x \sim \mu$ to bob
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C C_{\mu}(\pi)=\underset{x \sim \mu}{\mathbb{E}}|\pi(x)|
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content is measured by entropy

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## theorem [Shannon, Huffman]

1. if $\sigma(x)$ determines $x$ then $H(\mu) \leq C C_{\mu}(\sigma)$
2. there is $\sigma$ that determines $x$ so that $C C_{\mu}(\sigma) \leq H(\mu)+1$

## entropy

let $X$ be a random variable taking values in finite set $S$
entropy:

$$
H(X)=\sum_{x \in S} \operatorname{Pr}[X=x] \log (1 / \operatorname{Pr}[X=x])
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properties:
$H(Y \mid X) \leq H(Y)$
$H(X) \leq \log |S|$, equality iff $X$ is uniform
$H(f(X) \mid X)=0$

## mutual information

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properties:
$I(X ; Y)=0$ iff $X, Y$ independent
$I(X ; Y) \geq I(X ; f(Y))$ info processing inequality

## external compression

roughly, $\sigma$ is an external compression of $\pi$ with if

1. $\sigma$ externally simulates ${ }^{1} \pi$
2. $C C_{\mu}(\sigma) \lesssim l_{\mu}^{\text {ext }}(\pi)$
the external information cost of $\pi$ is measured as

$$
I_{\mu}^{e x t}(\pi)=I\left(X, Y ; T_{\pi}\right)
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a lower bound: if $\sigma$ is an external 0 -error simulation of $\pi$ then

$$
C C_{\mu}(\sigma) \geq I_{\mu}^{e x t}(\pi)
$$

## external compression: upper bounds

for all $\pi, \mu$ :
[Huffman] if $\pi$ is deterministic and just alice speaks, there is an optimal external 0 -error simulation $\sigma$ of $\pi$ so that

$$
C C_{\mu}(\sigma) \leq I_{\mu}^{e x t}(\pi)+1
$$

[Dietzfelbinger-Wunderlich] if $\pi$ is deterministic, there is an external 0 -error simulation $\sigma$ of $\pi$ so that

$$
C C_{\mu}(\sigma)<4 I_{\mu}^{e x t}(\pi)+4
$$

[Barak-Braverman-Chen-Rao] there is an external simulation $\sigma$ of $\pi$ so that

$$
C C_{\mu}(\sigma) \lesssim I_{\mu}^{e x t}(\pi) \cdot \log C C_{\mu}(\pi)
$$

## internal compression

## internal simulations

a protocol $\sigma$ is an internal simulation of $\pi$ if

$$
\left(x, y, T_{\pi}\right) \underset{\epsilon}{\approx}\left(x, y, d_{a}\left(x, r_{a}, T_{\sigma}\right)\right) \underset{\epsilon}{\approx}\left(x, y, d_{b}\left(y, r_{b}, T_{\sigma}\right)\right)
$$

i.e. $\epsilon$-close in statistical distance, for some $d_{a}, d_{b}$

## comments:

the maps $d_{a}, d_{b}$ are private dictionaries that translate the language of $\sigma$ to that of $\pi$
the word "internal" indicates that only alice and bob are guaranteed to understand the meaning of $\sigma$

## internal compression

roughly, $\sigma$ is an internal compression of $\pi$ with $\epsilon$-error if

1. $\sigma$ internally $\epsilon$-error simulates $\pi$ and
2. $C C_{\mu}(\sigma) \lesssim I_{\mu}^{\text {int }}(\pi)$
the interal information cost of $\pi$ is measured as

$$
I_{\mu}^{\text {int }}(\pi)=I\left(Y ; T_{\pi} \mid X\right)+I\left(X ; T_{\pi} \mid Y\right)
$$

always

$$
I_{\mu}^{\text {int }}(\pi) \leq I_{\mu}^{\text {ext }}(\pi)
$$

## Internal simulation: lower bounds

if $\sigma$ is an internal 0-error simulation of $\pi$ then

$$
C C_{\mu}(\sigma) \geq I_{\mu}^{i n t}(\pi)
$$

[Bauer-Moran- Y$]^{*}$ for every $N$, there is $\mu$ and a protocol $\pi$ with $I_{\mu}^{\text {int }}(\pi)=1$ so that every $\sigma$ that simulates $\pi$ with 0 -error satisfies

$$
C C_{\mu}(\sigma) \geq N
$$

[Ganor-Kol-Raz] there are $\pi, \mu$ so that

1. $I_{\mu}^{\text {int }}(\pi)=k$
2. if $\sigma$ is an internal simulation of $\pi$ then $C C_{\mu}(\sigma) \geq \exp (k)$ and more...

## Internal simulation: upper bounds

[Brody-Buhrman-Koucky-Loff-Speelman-Vereshchagin, Pankratov] for every deterministic $\pi$ and $\mu$, there is an internal simulation $\sigma$ of $\pi$ so that

$$
C C_{\mu}(\sigma) \lesssim I_{\mu}^{\text {int }}(\pi) \cdot \log C C_{\mu}(\pi)
$$

[Bauer-Moran- Y ] for every deterministic $\pi$ and $\mu$, there is an internal simulation $\sigma$ of $\pi$ so that

$$
C C_{\mu}(\sigma) \lesssim\left(I_{\mu}^{\text {int }}(\pi)\right)^{2} \cdot \log \log C C_{\mu}(\pi)
$$

[Barak-Braverman-Chen-Rao] for every $\pi$ and $\mu$, there is an internal simulation $\sigma$ of $\pi$ so that

$$
C C_{\mu}(\sigma) \lesssim \sqrt{\operatorname{lint}_{\mu}^{\text {int }}(\pi) \cdot C C_{\mu}(\pi)} \cdot \log C C_{\mu}(\pi)
$$

## local summary

compress $\pi=$ efficient simulation of $\pi$
simulations: external and internal
information costs: external and internal
comments:
external compression is easier but internal compression is more useful (e.g. direct sums)
know almost optimal external compressions but no internal ones

## an external compression

## an external compression

## theorem [Dietzfelbinger-Wunderlich]

optimal external compression of deterministic protocols

## idea

try jump directly to a "meaningful state" in the conversation recall that $\pi(x, y)$ is a walk on a tree
observe: if $\pi$ is deterministic then

$$
\begin{aligned}
l_{\mu}^{e x t}(\pi) & =I(X, Y ; \pi(X, Y))=H(\pi(X, Y))-H(\pi(X, Y) \mid X, Y) \\
& =H(\pi(X, Y))=\underset{u \sim \pi(\mu)}{\mathbb{E}} \log \frac{1}{\mu(\{(x, y): \pi(x, y)=u\})}
\end{aligned}
$$

## "meaningful"

for every vertex $v$ in protocol tree, define a number

$$
p(v)=\mu\left(\left\{(x, y): \pi(x, y) \in \pi_{v}\right\}\right)
$$

where $\pi_{v}$ is the subtree rooted at $v$
property: if $v$ is parent of $v_{1}, v_{2}$ then $p(v)=p\left(v_{1}\right)+p\left(v_{2}\right)$

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lemma (there is a meaningful state)
there exists $v$ so that either $v$ is a leaf with $p(v) \geq 2 / 3$ or

$$
1 / 3 \leq p(v) \leq 2 / 3
$$

simulation

$$
p(v) \approx \frac{1}{2}, R_{v}=X_{v} \times Y_{0}
$$


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$$
a=1_{x \in x_{v}}
$$

$$
b=1_{y \in} Y_{v}
$$

simulation


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## summary:

"two bits of communication give one bit of information"

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## formally:

for fixed $(x, y)$, the length of $\sigma$ when simulating $u=\pi(x, y)$ is at most

$$
2 \cdot\left\lceil\log _{3 / 2} \frac{1}{p(u)}\right\rceil \leq 2 \cdot\left(1+2 \log \frac{1}{p(u)}\right)
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## simulation

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SO

$$
\mathbb{E}|\sigma(x, y)| \leq 2+4 \mathbb{E} \log \frac{1}{p(u)}=2+4 I^{e x t}(\pi)
$$

## an internal compression

## an internal compression

## theorem [Barak-Braverman-Chen-Rao]

for every $\pi$ and $\mu$, there is an internal simulation $\sigma$ of $\pi$ so that

$$
C C_{\mu}(\sigma) \lesssim \sqrt{I_{\mu}^{\text {int }}(\pi) \cdot C C_{\mu}(\pi)} \log C C_{\mu}(\pi)
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## an internal compression

## outline:

alice can sample a leaf $v_{a}$ by guessing bob's messages
bob can sample a leaf $v_{b}$ by guessing alice's messages
use knowledge of $\mu, \pi$

## an internal compression

## outline:

alice can sample a leaf $v_{a}$ by guessing bob's messages
bob can sample a leaf $v_{b}$ by guessing alice's messages
use knowledge of $\mu, \pi$
using public randomness they jointly sample ( $v_{a}, v_{b}$ )
if by chance $v_{a}=v_{b}$ then they sampled correctly

## internal compression



## internal compression


internal compression
$x y$

internal compression
$x y$


## internal compression

## outline of simulation $\sigma$ :

sample $v_{a}, v_{b}$ (0 bits)
if $v_{a}=v_{b}$ then done, otherwise find "error" $\left(\log C C_{\mu}(\pi)\right.$ bits) correct and repeat

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$$
\Rightarrow C C_{\mu}(\sigma) \leq \log C C_{\mu}(\pi) \cdot \mathbb{E} \text { number of "errors" }
$$

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$$
\Rightarrow C C_{\mu}(\sigma) \leq \log C C_{\mu}(\pi) \cdot \mathbb{E} \text { number of "errors" }
$$

lemma: $\mathbb{E}$ number of "errors" $\leq \sqrt{\operatorname{lint}_{\mu}^{\text {int }}(\pi) \cdot C C_{\mu}(\pi)}$

## summary

## defined internal and external compression

saw two concrete compression schemes
connections to direct sum and product
we still do not have a full understanding

## spaseeba

