Math 260A — Mathematical Logic — Scribe Notes UCSD — Spring Quarter 2012 Instructor: Sam Buss

> Notes by: Thomas Barrett May 11, 2012

1 Σ_1 -defined Functions

Our goal has been to introduce Δ_0 defined relation symbols R and Σ_1 -defined function symbols f to be added to $I\Delta_0$, and which are usable in induction. So we need Σ_1 -defined functions now.

Recall that f is Σ_1 -defined means that there is a Δ_0 -formula φ (or a Σ_1 -formula φ) such that:

- $T \supset I\Delta_0$
- $T \vdash \forall \vec{x} \exists ! y(\varphi(\vec{x}, y))$
- T(f) is $T \cup \{ \forall \vec{x}(f(\vec{x})) = y \leftrightarrow \varphi(\vec{x}, y) \}.$

Note that T(f) is conservative over T.

Claim. For T a bounded theory, any $\Delta_0(f)$ formula is T(f)-provably-equivalent to a Δ_0 formula.

First let's make a preliminary remark. By Parikh's Theorem, for $T \supset I\Delta_0$ and T bounded, if $T \vDash \forall \vec{x} \exists ! y(\varphi(\vec{x}, y))$, then there is a term q such that

$$T \vDash \forall \vec{x} \exists y \le q(\vec{x})(\varphi(\vec{x}, y)) \ .$$

And if φ is $\exists z_1 \dots \exists z_k \psi(\vec{x}, y, \vec{z})$ then

$$T \vDash \forall \vec{x} \exists y \leq q \exists z_1 \leq r_1 \dots \exists z_k \leq r_k(\psi(\vec{x}, y, \vec{z})) ,$$

again by Parikh's Theorem. So we have that Σ_1 definable implies Δ_0 definable.

Now back to the above claim. Let ψ be a $\Delta_0(f)$ formula. We want an equivalent ψ^* such that ψ^* is Δ_0 and $T(f) \vDash \psi \leftrightarrow \psi^*$. Here's our idea: We will remove occurrences of f one at a time.

So find an atomic formula containing an occurrence of f of form s = t or $s \leq t$. That is,

$$s(\ldots f(r_1,\ldots,r_k)\ldots) = t$$

where there are no f's inside the terms r_i . This is equivalent to (in T(f))

$$(\exists y \le q(r_1, \dots r_k))(\varphi(\vec{x}, y) \land s(\dots y \dots) = t)$$

and this is clearly Δ_0 .

Alternatively, we could consider

$$(\forall y \le q(\vec{r}))(\varphi(\vec{x}, y) \rightarrow s(\dots y \dots) = t)$$

which is also Δ_0 . In either case, we have shown the above claim.

Here's a more general theorem, which we will present without complete proof (since the proof is so similar to the discussion above).

Theorem 1. If $f(\vec{x}) = y$ is Σ_1 -defined and if $T \supset B\Sigma_1$, then any $\Sigma_i(f)$ formula (or $\Pi_i(f)$ formula) is T(f) provably equivalent to a Σ_i (respectively Π_i) formula.

The proof of this theorem works exactly in an analogous way as above, except without the bounds on the quantifiers.

2 Some Bootstrapping

We have already introduced some things with Δ_0 definitions:

- Restricted subtraction: x y
- x is prime
- x divides y: $x|y \leftrightarrow \exists z \leq y(x \cdot z = y)$

Claim. $I\Delta_0 \vdash (x \text{ is prime } \land x | a \cdot b \rightarrow x | a \lor x | b.$

But we'd like to have

 $I\Delta_0 \vdash \forall x(x \text{ has a unique prime factorization})$.

And in $I\Delta_0$ how can we even say that x has a unique prime factorization? We want something along the lines of

 $\forall \vec{x} \exists p_1, p_2, \dots p_k (\forall i, p_i \text{ is prime and } x = p_1 \cdots p_k)$.

Or more specifically:

$$\forall \vec{x} \exists \langle p_1, \dots, p_k \rangle (\forall i p_i \text{ is prime and } x = \prod_i^k p_i)$$

So we are almost there, we just need to talk a little bit about sequence coding. But here is a problem:

Theorem 2. $I\Delta_0$ does not Δ_0 -define the exponentiation function.

Proof. Suppose it did. So

$$\varphi(x,y) \leftrightarrow x = 2^y$$

and

$$I\Delta_0 \vdash \forall y \exists x \varphi(x, y)$$
.

Then by Parikh's Theorem,

$$I\Delta_0 \vdash \forall y \exists x \le s(y)\varphi(x,y)$$

for some term s. And s is made up of $0, S, +, \cdot$, so s is a polynomial.

So $s(y) \leq y^l + l$ for some $l \in \mathbb{N}$. So $\mathbb{N} \models \forall y \exists x \leq y^l + l(x = 2^y)$, which is clearly not true since $\forall y(2^y < y^l + l)$ is not true.

And this is an issue for sequence coding since the Gödel method used prime powers.

Thus we have that any Δ_0 definable function of $I\Delta_0$ is bounded by a polynomial $s(y) \leq y^l + l$. So we have completely characterized the growth rates of function provably definable in $I\Delta_0$. (Note that this is what computer scientists call "linear growth rate functions.")

3 Some More Bootstrapping

Let's define some more things:

- Predecessor: P(x) = x 1 = x S(0)
- Integer division: $x, y \mapsto \lfloor x/y \rfloor$ with $\varphi(x, y, z) \leftrightarrow (y \cdot z \le x \land y \cdot Sz > x) \lor (y = 0 \land z = 0).$
- $x \mod y = x y \cdot \lfloor x/y \rfloor$.
- $|\sqrt{x}| = z \leftrightarrow z \cdot z \le x \wedge Sz \cdot Sz > x.$
- x is prime (we already did)
- x is a prime power $\leftrightarrow \exists p \leq x (p \text{ is prime and } \forall z(z|x \rightarrow z = 1 \lor p|z).$
- x is a power of 2 (as in the previous bullet)
- $(x \text{ is a power of } 4) \leftrightarrow (x \text{ is a power of } 2 \land (x \mod 3 = 1)).$