

- Class website  
mathweb.ucsd.edu/~hi/math240
- All information can be found
- Reading: means you need to read.
- My lectures will try to center on some important results.

Lecture notes shall be sent afterwards to Canvas & posted at the website.

Grades: 40% + 30% + 30%

- Functional Analysis
- $L^p$ -spaces
- Some weak topology, Compactness,
- Radon measures. — continue some topics on measures left by Peter Ebenfelt in ch 3.

1st Lecture is on Hahn-Banach Theorem.

In the course announcement via Canvas I have assigned the reading of §4.1 & §4.2. Please do read them and do the exercises since we do assume some basics from the general topology.



# Hahn-Banach Theorem & Applications

## ① Readings:

- Normed vector spaces & a semi-norm
- Banach spaces Thm 5.1
- Products & quotients
- Bounded linear map
- Banach algebra

② Thm 1 (Hahn-Banach) Let  $X$  be a real vector space,  $p$  a sublinear functional,  $M$  a subspace of  $X$

Let  $f$  be linear functional on  $M$  such that  $f(x) \leq p(x) \quad \forall x \in M$

Then  $\hat{a} \exists$  a linear functional  $F$  on  $X$  with  $F(x) \leq p(x) \quad \forall x \in X$

⑥  $F|_M = f.$

• Linear function  $f: X \rightarrow \mathbb{R}$   $\begin{cases} f(x+y) = f(x) + f(y) \\ f(\lambda x) = \lambda f(x) \end{cases}$

• sub-  $p: X \rightarrow \mathbb{R}$   $\begin{cases} p(x+y) \leq p(x) + p(y), & p(\lambda x) = \lambda p(x) \\ & \forall \lambda \geq 0 \end{cases}$

Hahn-Banach: An extension with estimates

③ - Proof - step 1, - finite dimensional case.  
 $M + \mathbb{R}\{x\}$  case.

(Namely  $X = M + \text{linear span of } \{x_1, \dots, x_k\}$ )

$$F(y + \lambda x) = f(y) + \lambda \alpha$$

Pick an  $\alpha$  such that ~~one~~<sup>it</sup> satisfies the estimate:

$$F(y + \lambda x) \leq p(y + \lambda x)$$

$$\parallel \\ f(y) + \lambda \alpha \leq p(y + \lambda x)$$

Case 1:  $\lambda > 0$   $\alpha \leq -\frac{1}{\lambda} f(y) + \frac{1}{\lambda} p(y + \lambda x)$

$$= f\left(\frac{1}{\lambda} y\right) + p\left(\frac{1}{\lambda} y + x\right)$$

Case 2:  $\lambda < 0$   $\alpha \geq \frac{1}{\lambda} (p(y + \lambda x) - f(y)) = -p\left(-\frac{1}{\lambda} y - x\right) + f\left(-\frac{y}{\lambda}\right)$



$$\Leftrightarrow \begin{cases} \alpha \leq P(x+y') - f(y') \\ \alpha \geq f(y'') - P(y''-x) \end{cases}$$

This however can be checked, namely

$$P(x+y') - f(y') \geq f(y'') - P(y''-x)$$

$$\Leftrightarrow P(x+y') + P(y''-x) \geq f(y') + f(y'') = f(y'+y'')$$

④ Zorn's Lemma: If  $X$  is a partially ordered set, such that every linearly ordered subset of  $X$  has an upper bound, then  $X$  has a maximal element.

Partial order, ①  $x \leq y \quad y \leq z \Rightarrow x \leq z$

② if  $x \leq y$  &  $y \leq x \Rightarrow x=y$

③  $x \leq x$

Linear order on  $S \quad \forall x, y \in S$  either  $x \leq y$  or  $y \leq x$ .

⑤ Application to finish the proof of Hahn-Banach.

$$X := \left\{ (Z, G) \mid \begin{array}{l} M \subset Z \text{ & } G \leq P \text{ on } Z \\ G|_M = f \end{array} \right\}$$

$$(Z, G) \leq (Z', G')$$

if  $Z \subset Z'$

$$\& \quad G'|_G = G$$

$\{(Z_\nu, G_\nu)\}$  a linearly ordered subset,  $\begin{cases} Z := \cup Z_\nu \\ G|_{Z_\nu} := G_\nu \end{cases}$



$\Rightarrow (Z, \leq) \leq (Z, G)$  <sup>is</sup>  
Hence Zorn's lemma assumption satisfied.

$\Rightarrow \exists$  a maximal element.  $\Rightarrow F$  exists on  $X$  (otherwise it is NOT maximal by ③)

⑥ Applications:

Theorem 5.8: a. Separation of  $M$  &  $x$ :  $x \notin M. \exists f$

$f|_M = 0, f(x) = \delta, \|f\| = 1, \delta = \inf_{y \in M} \|x - y\|$

b. If  $x \neq 0 \in X, \exists f \in X^*$

$\|f\| = 1$  &  $f(x) = \|x\|$

c.  $\forall x \neq y \in X \exists f \in X^* f(x) \neq f(y)$

d.  $\forall x \in X \hat{x} := f(x) \forall f \in X^*$

Then  $x \rightarrow \hat{x}: X \rightarrow X^{**}$  is a linear isometry. ]

Pf: a.  $f(y + \lambda x) = \lambda \delta$

Then  $f$  is a linear functional on  $M + \mathbb{R}\{x\}$

$$|f(y + \lambda x)| = |\lambda \delta| \leq \lambda \|x + \frac{1}{\lambda} y\| = \|x + y\|$$

Hence  $|f(z)| \leq \|z\|$  on  $M' := M + \mathbb{R}\{x\}$

Now apply HB to  $M'$

$\Rightarrow |F(z)| \leq \|z\| \quad \forall z \in X \Rightarrow \|F\| \leq 1$

But  $|F(x)| = \delta \Rightarrow \exists y_n \in M \quad \|x - y_n\| \rightarrow \delta$

$$F(x - y_n) = \delta \Rightarrow |F(x - y_n)| = \delta$$

Hence  $\|F\| \geq \frac{|F(x - y_n)|}{\|x - y_n\|} \rightarrow 1$



For d.  $\hat{x}$  is clearly linear

$$\hat{x}(f) = 0 \quad \forall f \Rightarrow x = 0 \quad \text{otherwise } \exists f \in X^* \quad f(x) = \|x\| \neq 0$$

$\Rightarrow \hat{x}$  is l.i.

$$\& \quad |\hat{x}(f)| = |f(x)| \leq \|f\| \|x\| \Rightarrow \|\hat{x}\| \leq \|x\|$$

On the other hand by b.  $\Rightarrow \exists f_0 \quad \hat{x}(f_0) = f_0(x) = \|x\|$   
 $\|f_0\| = 1$

$$\Rightarrow \frac{|\hat{x}(f_0)|}{\|f_0\|} = \|x\| \Rightarrow \|\hat{x}\| \geq \|x\|$$

Reading: • Reflexive normed spaces.

• Complex Hahn-Banach Theorem

① Props. 5 & Thm. 5.7 • f FD

② Further applications § 3.2 • f LAX