

ON GRAPHS WHICH CONTAIN ALL SPARSE GRAPHS

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Dedicated to Professor A. Kotzig on the occasion of his sixtieth birthday

1. Introduction

Let \mathcal{H}_n denote the class of all graphs with n edges and denote by $s(n)$ the minimum number of edges a graph G can have which contains all $H \in \mathcal{H}_n$ as subgraphs. In this paper we establish the following bounds on $s(n)$:

Theorem 1.

$$\frac{cn^2}{\log^2 n} < s(n) < \frac{c'n^2 \log \log n}{\log n}$$

for n sufficiently large and c, c' some constants.

We also consider the problem of determining the minimum number of edges, denoted by $s'(n)$, a graph can have which contains every planar graph on n edges as a subgraph. We prove:

Theorem 2. $s'(n) < cn^{3/2}$.

In [1, 2, 3], two of the authors investigated the problem of determining the minimum number of edges a graph or a tree could have which contains all trees on n edges as subgraphs. For a brief survey on these 'universal' graphs the reader is referred to [4].

2. A lower bound for $s(n)$

Let G be a graph which contains all graphs on n edges. Suppose G has t edges. Thus G contains at most $\binom{t}{n}$ different subgraphs on n edges.

On the other hand, G contains all graphs on n edges and $\lfloor n/\log n \rfloor$ vertices where $\lfloor x \rfloor$ denotes the largest integer less than or equal to x . There are at least

$$\binom{\binom{\lfloor n/\log n \rfloor}{2}}{n} \cdot \frac{1}{\lfloor n/\log n \rfloor!}$$

different graphs with n edges and $\lfloor n/\log n \rfloor$ vertices (see [5]). Therefore we have

$$\binom{t}{n} \geq \binom{\binom{\lfloor n/\log n \rfloor}{2}}{n} \cdot \frac{1}{\lfloor n/\log n \rfloor!}.$$

By a straightforward calculation, this implies

$$t \geq cn^2/\log^2 n$$

for some constant c .

Hence we have shown $s(n) > cn^2/\log^2 n$.

3. An upper bound for $s(n)$

We will prove (by the probability method) that there exists a graph with $cn^2 \log \log n/\log n$ edges¹ that contains all graphs with at most n edges. The existence of such a graph will follow from the following sequence of observations.

Claim 1. *Given positive integers a and b where $a < b < a \log a$ and $\log \log \log a \geq 1$, there is a bipartite graph H with vertex set $A \cup B$ where $|A| = a$ and $|B| = b$, which satisfies the following conditions:*

- (i) H has no more than abp edges where $p = \log \log a/\log a$;
- (ii) For any k disjoint subsets of B , say, S_1, \dots, S_k , each with cardinality at most p^{-1} , and $2kp^{-2} < a$, we have

$$\left| \bigcup_{i=1}^k N(S_i) \right| \geq kp^{-2}$$

where

$$N(S_i) \equiv \{v \in A : v \text{ is adjacent to all vertices in } S_i\}.$$

Proof. We consider the set of all bipartite graphs on a and b vertices with abp edges. For a set $S_i \subset B$, $|S_i| < d = p^{-1}$, the probability of a vertex v in A being in $N(S_i)$, is at least p^d . Therefore the probability of v not being in any $N(S_i)$ is at most $(1 - p^d)^k$. The

¹Strictly speaking, we should use $3n \lceil \log \log n/\log n \rceil$ or $\lceil 3n \log \log n/\log n \rceil$ since $|A|$ is an integer. However, we will usually not bother with this type of detail since it has no significant effect on the arguments or results.

probability that there are $a - kd^2$ vertices in A not in any $N(S_i)$ is at most

$$\binom{a}{kd^2} (1 - p^d)^{k(a - kd^2)} \leq 2^a e^{-p^d ka/2}.$$

Since there are at most b^{dk} choices for S_i , $1 \leq i \leq k$, the probability for a bipartite graph to be 'bad' is at most

$$\begin{aligned} b^{dk} \cdot 2^a e^{-p^d ka/2} &< (a \log a)^{p^{-1}k} \cdot 2^a e^{-p^d ka/2} \\ &< (a \log a)^{a \log \log a / \log a} 2^a e^{-a^2 p^{d-2}/4} < 1' \end{aligned}$$

Therefore the required bipartite graph exists as claimed.

Claim 2. Given positive integers a and b where $a < b < a \log a$ and $\log \log \log a \geq 1$, there is a bipartite graph H with vertex set $A \cup B$ where $|A| = a$ and $|B| = b$ satisfying the following conditions:

(i) H has no more than abp edges where $p = \log \log a / \log a$.

(ii) Let H' be a bipartite graph with vertex set $X \cup Y$ where $|X| \leq \frac{1}{2}a$, $|Y| = b$ and maximum degree p^{-1} . Then H' can be embedded in H in the strong sense, i.e. any one-to-one map $\lambda: Y \rightarrow B$ can be extended to $\bar{\lambda}: X \cup Y \rightarrow A \cup B$ such that $\bar{\lambda}(u)$ is adjacent to $\bar{\lambda}(v)$ in H if u is adjacent to v in H' .

Proof. We take H to be the graph in Claim 1. The mapping λ will be extended to $\bar{\lambda}: X \cup Y \rightarrow A \cup B$ in the following way:

For a vertex x in X , we define

$$S(x) = \{b \in B : b = \lambda(y) \text{ and } y \text{ is adjacent to } x\},$$

$$M(x) = N(S(x)) = \{v \in A : v \text{ is adjacent to all vertices in } S(x)\}.$$

The existence of $\bar{\lambda}$ is equivalent to a system of distinct representatives for $\{M(x)\}_{x \in X}$.

It suffices to show that for any set $X' \subseteq X$ we have

$$\left| \bigcup_{x \in X'} M(x) \right| \geq |X'|.$$

This is clearly true for $|X'| \leq (\log a / \log \log a)^2$ by property (ii) of H .

Now suppose $|X'| > (\log a / \log \log a)^2$. Since H' is of bounded degree $d = \log a / \log \log a$, for each x there are at most d^2 vertices x' in X with $S(x) \cap S(x') \neq \emptyset$. Thus there is a subset X'' of X where $|X''| \geq |X'|/d^2$ such that all $S(x)$, $x \in X''$, are mutually disjoint. Therefore,

$$\left| \bigcup_{x \in X'} M(x) \right| \geq \left| \bigcup_{x \in X''} M(x) \right| \geq \frac{|X'| p^{-2}}{d^2} \geq |X'|.$$

This completes the proof of Claim 2.

Claim 3. There exists a graph \bar{H} with $4n^2 \log \log n / \log n$ edges which contains all graphs with n vertices and degree at most $\log n / \log \log n = d$.

Proof. We will construct a d -partite graph \bar{H} as follows:

- (i) \bar{H} has vertex set $A_1 \cup A_2 \cup \dots \cup A_{d+1}$ with $|A_i| = 2n/d$ for each i ;
- (ii) For each i , no $u, v \in A_i$ are adjacent;
- (iii) The edges between A_i and $A_1 \cup A_2 \cup \dots \cup A_{i-1}$ form a graph described in Claim 2.

It can be easily seen that \bar{H} has at most $4n^2 \log \log n / \log n$ edges. It suffices to prove that any graph G with degree d can be embedded in \bar{H} . A nice result of Hajnal and Szemerédi [6] states that any graph with degree at most d can be colored by $d+1$ colors in such a way that the sizes of the color classes differ by at most 1. Suppose G has color classes C_1, \dots, C_{d+1} . We will then embed C_1 into A_1 , C_2 into A_2 , and so on, as guaranteed by Claim 2.

Claim 4. *There exists a graph $F(n)$ with $Cn^2 \log \log n \log n$ edges which contains all graphs on n edges where C is an absolute constant.*

Proof. We will construct the graph $F(n)$ as follows:

- (i) The vertex set is the disjoint union of A and B where $|A| = 2n \log \log n / \log n$ and $|B| = 2n$.
- (ii) Every vertex v in A is adjacent to all vertices in $V(F(n)) - \{v\}$.
- (iii) The subgraph of $F(n)$ induced by B is the graph, as described in Claim 3, which has $4n^2 \log \log n / \log n$ edges and contains all graphs with $2n$ vertices and degree at most d .

It is easy to see that $F(n)$ has at most $10n^2 \log \log n / n^2$ edges. Let G be an arbitrary graph on n edges. G has at most $2n \log \log n / \log n$ vertices with degree more than $\log n / \log \log n$. These vertices will be embedded in A . The remaining part of the graph will then be embedded in B as guaranteed by Claim 3.

This completes the proof of Claim 4.

Remark. If instead of using the result of Hajnal and Szemerédi, we use the simple fact that a graph on n vertices and maximum degree d can be $2(d+1)$ colored so that each color class has size at most n/d , then the resulting bound will differ from the one presented by a constant factor.

4. Universal graphs for planar graphs

We will use the following theorem to give an upper bound of $n^{3/2}$ for the universal graphs which contain all planar graphs on n edges.

Separator Theorem (Lipton and Tarjan [6]). *Let G be any planar graph with n vertices. The vertices of G can be partitioned into three sets, A, B, C such that no edge joins a vertex in B with a vertex in C , neither B and C contain more than $n/2$ vertices, and A contains no more than $2\sqrt{2n}/(1 - \sqrt{2/3})$ vertices.*

Let $G(m)$ denote the graph constructed as shown in Fig. 1.

The vertices of $G(n)$ can be partitioned into three parts, X, Y and Z where $|X| =$

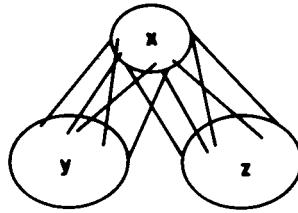


Fig. 1.

$2\sqrt{2n}/(1 - \sqrt{2/3}) = c_1\sqrt{n}$, $|Y| = |V(G(\lfloor n/2 \rfloor))|$ and $|Z| = |V(G(\lfloor n/2 \rfloor))|$. Any vertex in X is adjacent to any vertex in $G(n)$ except itself. The induced subgraph on Y is $G(\lfloor n/2 \rfloor)$ and the induced subgraph on Z is $G(\lfloor n/2 \rfloor)$.

It is rather straightforward to see that any planar graph with n vertices can be embedded in $G(n)$ since we can partition any planar graph into three parts, A , B and C as described in the Separator Theorem, and we can embed A in X , B in Y and C in Z .

We also note that $G(n)$ has fewer than c_2n vertices since

$$|V(G(n))| < 2|V(G(n/2))| + c_1\sqrt{n}$$

and we can prove by induction on n that

$$|V(G(n))| \leq \frac{c_1\sqrt{2}}{\sqrt{2}-1} n \left(1 - \frac{1}{\sqrt{2n}}\right).$$

Now, by the construction of $G(n)$, we know that

$$|E(G(n))| \leq |V(G(n))| \cdot c_1\sqrt{n} + 2|E(G(n/2))|.$$

It follows by induction that $G(n)$ has fewer than $cn^{3/2}$ edges where $c = c_1^2\sqrt{2}/(\sqrt{2}-1) = 19.7607\dots$. Therefore we have

$$s'(n) < cn^{3/2}$$

and Theorem 2 is proved.

We note that the obvious lower bound for $s'(n)$ is $\frac{1}{2}n \log n$ which is the lower bound for the number of edges in graphs which contains all trees on n edges (see [2]). At present we do not know any better lower bound than $cn \log n$.

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