

# The $\beta$ constant appeared in algebraic and complex geometry

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# Nevanlinna theory: Introduction the notations

Let  $X$  be a complex projective variety and let  $D$  be an effective Cartier divisor. Let  $s_D$  be the canonical section of  $[D]$  (i.e.  $[s_D = 0] = D$ ) and  $\|\cdot\|$  be an hermitian metric, i.e.  $\|s\|^2 = |s_\alpha|^2 h_\alpha$ .

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$$m_f(r, D) + N_f(r, D) = T_{f,D}(r) + O(1)$$

where  $\lambda_D(x) = -\log \|s_D(x)\| = -\log$  distance from  $x$  to  $D$  (Weil function for  $D$ ),  $m_f(r, D) = \int_0^{2\pi} \lambda_D(f(re^{i\theta})) \frac{d\theta}{2\pi}$  (Approximation function).  $T_{f,L}(r) := \int_1^r \frac{dt}{t} \int_{|z|<t} f^* c_1(L)$  (Height function).

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From First Main Theorem,  $N_f(r, D) \leq T_{f,D}(r)$ . The Second Main Theorem (in the spirit of Nevanlinna-Cartan) is to control  $T_{f,D}(r)$  in terms of  $N_f(r, D)$ , or equivalently, to control  $m_f(r, D)$  in terms of  $T_{f,D}(r)$ .

# Nevanlinna's SMT for meromorphic functions

The Second Main Theorem(Nevanlinna, 1929). Let  $f$  be meromorphic (non-constant) on  $\mathbb{C}$  and  $a_1, \dots, a_q \in \mathbb{C} \cup \{\infty\}$  distinct. Then, for any  $\epsilon > 0$ ,  
 $(q - 2 - \epsilon)T_f(r) \leq_{exc} \sum_{j=1}^q N_f(r, a_j)$ , or equivalently

$$\sum_{j=1}^q m_f(r, a_j) \leq_{exc} (2 + \epsilon)T_f(r) ,$$

where  $\leq_{exc}$  means that the inequality holds for  $r \in [0, +\infty)$  outside a set  $E$  with finite measure.

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**Cartan's Theorem (1933).** Let  $f : \mathbb{C} \rightarrow \mathbb{P}^n(\mathbb{C})$  be a linearly non-degenerate holomorphic map. Let  $H_1, \dots, H_q$  be the hyperplanes in general position on  $\mathbb{P}^n(\mathbb{C})$ . Then, for any  $\epsilon > 0$ ,  $\sum_{j=1}^q m_f(r, H_j) \leq_{\text{exc}} (n + 1 + \epsilon) T_f(r)$ .

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In 2004, Ru extended the above result to hypersurfaces for  $f : \mathbb{C} \rightarrow \mathbb{P}^n(\mathbb{C})$  with Zariski dense image.

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**Theorem (Ru, 2009).** Let  $f : \mathbb{C} \rightarrow X$  be holo and Zariski dense,  $D_1, \dots, D_q$  be divisors in general position in  $X$ . **Assume that  $D_j \sim d_j A$  ( $A$  being ample).** Then, for  $\forall \epsilon > 0$ ,

$$\sum_{j=1}^q \frac{1}{d_j} m_f(r, D_j) \leq_{\text{exc}} (\dim X + 1 + \epsilon) T_{f,A}(r)$$

**Theorem (Ru-Vojta, Amer. J. Math., 2020).** Let  $X$  be a smooth complex projective variety and let  $D_1, \dots, D_q$  be effective Cartier divisors in general position. Let  $D = D_1 + \dots + D_q$ . Let  $\mathcal{L}$  be a line sheaf on  $X$  with  $h^0(\mathcal{L}^N) \geq 1$  for  $N$  big enough. Let  $f : \mathbb{C} \rightarrow X$  be a holomorphic map with Zariski image. Then, for every  $\epsilon > 0$ ,

$$\sum_{j=1}^q \beta_j(\mathcal{L}, D_j) m_f(r, D_j) \leq_{\text{exc}} (1 + \epsilon) T_{f, \mathcal{L}}(r)$$

where

$$\beta(\mathcal{L}, D) = \limsup_{N \rightarrow +\infty} \frac{\sum_{m \geq 1} \dim H^0(X, \mathcal{L}^N(-mD))}{N \dim H^0(X, \mathcal{L}^N)}.$$

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In the case when  $D_j \sim A$ , then  $\beta(D, D_j) = \frac{q}{n+1}$ , where  $D = D_1 + \dots + D_q$ .

The proof is based on the following basic theorem, which is basically a reformulation of Cartan's theorem above:

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**The Basic Theorem.** Let  $X$  be a complex projective variety and let  $\mathcal{L}$  be a line sheaf on  $X$  with  $\dim H^0(X, \mathcal{L}) \geq 1$ . Let  $s_1, \dots, s_q \in H^0(X, \mathcal{L})$ . Let  $f : \mathbf{C} \rightarrow X$  be a holomorphic map with Zariski-dense image. Then, for any  $\epsilon > 0$ ,

$$\int_0^{2\pi} \max_J \sum_{j \in J} \lambda_{s_j}(f(re^{i\theta})) \frac{d\theta}{2\pi} \leq_{\text{exc}} (\dim H^0(X, \mathcal{L}) + \epsilon) T_{f, \mathcal{L}}(r)$$

where the set  $J$  ranges over all subsets of  $\{1, \dots, q\}$  such that the sections  $(s_j)_{j \in J}$  are linearly independent.

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where the set  $J$  ranges over all subsets of  $\{1, \dots, q\}$  such that the sections  $(s_j)_{j \in J}$  are linearly independent. Note: The  $D \sim_{\mathbb{Q}} L$  is of **m-basis type** if  $D := \frac{1}{mN_m} \sum_{s \in \mathcal{B}} (s)$ , where  $\mathcal{B}$  is a basis of  $H^0(X, \mathcal{L}^{\otimes m})$ , where  $N_m = \dim H^0(X, \mathcal{L}^{\otimes m})$ .



**Theorem (Weak version of Ru-Vojta).** Let  $X$  be a complex projective variety and let  $D_1, \dots, D_q$  be effective Cartier divisors such that at most  $\ell$  of such divisors meet at any point of  $X$ . Let  $\mathcal{L}$  be a line sheaf on  $X$  with  $h^0(\mathcal{L}^N) \geq 1$  for  $N$  big enough. Let  $f : \mathbf{C} \rightarrow X$  be a holomorphic map with Zariski-dense image. Then, for every  $\epsilon > 0$ ,  $\sum_{j=1}^q \beta(\mathcal{L}, D_j) m_f(r, D_j) \leq_{\text{exc}} \ell(1 + \epsilon) T_{f, \mathcal{L}}(r)$ .

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- Consider the following filtration of  $H^0(X, \mathcal{L}^N)$ :

$$H^0(X, \mathcal{L}^N) \supseteq H^0(X, \mathcal{L}^N(-D_{i_0})) \supseteq \cdots \supseteq H^0(X, \mathcal{L}^N(-mD_{i_0})) \supseteq \cdots$$

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$$\begin{aligned} \sum_{j=1}^l (s_j) &\geq \left( \sum_{m=0}^{\infty} m [h^0(\mathcal{L}^N(-mD_{i_0})) - h^0(\mathcal{L}^N(-(m+1)D_{i_0}))] \right) D_{i_0} \\ &= \left( \sum_{m=1}^{\infty} h^0(\mathcal{L}^N(-mD_{i_0})) \right) D_{i_0}. \end{aligned}$$



Hence the  $m$ -basis

$$\frac{1}{Nh^0(\mathcal{L}^N)} \sum_{j=1}^{h^0(\mathcal{L}^N)} (s_j) \\ \geq \frac{\sum_{m=1}^{\infty} h^0(\mathcal{L}^N(-mD_{i_0}))}{Nh^0(\mathcal{L}^N)} D_{i_0}.$$

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It then follows from the Basic Theorem. In summary: The proof is about estimate the order of the  $m$ -basis coming from the filtration, and then apply the basic Theroem.

# Diophantine approximation

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**Theorem (Roth, 1955).** Let  $\alpha$  be an algebraic number of degree  $\geq 2$ . Then, for any given  $\varepsilon > 0$ , we have  $\left| \alpha - \frac{p}{q} \right| > \frac{1}{q^{2+\varepsilon}}$  for all, but finitely many, coprime integers  $p$  and  $q$ .

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**Roth's Theorem.**  $k$ =number field and  $S$ =finite set of places on  $k$ .  $a_1, \dots, a_q$  distinct in  $\mathbb{P}^1(k)$ . Then

$$\sum_{j=1}^q \sum_{v \in S} \log^+ \frac{1}{\|x - a_j\|_v} \leq (2 + \varepsilon)h(x)$$

holds for  $\forall x \in \mathbb{P}^1(k)$  except for finitely many points.

Denote by

$$m_S(x, a) := \sum_{v \in S} \log^+ \frac{1}{\|x - a\|_v}.$$

Then  $\sum_{j=1}^q m_S(x, a_j) \leq_{exc} (2 + \varepsilon)h(x)$ .

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$$\beta(L, D) := \limsup_{N \rightarrow \infty} \frac{\sum_{m \geq 1} h^0(L^N(-mD))}{Nh^0(L^N)}.$$

**Theorem** (Ru-Vojta, 2020) [Arithmetic Part] Let  $X$  be a projective variety over a number field  $k$ , and  $D_1, \dots, D_q$  be effective Cartier divisors intersecting properly on  $X$ . Let  $S \subset M_k$  be a finite set of places. Then, for every  $\epsilon > 0$ , the inequality

$$\sum_{i=1}^q \beta(L, D_i) m_S(x, D_i) \leq (1 + \epsilon) h_L(x)$$

holds for all  $k$ -rational points outside a proper Zariski-closed subset of  $X$ .



# The volume function

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Notice that  $\text{Vol}(kL) = k^n \text{Vol}(L)$  so the volume function can be extended to  $\mathbb{Q}$ -divisors. Also note that  $\text{Vol}(\ )$  depends only on the numerical class of  $L$ , so it is defined on  $NS(X) := \text{Div}(X)/\text{Num}(X)$  and extends uniquely to a continuous function on  $NS(X)_{\mathbb{R}}$ . The volume function lies at the intersection of many fields of mathematics and has a variety of interesting applications (bi-rational geometry, complex geometry, number theory etc.)

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So we can express the above constant through the notion of  $\text{Vol}(L)$ ,

$$\beta(L, D) = \frac{1}{\text{Vol}(L)} \int_0^\infty \text{Vol}(L - tD) dt.$$

This can be proved by using the [theory of Okounkov body](#).

# Okounkove body

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Let  $L$  be a big line bundle on  $X$ . An **Okounkov body**  $\Delta(L) \subset \mathbb{R}^n$  (where  $n = \dim X$ ) is a compact convex set designed to study the asymptotic behavior of  $H^0(X, mL)$ , as  $m \rightarrow \infty$ . They have the crucial property that the Euclidean volume

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$\text{Vol}(\Delta) = \lim_{m \rightarrow \infty} \frac{\dim H^0(X, mL)}{m^n} = \frac{\text{Vol}(L)}{n!}$ . Here is the detailed description. Fix a system  $z = (z_1, \dots, z_n)$  of parameters centered at a regular closed point  $\xi$  of  $X$ . This defines a real rank- $n$  valuation  $\text{ord}_z : \mathcal{O}_{X, \xi} \setminus \{0\} \rightarrow \mathbb{N}^n$  by  $f \mapsto \text{ord}_z(f) := \min_{\text{lex}} \{\alpha \in \mathbb{N}^n \mid a_\alpha \neq 0\}$ .

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 Here is the detailed description. Fix a system  $z = (z_1, \dots, z_n)$  of parameters centered at a regular closed point  $\xi$  of  $X$ . This defines a real rank- $n$  valuation  $\text{ord}_z : \mathcal{O}_{X, \xi} \setminus \{0\} \rightarrow \mathbb{N}^n$  by  $f \mapsto \text{ord}_z(f) := \min_{\text{lex}} \{\alpha \in \mathbb{N}^n \mid a_\alpha \neq 0\}$ . Let  $\Gamma_m := \text{ord}_z(H^0(X, mL) \setminus \{0\}) \subset \mathbb{N}^n$ , then  $\#\Gamma_m = \dim H^0(X, mL)$ .

# Okounkov body

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$$\Delta = \Sigma \cap (\{1\} \times \mathbb{R}^n) \subset \mathbb{R}^n.$$

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**The Vanishing sum:** Given a filtration  $\mathcal{F}$  (for example  $\mathcal{F}_m^t := H^0(mL - tD)$ ), consider the *jumping numbers*  $0 \leq a_{m,1} \leq \dots \leq a_{m,N_m}$ , defined by,  $a_{m,j} = a_{m,j}^{\mathcal{F}} = \inf\{t \in \mathbb{R}_+ \mid \text{codim} \mathcal{F}_m^t \geq j\}$  for  $1 \leq j \leq N_m$ .

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$$\lim_{m \rightarrow +\infty} \mu_m = \mu$$

in the weak sense of measures on  $\mathbb{R}_+$ , where  $\mu = (G_{\mathcal{F}})_* \lambda$ ,  $G_{\mathcal{F}} : \Delta(V_\bullet) \rightarrow [-\infty, +\infty)$ ,  $G_{\mathcal{F}}(x) := \sup\{t \in \mathbb{R}, x \in \Delta(V_\bullet^t)\}$ .



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The notion of the *K-stability* of Fano varieties is an algebro-geometric stability condition originally motivated by studies of Kähler metrics. Indeed, as expected, when the base field is the complex number field, it is recently established that the existence of positive scalar curvature Kähler-Einstein metrics, i.e., Kähler metrics with constant Ricci curvature, is actually equivalent to the algebro-geometric condition “K-stability”, by the works of Tian, Donaldson, and Chen-Donaldson-Sun. This equivalence had been known before as the [Yau-Tian-Donaldson conjecture](#) (for the case of Fano varieties).

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In 2015, Fujita showed that if (Fano)  $X$  is  $K$ -(semi) stable, then  $\beta(-K_X, D) < 1$  (resp.  $\beta(-K_X, D) \leq 1$ ) for any nonzero effective divisors on  $X$ .

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Blum-Jonsson used *m-basis type* to describe the stability threshold  $\delta(L)$ :



Blum-Jonsson used *m*-basis type to describe the stability threshold  $\delta(L)$ : they proved  $\delta(L) = \lim \delta_m(L)$ , where  $\delta_m(L) := \inf \{\text{lct}(D) \mid D \sim_{\mathbb{Q}} L \text{ of } m\text{-basis type}\}$ . (through *m*-basis). Algebraic geometry definition of “log canonical threshold”:

$$\text{lct}(D) = \min_E \frac{A_X(E)}{\text{ord}_E(D)},$$

where the minimal is taken over all primes  $E$  over  $X$ .

# The log canonical threshold through singular metric

Tian in 1987 introduced  $\alpha(L)$  the **log canonical threshold of  $L$**  as follows: Let  $h = e^{-\phi}$  be a singular metric with  $\Theta_{L,h} \geq 0$ , where  $\Theta_{L,h} = \frac{\sqrt{-1}}{\pi} \partial \bar{\partial} \log \phi$ . Define  $c_p(h) = \sup\{c \mid e^{-2c\phi}$  is locally integrable at  $p\}$ . Define, for  $p \in X$ ,  $\alpha_p(L) = \inf_{h: \Theta_{L,h} \geq 0} c_p(h)$  and  $\alpha(L) = \inf_{p \in X} \alpha_p(L)$ .

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# Proof of Blum-Jonsson's result

To see Blum-Jonsson's result:  $\lim_{m \rightarrow \infty} \delta_m(L) = \delta(L)$ , where

$$\delta(L) = \inf_E \frac{A_X(E)}{\beta(L, E)}, \quad \delta_m(L) := \inf \{ \text{lct}(D) \mid D \sim_{\mathbb{Q}} L \text{ of } m\text{-basis type} \},$$
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# The choice of $m$ -basis

Let  $E$  be an effective Cartier divisor. The  $m$ -basis comes from the filtration  $\mathcal{F}_m^t = H^0(X, mL - tE)$ ,  $t \geq 0$  of  $H^0(X, mL)$ . The  $m$ -basis is  $D := \frac{1}{mN_m} \sum_{s \in B} (s)$ . Notice that, for any  $s \in W_t := H^0(X, mL - tE)$ ,  $\text{ord}_E(s) \geq t$ , so  $\text{ord}_E(D) =$

$$\begin{aligned} \frac{1}{mN_m} \sum_{s \in B} \text{ord}_E(s) &\geq \frac{1}{mN_m} \left( \sum_{t=0}^{\infty} t(\dim W_t - \dim W_{t+1}) \right) \\ &= \frac{1}{mN_m} \left( \sum_{t=1}^{\infty} \dim W_t \right) \rightarrow \beta(L, E) \text{ as } m \rightarrow \infty. \end{aligned}$$

Indeed:  $\beta_m(L, E) := \inf\{\text{lct}(D) \mid D \sim_{\mathbb{Q}} L \text{ of } m\text{-basis type}\}$   
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By taking  $\mathcal{F}_m^t = H^0(X, mL - tD)$ ,  $t \geq 0$ , we can show that, for any effective divisor  $D$ ,  $\delta(L) \leq \frac{1}{\beta(L,D)} \text{lct}(D)$ .

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- Furthermore,  $\alpha(L) = \inf_E \frac{A(E)}{T(L, E)}$ . This gives (B) (as above)

$$\alpha(L) \leq \delta(L) \leq (n+1)\alpha(L).$$