# Bounded Arithmetic II: Propositional Translations 

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## Topics:

- Formal theories of weak fragments of Peano arithmetic
- First- and second-order theories of bounded arithmetic
- $\forall \exists$ consequences: Provably total functions
- Computational complexity characterizations
- $\forall$ consequences: Universal statements
- Cook translation to propositional logic
- Paris-Wilkie translation to propositional logic

Underlying philosophy:

- A feasibly constructive proof that a function is total should provide a feasible method to compute it.
- A feasibly constructive proof of a universal statement should provide a feasible method to verify any given instance.


## A quote

Cook, 1975, Feasibly constructive proofs and the propositional calculus
A constructive proof of, say, a statement $\forall x A$ must provide an effective means of finding a proof of $A$ for each value of $x$, but nothing is said about how long this proof is as a function of $x$. If the function is exponential or super exponential, then for short values of $x$ the length of the proof of the instance of $A$ may exceed the number of electrons in the universe.

Introducing PV and the Cook translation



First-/second-order theories of bounded arithmetic

Computational complexity Propositional proof complexity

> | Propositional |
| :--- |
| proof search |
| (SAT solvers) |

## $\Pi_{2}$-consequences: <br> Provably total functions

$\Pi_{1}$-consequences:
Translations to propositional logic


## $S_{2}^{1}, \mathrm{PV}$ - Polynomial time - eF [B'85; C'76]

First-order theory $\mathrm{S}_{2}^{1}$ of arithmetic:

- Terms have polynomial growth rate (smash, \#, is used).
- Bounded quantifiers $\forall x \leq t, \exists x \leq t$.
- Sharply bounded quantifiers $\forall x \leq|t|, \exists x \leq|t|$, bound $x$ by log (or length) of $t$.
- Classes $\sum_{i}^{\mathrm{b}}$ and $\Pi_{i}^{\mathrm{b}}$ of formulas are defined by counting bounded quantifiers, ignoring sharply bounded quantifiers.
- $\Sigma_{1}^{\mathrm{b}}$ formulas express exactly the NP predicates.
$\Sigma_{i}^{\mathrm{b}}, \Pi_{i}^{\mathrm{b}}$ - express exactly the predicates at the $i$-th level of the polynomial time hierarchy.
- $S_{2}^{1}$ has polynomial induction PIND, equivalently length induction (LIND), for $\Sigma_{1}^{\mathrm{b}}$ formulas $A$ (i.e., NP formulas):

$$
A(0) \wedge(\forall x)(A(x) \rightarrow A(x+1)) \rightarrow(\forall x) A(|x|)
$$

(1) Provably total functions of $S_{2}^{1}$ :

- The $\forall \Sigma_{1}^{\mathrm{b}}$-definable functions (aka: provably total functions) are precisely the polynomial time computable functions.
- PV: equational theory over polynomial time functions. [C'75] $\mathrm{S}_{2}^{1}(\mathrm{PV})$ is conservative over both $\mathrm{S}_{2}^{1}$ and PV.
(2) Translation to propositional logic ("Cook translation") Any polynomial identity ( $\forall \Sigma_{0}^{\mathrm{b}}$-property) provable in PV / $\mathrm{S}_{2}^{1}$, has a natural translation to a family $F$ of propositional formulas. These formulas have polynomial size extended Frege (eF) proofs.
(3) $S_{2}^{1}$ proves the consistency of $e \mathcal{F}$. Conversely, any propositional proof systems (p.p.s.) $\mathrm{S}_{2}^{1}$ proves is consistent(provably) polynomially simulated by $e \mathcal{F}$.
(4) Lines (formulas) in an e $\mathcal{F}$ proof correspond to Boolean circuits. The circuit value problem is complete for P (polynomial time).
Polynomial time functions (P)
$\Pi_{2}$-consequences:
Provably total
functions
Equational \& First-order theories of bounded arithmetic



## Example of Cook translation $\mathrm{S}_{2}^{1}, \mathrm{eF}, \mathrm{PHP}$.

The first-order theory $\mathbf{S}_{2}^{1}$ proves:
$(\forall x, n)$ ["The bits of $x$ do not code an incidence matrix of a
bipartite graph on $[n+1] \cup[n]$ violating the Pigeonhole Principle $\mathrm{PHP}_{n}^{n+1 "}$ ]

Propositional translations $\mathrm{PHP}_{n}^{n+1}:(n \geq 1)$
$\bigwedge_{i=0}^{n} \bigvee_{j=0}^{n-1} p_{i, j} \rightarrow \bigvee_{i=0}^{n-1} \bigvee_{i^{\prime}=i+1}^{n} \bigvee_{j=0}^{n-1}\left(p_{i, j} \wedge p_{i^{\prime}, j}\right)$

The propositional variables $p_{i, j}$ correspond to the bits of the first-order variable $x$.

Cook translation yields:
The $\mathrm{PHP}_{n}^{n+1}$ formulas have polynomial size $e \mathcal{F}$ proofs. [CR]

## The Cook Translation from $S_{2}^{1}(\mathrm{PV})$ to e $\mathcal{F}$

[Cook'75] introduced an equational theory PV of polynomial time functions. And, characterized the logical strength of PV in terms of provability in extended Frege ( $e \mathcal{F}$ ).

- For a polynomial time identity $f(x)=g(x)$, define a family of propositional formulas $\llbracket f=g \rrbracket_{n}$.
- $\llbracket f=g \rrbracket_{n}$ expresses that $f(x)=g(x)$ for all $x$ with $|x|<n$.
- The variables in $\llbracket f=g \rrbracket_{n}$ are the bits $x_{0}, \ldots, x_{n-1}$ of $x$.
- If $\mathrm{PV} \vdash f(x)=g(x)$, then the formulas $\llbracket f=g \rrbracket_{n}$ have polynomial size extended Frege proofs. [Cook'75]
These results all lift to $S_{2}^{1} \ldots$

To describe the Cook translation for $\mathrm{S}_{2}^{1}$ :

- Suppose $A(x) \in \Sigma_{0}^{b}$ (sharply bounded) and $\mathrm{S}_{2}^{1} \vdash \forall x A(x)$.
- For $n>0$, form $\llbracket A \rrbracket_{n}$ as a polynomial size Boolean formula.
- $\llbracket A \rrbracket_{n}$ has Boolean variables $x_{0}, \ldots, x_{n-1}$ representing the bits of $x$, where $|x| \leq n$.
- $\llbracket A \rrbracket_{n}$ expresses that " $A(x)$ is true".

Rather than formally define $\llbracket A \rrbracket$, we give an example (on the next slide).

Remark: A similar construction works if all polynomial time functions are added to the language and we work with $S_{2}^{1}(\mathrm{PV})$. In this case, $\llbracket f=g \rrbracket_{n}$ needs to use extension variables to define the result of polynomial size circuit computing $f(x)$ and $g(x)$.

For $x$ and a $n$-bit integers, with bits given by $x_{i}$ 's and $a_{i}$ 's:
$\llbracket x=a \rrbracket_{n}:=\bigwedge_{i=0}^{n-1}\left(x_{i} \leftrightarrow a_{i}\right)$.
$\llbracket x<a \rrbracket_{n}:=\bigvee_{i=0}^{n-1}\left(\left(a_{i} \wedge \neg x_{i}\right) \wedge \bigwedge_{j=i+1}^{n-1}\left(x_{j} \leftrightarrow a_{j}\right)\right)$.
$\llbracket x \leq a \rrbracket_{n}:=\llbracket x<a \rrbracket_{n} \vee \llbracket x=a \rrbracket_{n}$
$i$-th bit of $x-1$ : $\quad(x-1)_{i}: \Leftrightarrow\left(x_{i} \leftrightarrow \bigvee_{j=0}^{i-1} x_{j}\right) \wedge \llbracket x \neq 0 \rrbracket_{n}$
$i$-th bit of $|x|: \quad \bigvee_{j \leq n,(j)_{i}=1}\left(x_{j} \wedge \bigvee_{k=j+1}^{n} \neg x_{k}\right)$
$\llbracket(\forall a \leq|x|)(a-1<x) \rrbracket_{n}:=\bigwedge_{a=0}^{n}\left(\llbracket a \leq|x| \rrbracket_{n} \rightarrow \llbracket a-1 \leq x \rrbracket_{n}\right)$.
The sharply bounded quantifier $(\forall a \leq|x|)$ becomes a conjunction. Each of the $n+1$ values for $a$ is "hardcoded" with constants for its bits.

## Theorem (essentially [Cook'75])

If $\mathrm{S}_{2}^{1} \vdash(\forall x) A(x)$, where $A(x)$ is in $\Delta_{0}^{\mathrm{b}}$ (or a polynomial time identity), then the tautologies $\llbracket A(x) \rrbracket_{n}$ have polynomial size extended Frege proofs.

Proof construction: Witnessing Lemma again. (Proof omitted.)

## Theorem ([Cook'75])

- $\mathrm{S}_{2}^{1} \vdash \operatorname{Con}(e \mathcal{F})$ (the consistency of e $\mathcal{F}$ ).
- For any propositional proof system $\mathcal{G}$, if $\mathrm{S}_{2}^{1} \vdash \operatorname{Con}(\mathcal{G})$, then e $\mathcal{F}$ p-simulates $\mathcal{G}$.

That is, $e \mathcal{F}$ is the strongest propositional proof system whose consistency is provable by $\mathrm{S}_{2}^{1}$.

## Generalizations to $\mathrm{S}_{2}^{i}$ and $\mathrm{T}_{2}^{i}$.

Work in quantified propositional logic, with Boolean quantifiers $(\forall q),(\exists q)$ ranging over $\{T, F\}$. Sequent calculus rules now include

$$
\frac{\Gamma \rightarrow \Delta, A(B)}{\Gamma \rightarrow \Delta,(\exists q) A(q)} \quad \frac{A(q), \Gamma \rightarrow \Delta}{(\exists q) A(q), \Gamma \rightarrow \Delta}
$$

where $B$ is any formula, and $q$ appears only as indicated. (Similar rules for $\forall$.)

- Let $\mathrm{G}_{i}$ be the fragment in which only $\sum_{i}^{\mathrm{B}}$-formulas may occur.
- $\mathrm{G}_{i}$ proofs are dag-like.
- Let $\mathrm{G}_{i}^{*}$ be $\mathrm{G}_{i}$ restricted to use tree-like proofs.


## Theorem (Krajiček-Pudlák'90, Cook-Morioka'05)

Let $i \geq 1$. Analogously to $\mathrm{S}_{2}^{1}$ and e $\mathcal{F}$,

- $\mathrm{S}_{2}^{i}$ corresponds to $\mathrm{G}_{i}^{*}$.
- $\mathrm{T}_{2}^{i}$ corresponds to $\mathrm{G}_{i}$.


## Propositional proof systems $(\mathcal{F}, e \mathcal{F}, \ldots)$

Frege proofs $(\mathcal{F})$ : Sequent calculus propositional system.
Equivalent to a 'textbook style' proof system using modus ponens.
Extended Frege proofs $(e \mathcal{F})$ : Frege systems augmented with extension rule allowing (iterated) introduction of new variables $x$ abbreviating formulas:

Extension axiom: $\quad x \leftrightarrow \varphi$.
AC ${ }^{\mathbf{0}}$-Frege, aka constant-depth Frege: Frege proofs over $\wedge, \vee, \neg$ with a constant bound on the number of alternations of $\wedge$ 's and $\vee$ 's. (Negations applied only to variables.)
Quantified sequent calculus QBF with $\forall p, \exists p$ Boolean quantifiers. $\mathrm{G}_{i}$ is QBF restricted to $i$-levels of quantifiers.
Proof size $=$ number of symbols in the proof.
(The purpose of extension is to reduce proof size.)

Open problems:
(1) Does the Frege system $(\mathcal{F})$ allow polynomial size proofs of tautologies? (Subexponential size?)
(2) Does the Frege system quasipolynomially simulate the extended Frege ( $e \mathcal{F}$ ) system?

- No good combinatorial candidates for separation are known. [BBP,HT,B,AB, ...]
(3) QBF versus $e \mathcal{F}$ ?
- $\left(e \mathcal{F}\right.$ is equivalent to $\mathrm{G}_{1}^{*}$, i.e., tree-like $\left.\mathrm{G}_{1}\right)$.


## More theories with Cook translations

Theories for polynomial space

- PSA - Equational theory for Pspace functions [Dowd'78]
- $\mathrm{U}_{2}^{1}$ - Second-order theory for polynomial space [B'85]
- The $\Sigma_{1}^{1, b}$-definable functions of $\mathrm{U}_{2}^{1}$ are precisely the PSPACE functions.
- $\mathrm{U}_{2}^{1}$ (PSA) is conservative over both $\mathrm{U}_{2}^{1}$ and PSA. [**]
- Pspace identities provable in $\mathrm{U}_{2}^{1}$ have natural translations to QBF formulas which have polynomial size QBF proofs.
$\mathrm{VNC}^{1}$ - Theory for $N C^{1}$.
[Clote-Takeuti'92; Arai'00; Cook-Morioka'05; Cook-Nguyen'10]
- Cook translation to $\mathcal{F}$ proofs.

VL - Theory for L.
[Zambella'96, Perron'05, Cook-Nguyen'10]

- Cook translation to tree-like GL* for $\Sigma-\operatorname{CNF}(2)$ formulas.

VNL - Theory for NL.
[Cook-Kolokolova'03, Perron'09, Cook-Nguyen'10]

- Cook translation is to a tree-like p.p.s. GNL* for $\sum$-Krom formulas.

Work in progress: New p.p.s.'s eLDT and eLNDT for branching programs and nondeterministic branching programs as Cook translations for VL and VNL. [B-Das-Knop, following Cook]

| Formal <br> Theory | Propositional <br> Proof System | Total <br> Functions |  |
| :---: | :---: | :---: | :--- |
| $\mathrm{PV}, \mathrm{S}_{2}^{1}, \mathrm{VPV}$ | $e \mathcal{F}, \mathrm{G}_{1}^{*}$ | P | $[\mathrm{C}, \mathrm{B}, \mathrm{CN}]$ |
| $\mathrm{T}_{2}^{1}, \mathrm{~S}_{2}^{2}$ | $\mathrm{G}_{1}, \mathrm{G}_{2}^{*}$ | $\leq_{1-1}(\mathrm{PLS})$ | $[\mathrm{B}, \mathrm{KP}, \mathrm{KT}, \mathrm{BK}]$ |
| $\mathrm{T}_{2}^{2}, \mathrm{~S}_{2}^{3}$ | $\mathrm{G}_{2}, \mathrm{G}_{3}^{*}$ | $\leq_{1-1}(\mathrm{CPLS})$ | $[\mathrm{B}, \mathrm{KP}, \mathrm{KT}, \mathrm{KST}]$ |
| $\mathrm{T}_{2}^{i}, \mathrm{~S}_{2}^{i+1}$ | $\mathrm{G}_{i}, \mathrm{G}_{i+1}^{*}$ | $\leq_{1-1}\left(\mathrm{LLI}_{i}\right)$ | $[\mathrm{B}, \mathrm{KP}, \mathrm{KT}, \mathrm{KNT}]$ |
| $\mathrm{PSA}_{1}, \mathrm{U}_{2}^{1}, \mathrm{~W}_{1}^{1}$ | QBF | Pspace** | $[\mathrm{D}, \mathrm{B}, \mathrm{S}]$ |
| $\mathrm{V}_{2}^{1}$ | $* *$ | EXPTIME | $[\mathrm{B}]$ |
| $\mathrm{VNC}^{1}$ | Frege $(\mathcal{F})^{\text {ALoGTime }}$ | $[\mathrm{CT}, \mathrm{A} ; \mathrm{CM}, \mathrm{CN}]$ |  |
| VL | GL | L | $[\mathrm{Z}, \mathrm{P}, \mathrm{CN}]$ |
| VNL | $\mathrm{GNL}^{*}$ | NL | $[\mathrm{CK}, \mathrm{P}, \mathrm{CN}]$ |

PV, PSA - equational theories.
$\mathrm{S}_{2}^{i}, \mathrm{~T}_{2}^{i}$ - first order
$\mathrm{U}_{2}^{1}, \mathrm{~V}_{2}^{1}, \mathrm{VNC}^{1}$, VL, VNL, VPV - second order
Formal Propositional Total

Theory Proof System Functions

| $\mathrm{PV}, \mathrm{S}_{2}^{1}, \mathrm{VPV}$ | $e \mathcal{F}, \mathrm{G}_{1}^{*}$ | P | $[\mathrm{C}, \mathrm{B}, \mathrm{CN}]$ |
| :---: | :---: | :---: | :--- |
| $\mathrm{T}_{2}^{1}, \mathrm{~S}_{2}^{2}$ | $\mathrm{G}_{1}, \mathrm{G}_{2}^{*}$ | $\leq_{1-1}(\mathrm{PLS})$ | $[\mathrm{B}, \mathrm{KP}, \mathrm{KT}, \mathrm{BK}]$ |
| $\mathrm{T}_{2}^{2}, \mathrm{~S}_{2}^{3}$ | $\mathrm{G}_{2}, \mathrm{G}_{3}^{*}$ | $\leq_{1-1}(\mathrm{CPLS})$ | $[\mathrm{B}, \mathrm{KP}, \mathrm{KT}, \mathrm{KST}]$ |
| $\mathrm{T}_{2}^{i}, \mathrm{~S}_{2}^{i+1}$ | $\mathrm{G}_{i}, \mathrm{G}_{i+1}^{*}$ | $\leq_{1-1}\left(\mathrm{LLI}_{i}\right)$ | $[\mathrm{B}, \mathrm{KP}, \mathrm{KT}, \mathrm{KNT}]$ |
| $\mathrm{PSA}, \mathrm{U}_{2}^{1}, \mathrm{~W}_{1}^{1}$ | QBF | Pspace** | $[\mathrm{D}, \mathrm{B}, \mathrm{S}]$ |
| $\mathrm{V}_{2}^{1}$ | $* *$ | EXPTIME | $[\mathrm{B}]$ |
| $\mathrm{VNC}^{1}$ | Frege $(\mathcal{F})$ | ALoGTime | $[\mathrm{CT}, \mathrm{A} ; \mathrm{CM}, \mathrm{CN}]$ |
| VL | $\mathrm{GL}^{*}$ | L | $[\mathrm{Z}, \mathrm{P}, \mathrm{CN}]$ |
| VNL | $\mathrm{GNL}^{*}$ | NL | $[\mathrm{CK}, \mathrm{P}, \mathrm{CN}]$ |

Using Cook translation to propositional proof systems (p.p.s.'s) $\mathcal{F}, e \mathcal{F}$ - Frege and extended Frege.
$\mathrm{G}_{i}$, QBF - quantified propositional logics.
Starred ( ${ }^{*}$ ) propositional proof systems are tree-like.

| Formal <br> Theory | Propositional <br> Proof System | Total <br> Functions |  |
| :---: | :---: | :---: | :--- |
| $\mathrm{PV}, \mathrm{S}_{2}^{1}, \mathrm{VPV}$ | $e \mathcal{F}, \mathrm{G}_{1}^{*}$ | P | $[\mathrm{C}, \mathrm{B}, \mathrm{CN}]$ |
| $\mathrm{T}_{2}^{1}, \mathrm{~S}_{2}^{2}$ | $\mathrm{G}_{1}, \mathrm{G}_{2}^{*}$ | $\leq_{1-1}(\mathrm{PLS})$ | $[\mathrm{B}, \mathrm{KP}, \mathrm{KT}, \mathrm{BK}]$ |
| $\mathrm{T}_{2}^{2}, \mathrm{~S}_{2}^{3}$ | $\mathrm{G}_{2}, \mathrm{G}_{3}^{*}$ | $\leq_{1-1}(\mathrm{CPLS})$ | $[\mathrm{B}, \mathrm{KP}, \mathrm{KT}, \mathrm{KST}]$ |
| $\mathrm{T}_{2}^{i}, \mathrm{~S}_{2}^{i+1}$ | $\mathrm{G}_{i}, \mathrm{G}_{i+1}^{*}$ | $\leq_{1-1}\left(\mathrm{LLI}_{i}\right)$ | $[\mathrm{B}, \mathrm{KP}, \mathrm{KT}, \mathrm{KNT}]$ |
| $\mathrm{PSA}_{2}, \mathrm{U}_{2}^{1}, \mathrm{~W}_{1}^{1}$ | QBF | Pspace** | $[\mathrm{D}, \mathrm{B}, \mathrm{S}]$ |
| $\mathrm{V}_{2}^{1}$ | $* *$ | EXPTIME | $[\mathrm{B}]$ |
| $\mathrm{VNC}^{1}$ | Frege $(\mathcal{F})^{\text {ALoGTiME }}$ | $[\mathrm{CT}, \mathrm{A} ; \mathrm{CM}, \mathrm{CN}]$ |  |
| VL | $\mathrm{GL}^{*}$ | L | $[\mathrm{Z}, \mathrm{P}, \mathrm{CN}]$ |
| VNL | $\mathrm{GNL}^{*}$ | NL | $[\mathrm{CK}, \mathrm{P}, \mathrm{CN}]$ |

PLS $=$ Polynomial local search [JPY]
CPLS = "Colored" PLS [ST]
LLI $=$ Linear local improvement

## Pause

Next: Paris-Wilkie translation

## Second order arithmetic \& Paris-Wilkie translations

Paris-Wilkie translation: is a second kind of translation to propositional logic.

- The Paris-Wilkie translation applies to first-order theories with second-order predicates (free variables, $\alpha$ ), essentially oracles.
- Propositional variables now represent values of the second order objects $\alpha$.
In contrast, the Cook translation uses variables for the bits of first-order objects (the function's inputs).
- Paris-Wilkie translations are most commonly applied to fragments of $I \Delta_{0}(\#, \alpha)$. [P, PW, ...].
$\alpha$ denotes an uninterpreted second-order object (a predicate, or oracle),
and $\#$ is the polynomial growth rate function $x \# y=2^{|x| \cdot|y|}$


## Example of Paris-Wilkie translation

Let $T$ be the theory $I \Delta_{0}$ or $I \Delta_{0}(\#)$.
Thm: [PW] If $T(\alpha)$ proves the pigeonhole principle

$$
(\forall x \leq a)(\exists y<a) \alpha(x, y) \rightarrow\left(\exists x<x^{\prime} \leq a\right)(\exists y<a)\left(\alpha(x, y) \wedge \alpha\left(x^{\prime}, y\right)\right)
$$

then $\mathrm{PHP}_{n}^{n+1}$ has polynomial (quasipolynomial, resp) size $\mathrm{AC}^{0}$-Frege proofs.

Recall $\mathrm{PHP}_{n}^{n+1}$ :

$$
\bigwedge_{i=0}^{n} \bigvee_{j=0}^{n-1} p_{i, j} \rightarrow \bigvee_{i=0}^{n-1} \bigvee_{i^{\prime}=i+1}^{n} \bigvee_{j=0}^{n-1}\left(p_{i, j} \wedge p_{i^{\prime}, j}\right)
$$

Propositional variables $p_{i, j}$ correspond to truth values of $\alpha(x, y)$.

On the other hand, [A,BPI, KPW],
Thm: $\mathrm{PHP}_{n}^{n+1}$ requires exponential size $\mathrm{AC}^{0}$-Frege proofs.
Proof idea: apply a Hastad-style switching lemma, to reduce to a proof in which all formulas are decision trees.

Corollary: Neither $I \Delta_{0}$ nor $I \Delta_{0}(\#)$ proves the pigeonhole principle.

But, [PWW,MPW], ...
Thm: $I \Delta_{0}(\#)$ proves the weak pigeonhole principle (replacing " $\exists y<a$ " with " $\exists y<a / 2 ")$.

Corollary: The propositional weak pigeonhole principle $\mathrm{PHP}_{n}^{2 n}$ has quasipolynomial size $\mathrm{AC}^{0}$-Frege proofs.

## Theories of arithmetic for Paris-Wilkie translations

A hierarchy of fragments of $I \Delta_{0}(\#):[B]$

- $\mathrm{T}_{2}^{i}$ - induction for $\sum_{i}^{\mathrm{b}}$ predicates (the $i$-th level of the polynomial time hierarchy).
- $S_{2}^{i}$ - length induction for $\sum_{i}^{b}$ predicates.
- $\mathrm{S}_{2}^{1} \subseteq \mathrm{~T}_{2}^{1} \preccurlyeq{\forall \Sigma_{2}^{\mathrm{b}}} \mathrm{S}_{2}^{2} \subseteq \mathrm{~T}_{2}^{2} \preccurlyeq_{\forall \Sigma_{3}^{\mathrm{b}}} \mathrm{S}_{2}^{3} \subseteq \mathrm{~T}_{2}^{3} \preccurlyeq \forall \Sigma_{4}^{\mathrm{b}} \cdots$

Thm: [KPT]

- If $\mathrm{T}_{2}^{i}=\mathrm{S}_{2}^{i+1}$, then the polynomial time hierarchy collapses.
- In fact, if $\mathrm{T}_{2}^{i} \preccurlyeq_{\forall \Sigma_{i+2}^{\mathrm{b}}} \mathrm{S}_{2}^{i+1}$, then the polynomial time hierarchy collapses.
- $\mathrm{T}_{2}^{i}(\alpha) \neq \mathrm{S}_{2}^{i+1}(\alpha)$; i.e., relative to an oracle.

$$
\mathrm{S}_{2}^{1}(\alpha) \subseteq \mathrm{T}_{2}^{1}(\alpha) \preccurlyeq_{\forall \Sigma_{2}^{\mathrm{b}}(\alpha)} \mathrm{S}_{2}^{2}(\alpha) \subseteq \mathrm{T}_{2}^{2}(\alpha) \preccurlyeq_{\forall \Sigma_{3}^{\mathrm{b}}(\alpha)} \cdots
$$

|  | Paris-Wilkie translation |  |
| :---: | :---: | :---: |
| Formal | Propositional | Total |
| Theory | Proof System $[\mathrm{K}]$ | Functions |
| $\mathrm{T}_{2}^{1}(\alpha), \mathrm{S}_{2}^{2}(\alpha)$ | $* *$ | $\leq_{1-1}(\operatorname{PLS}(\alpha))$ |
| $\mathrm{T}_{2}^{2}(\alpha), \mathrm{S}_{2}^{3}(\alpha)$ | $\operatorname{res}(\log )$ | $\leq_{1-1}(\operatorname{CPLS}(\alpha))$ |
| $\mathrm{T}_{2}^{i}(\alpha), \mathrm{S}_{2}^{i+1}(\alpha)$ | depth $\left(\boldsymbol{i}-\frac{3}{2}\right)$-Frege | $\leq_{1-1}\left(\operatorname{LLI}_{i}(\alpha)\right)$ |

Depth ( $n+\frac{1}{2}$ )-Frege means LK proofs with formulas having at most $n+1$ alternations, the bottom level having only logarithmic fanin. res $(\log )=$ depth $\frac{1}{2}$-Frege.
Sample application: $\mathrm{T}_{2}^{2} \vdash \mathrm{PHP}_{n}^{2 n}$. Hence, the bit-graph weak PHP has res(log) refutations of quasipolynomial size. Likewise, any sparse instance of the weak PHP. [MPW]

Open problem:
(4) Do the theories $\mathrm{T}_{2}^{i}(\alpha)$ have distinct (increasing) $\forall \Sigma_{0}^{\mathrm{b}}(\alpha)$-consequences?

- Note this would not have any (known) computational complexity implications.
(5) For $i \geq 1$, does depth $i$-Frege quasipolynomially simulate depth $(i+1)$-Frege with respect to refuting sets of clauses?
- Note that this is the nonuniform version of Question (4).

For (5): Best results to-date are a superpolynomial separation, based on upper and lower bounds for the pigeonhole principle. [IK]

Hastad switching lemma gives exponential separation of expressibility in depth $i$ versus depth $i+1$. (!)
(5) asks: Does this extra expressiveness allow shorter proofs?

## Pause

## TFNP, Provably total functions

It is also interesting to study the $\forall \Sigma_{1}^{\mathrm{b}}$-consequences of the theories
$\mathrm{T}_{2}^{i}$. These define a subset of the TFNP problems:
Definition: [MP, P] A Total NP Search Problem (TFNP) is a polynomial time relation $R(x, y)$ so that $R$ is

- Total: For all $x$, there exists $y$ s.t. $R(x, y)$,
- Polynomial growth rate:

If $R(x, y)$, then $|y| \leq p(|x|)$ for some polynomial $p$.

- The TFNP problem is:

Given an input $x$, output a $y$ s.t. $R(x, y)$.

Note the solution $y$ may not be unique!

TFNP classes need to come with a proof of totality, usually either a combinatorial principle or a formal proof.

Pigeonhole Principle (PPP) [P]
Input: $x \in \mathbb{N}$ and a purportedly injective $f:[x] \rightarrow[x-1]$.
Output: $a, b \in[x]$ s.t. either $f(a) \notin[x-1]$ or $f(a)=f(b)$.

## Parity principle (PPAD) [P]

Input: A directed graph $G$ with in- and out-degrees $\leq 1$, and a vertex $v$ of total degree 1 .
Output: Another vertex $v^{\prime}$ of total degree 1 .

Polynomial Local Search (PLS) [JPY]
Input: A directed graph with out-degree $\leq 1$, and a nonnegative cost function which strictly decreases along directed edges
Output: A sink vertex.

Proofs in bounded arithmetic also establish TFNP problems:
PLS - same as before
CPLS - PLS with a Herbrandized coNP ( $\Pi_{1}^{\mathrm{b}}$ ) accepting condition.

## RAMSEY

Input: an undirected graph on $n$ nodes.
Output: a clique or co-clique of size $\frac{1}{2} \log n$.
But, now the inputs are coded with a second-order object $\alpha$.
The output is a first-order object.
Thm. The PLS function is provably total in $\mathrm{T}_{2}^{1}(\alpha)$, and is many-one complete for the provably total relations of $\mathrm{T}_{2}^{1}(\alpha)$. [BK]

Thm. The same holds for CPLS and $\mathrm{T}_{2}^{2}(\alpha)$. [KST]
Thm. $\mathrm{T}_{2}^{3}(\alpha)$ proves the totality of RAMSEY. [P]
See also: Game Induction [ST], Local Improvement [KNT,BB], ...

Open problems:
(6) Do the $\forall \Sigma_{1}^{\mathrm{b}}(\alpha)$ consequences (or, the provably total functions) of $\mathrm{T}_{2}^{i}$ form a proper hierarchy (for $i=2,3,4, \ldots$ )?
(7) Does $\mathrm{T}_{2}^{2}(\alpha)$ prove the totality of RAMSEY?

The $T_{2}^{3}(\alpha)$ proof of RAMSEY is essentially a refinement of the usual inductive combinatorial proof of the Ramsey theorem (via a reduction to the pigeonhole principle). It appears that proving RAMSEY in $\mathrm{T}_{2}^{2}(\alpha)$ would require a new method proof for Ramsey's theorem.

See also related results and questions for the theory of approximate counting, $\mathrm{APC}^{2}$. [J,KT]

TFNP problems for stronger theories:
Consistency search problem for Frege proofs: [BB]
Input: A (purported) Frege proof of $\perp$.
Output: A local error in the proof.
Also introduced as the Wrong proof search problem [GP].

## Thm.

- The Frege Consistency Search problem is provable in $\mathrm{U}_{2}^{1}(\alpha)$ and many-one complete for its provably total functions. [BB]
- The same holds for extended Frege and $\mathrm{V}_{2}^{1}(\alpha)$. $[\mathrm{K}, \mathrm{BB}]$

Here the input is coded by a second-order object; i.e., algorithms have oracle access to the Frege "proof" and seek a local error.

The "standard" TFNP problems are all included in the Consistency
Search/Wrong Proof search classes for all these theories. [BB, GP]

## Finis

## Finis

## Thank you!

