

## MODEL ANSWERS TO THE SIXTH HOMEWORK

1. Note that  $f$  is not constant by assumption. In particular  $|f(z)| < M$  be the maximum principle.

We first prove this for the unit disk. We have

$$f: \Delta \longrightarrow \Delta$$

and  $f$  has a zero of order  $m$  at 0. Schwarz's Lemma implies that

$$|f(z)| \leq |z|.$$

Consider

$$g(z) = \frac{f(z)}{z}.$$

Then

$$g: \Delta \longrightarrow \Delta$$

and  $g$  has a zero of order  $m - 1$  at 0. It follows by induction that

$$|f(z)| \leq |z|^m.$$

Further equality holds if and only if

$$f(z) = \lambda z^m,$$

for some scalar  $\lambda$ , with  $|\lambda| = 1$ .

Now we use the functions  $\alpha$  and  $\beta$  in lecture 16.

$$\alpha: z \longrightarrow Rz + a \quad \text{and} \quad \beta: z \longrightarrow z/M.$$

Given

$$f: U \longrightarrow \mathbb{C} \quad \text{such that} \quad |f(z)| \leq M$$

let

$$g = \beta \circ f \circ \alpha: \Delta \longrightarrow \Delta.$$

As  $f$  has a zero of order  $m$  at  $a$ ,  $g$  is a holomorphic map with a zero of order  $m$  at 0. By what we already proved

$$|g(w)| \leq |w|^m.$$

Apply the inverse of  $\beta$  to both sides it follows that

$$|(f \circ \alpha)(w)| \leq M^m |w|^m.$$

Pick  $z \in U$ . If we put

$$w = \frac{z - a}{R},$$

then  $w \in \Delta$  and  $\alpha(w) = z$ . We have

$$\begin{aligned} |f(z)| &= |f(\alpha(w))| \\ &\leq M^m |w|^m \\ &= \frac{M^m}{R^m} |z - a|^m. \end{aligned}$$

Now suppose we have equality at some point not equal to  $a$ . Then we have equality for  $g$  at some point other than 0. But then

$$g(w) = \lambda w^m,$$

for some  $\lambda$  of modulus 1. In this case

$$\begin{aligned} f(z) &= g(w) \\ &= (M^m \lambda) w^m \\ &= \frac{M^m \lambda}{R^m} (z - a)^m. \end{aligned}$$

2.  $\psi: \Delta \rightarrow \Delta$  is a biholomorphic map taking  $a$  to 0. The composition

$$g = f \circ \psi: \Delta \rightarrow \Delta$$

is a holomorphic map which has a zero of order  $m$  at zero. Thus

$$|g(w)| \leq |w|^m$$

by Question 1. If  $z \in \Delta$  then we may find  $w \in \Delta$  such that  $\psi(w) = z$ . In this case

$$\begin{aligned} |f(z)| &= |f(\psi(w))| \\ &= |g(w)| \\ &\leq |w|^m \\ &= |\psi(z)|^m. \end{aligned}$$

It follows that

$$\begin{aligned} |f(0)| &\leq |\psi(0)|^m \\ &= |a|^m. \end{aligned}$$

3. Suppose that  $f(z)$  is nowhere zero. Then the function

$$p: \Delta \rightarrow \mathbb{C}$$

given by

$$p(z) = \frac{1}{f(z)}$$

is holomorphic on the closed unit disk. Applying the maximum principle to the closed unit disk we see that  $|p(z)|$  achieves its maximum at a point  $a$  on the circle  $|z| = 1$ . We have

$$\begin{aligned} 1 &= \frac{1}{|f(0)|} \\ &= \left| \frac{1}{f(0)} \right| \\ &= |p(0)| \\ &< |p(a)| \\ &= \left| \frac{1}{f(a)} \right| \\ &= \frac{1}{|f(a)|} \\ &< 1, \end{aligned}$$

which is not possible.

Thus  $f(z)$  is zero somewhere in the unit disk.

Let

$$g: \Delta \longrightarrow \mathbb{C}$$

be given by

$$g(z) = \frac{f(z)}{M}.$$

Note that

$$|g(z)| < 1 \quad \text{on} \quad |z| = 1.$$

If  $a$  is a zero of  $f$  then it is also a zero of  $g$  and so by Question 2 we have

$$\begin{aligned} \frac{1}{M} &= \frac{|f(0)|}{M} \\ &= |g(0)| \\ &< |a|. \end{aligned}$$

4. One direction is clear. If  $f(z)$  is a finite Blaschke product then  $f(z)$  is holomorphic on the closed unit disk, so that it is certainly holomorphic on  $\Delta$  and continuous on the closed unit disk and  $|f(z)| = 1$  on  $|z| = 1$ , since it is a product of biholomorphic maps of the unit disk to itself.

Now suppose that  $f(z)$  is holomorphic on  $\Delta$ , continuous on the closed unit disk and  $|f(z)| = 1$  on the circle  $|z| = 1$ . Note that  $f(z)$  has only finitely many zeroes since if it had infinitely many zeroes they would accumulate on  $|z| = 1$ , contradicting the fact that  $|f(z)| = 1$  on  $|z| = 1$ .

Let  $n$  be the number of zeroes.

Suppose first that  $n = 0$ , that  $f(z)$  is nowhere zero on  $\Delta$ . We want to show that  $f(z)$  is constant. If not then  $f(0) = b \in \Delta$ . Consider

$$g: \Delta \longrightarrow \mathbb{C}$$

given by

$$g(z) = \frac{f(z)}{b}.$$

Then  $g(0) = 1$  and if  $|z| = 1$  then we have

$$\begin{aligned} |g(z)| &= \frac{|f(z)|}{|b|} \\ &= \frac{1}{|b|} \\ &> 1. \end{aligned}$$

Question 3 implies that  $g(z)$  has a zero inside  $\Delta$ . But a zero of  $g$  is a zero of  $f$ , which is not possible.

It follows that  $f(z) = \lambda$  is a constant. As  $|f(z)| = 1$  it follows that  $|\lambda| = 1$  so that  $\lambda = e^{i\phi}$ , where  $\phi \in [0, 2\pi)$ .

Now suppose that  $n > 0$ . Let  $a_1, a_2, \dots, a_n$  be the zeroes of  $f(z)$ , repeated according to multiplicity. Let

$$B(z) = \left( \frac{z - a_1}{1 - \bar{a}_1 z} \right) \left( \frac{z - a_2}{1 - \bar{a}_2 z} \right) \cdots \left( \frac{z - a_n}{1 - \bar{a}_n z} \right)$$

and consider

$$g: \Delta \longrightarrow \mathbb{C}$$

given by

$$g(z) = \frac{f(z)}{B(z)}.$$

A priori  $g(z)$  is a meromorphic function. However, since every zero of  $B(z)$  is matched by a zero of  $f(z)$ , it follows that  $g(z)$  is holomorphic. Similarly  $g(z)$  has no zeroes in the unit disk. Note that  $g(z)$  extends to a continuous function on the closed unit disk and that on  $|z| = 1$  we have

$$\begin{aligned} |g(z)| &= \left| \frac{f(z)}{B(z)} \right| \\ &= \frac{|f(z)|}{|B(z)|} \\ &= 1. \end{aligned}$$

As  $g(z)$  is nowhere zero on the unit disk it follows that

$$g(z) = e^{i\phi}$$

by what we already proved. But then  $f(z)$  is a finite Blaschke product. 5. There are two ways to proceed. For the first observe that  $f(z)$  is a rational function and so it is a meromorphic function. The denominator is zero at  $\pm\sqrt{3}i$  and so  $f$  is a holomorphic function on  $\Delta$  which extends to a continuous function on the circle  $|z| = 1$ .

If  $z = e^{i\theta}$  is a point on the unit circle then

$$\begin{aligned} |1 + 3(e^{i\theta})^2| &= |1 + 3(e^{2i\theta})| \\ &= |1 + 3(e^{-2i\theta})| \\ &= |e^{2i\theta} + 3|. \end{aligned}$$

Thus  $|f(z)| = 1$  on the unit circle. It follows by Question 4 that  $f$  is a finite Blaschke product.

For the second we just find an explicit representation of  $f(z)$  as a finite Blaschke product. The zeroes of  $f(z)$  are at

$$a_1 = \frac{i}{\sqrt{3}} \quad \text{and} \quad a_2 = -\frac{i}{\sqrt{3}}.$$

We have

$$\begin{aligned} \left( \frac{z - \frac{i}{\sqrt{3}}}{1 + \frac{i}{\sqrt{3}}z} \right) \left( \frac{z + \frac{i}{\sqrt{3}}}{1 - \frac{i}{\sqrt{3}}z} \right) &= \left( \frac{\sqrt{3}z - i}{\sqrt{3} + iz} \right) \left( \frac{\sqrt{3}z + i}{\sqrt{3} - iz} \right) \\ &= \frac{(\sqrt{3}z - i)(\sqrt{3}z + i)}{(\sqrt{3} + iz)(\sqrt{3} - iz)} \\ &= \frac{3z^2 + 1}{3 + z^2} \\ &= f(z). \end{aligned}$$

6. We first reduce to the unit disk and then we follow the proof of Schwarz's Lemma. Consider the function

$$g(z) = f(3z): \Delta \rightarrow \Delta.$$

Then

$$g(\pm 1/3) = 0 \quad \text{and} \quad g(\pm i/3) = 0.$$

We want to calculate the maximum value of  $|g(0)|$ . Consider the finite Blaschke product

$$B(z) = \left( \frac{z - \frac{1}{3}}{1 - \frac{z}{3}} \right) \left( \frac{z + \frac{1}{3}}{1 + \frac{z}{3}} \right) \left( \frac{z - \frac{i}{3}}{1 + \frac{iz}{3}} \right) \left( \frac{z + \frac{i}{3}}{1 - \frac{iz}{3}} \right).$$

Consider the function

$$h(z) = \frac{g(z)}{B(z)}.$$

This is a meromorphic function on the unit disk. As  $g$  is zero at the zeroes of  $B$ , which are all simple, it follows that  $h$  is a holomorphic function on the unit disk. Consider a circle of radius  $r \in (0, 1)$ . If  $|z| = r$  then

$$\begin{aligned} |h(z)| &= \left| \frac{g(z)}{B(z)} \right| \\ &= \frac{|g(z)|}{|B(z)|} \\ &\leq \frac{1}{r}. \end{aligned}$$

It follows by the maximum principle that

$$|h(z)| \leq \frac{1}{r}$$

on the open disk of radius  $r$ . Taking the limit as  $r$  approaches one we see that  $|h(z)| \leq 1$  on the unit disk. Further equality holds if and only if  $h(z) = \lambda$  is a constant of modulus 1.

In particular  $|h(0)| \leq 1$  with equality if and only if  $h(z) = e^{i\varphi}$ . Thus

$$\begin{aligned} |f(0)| &= |g(0)| \\ &\leq |B(0)| \\ &= \frac{1}{3^4} \\ &= \frac{1}{81}, \end{aligned}$$

with equality if and only if

$$f(z) = e^{i\varphi} B(z/3).$$

7. We first consider the case  $z_0 = r > 0$  and  $z_1 = -r$ . Given  $f$  let

$$g: \Delta \longrightarrow \mathbb{C}$$

be the holomorphic map

$$g(z) = \frac{f(z) - f(-z)}{2}.$$

We have

$$\begin{aligned} |g(r) - g(-r)| &= \left| \frac{f(r) - f(-r)}{2} - \frac{f(-r) - f(r)}{2} \right| \\ &= |f(r) - f(-r)|. \end{aligned}$$

Note that  $g(0) = 0$  and

$$\begin{aligned} |g(z)| &= \left| \frac{f(z) - f(-z)}{2} \right| \\ &\leq \frac{1}{2} (|f(z)| + |f(-z)|) \\ &< 1. \end{aligned}$$

If we apply Schwarz's Lemma to  $g(z)$  then we get  $|g(z)| \leq |z|$ . Thus

$$\begin{aligned} |g(r) - g(-r)| &\leq |g(r)| + |g(-r)| \\ &\leq r + r \\ &= 2r. \end{aligned}$$

If we have equality than

$$|f(z)| \geq |z| \quad \text{for all } z \in \Delta.$$

Suppose that  $f(z)$  is nowhere zero. Then

$$p(z) = \frac{1}{f(z)}$$

is holomorphic on  $\Delta$  and

$$|p(z)| \leq \frac{1}{|z|}.$$

Applying the maximum principle on the circle of radius  $r$  we see that

$$|p(z)| \leq \frac{1}{r}.$$

Letting  $r$  go to one we get

$$|p(z)| \leq 1.$$

But then

$$|f(z)| \geq 1,$$

which is not possible. Thus  $f(z)$  has a zero somewhere. As

$$f(z) \geq |z|$$

we must have  $f(0) = 0$ . Schwarz's Lemma then implies that  $f(z) = \lambda z$  for some scalar  $\lambda$  such that  $|\lambda| = 1$ .

Now suppose  $z_0$  and  $z_1$  are general. Let  $\alpha: \Delta \rightarrow \Delta$  be any biholomorphic map with inverse  $\beta$  and let  $w_i = \alpha(z_i)$ ,  $i = 0, 1$ . If  $f$  maximises  $|f(z_0) - f(z_1)|$  then  $g = f \circ \beta$  maximises

$$|g(w_1) - g(w_0)| = |f(z_0) - f(z_1)|.$$

Consider the biholomorphic map  $\alpha$  of  $\Delta$  given by

$$z \longrightarrow \frac{z - z_0}{1 - \bar{z}_0 z}.$$

$\alpha$  sends  $z_0$  to 0. If we apply a rotation to  $\alpha(z_1)$  we may assume that  $z_1 = x$  is a positive real.

If we use the biholomorphic map

$$z \longrightarrow \frac{z - r}{1 - rz}$$

to move 0 to  $-r$  and  $x$  to  $r$  then we have

$$\frac{x - r}{1 - rx} = r \quad \text{so that} \quad xr^2 - 2r + x = 0.$$

Solving for  $r$  gives

$$\frac{2 \pm \sqrt{4 - 4x^2}}{2x} = \frac{1 \pm \sqrt{1 - x^2}}{x}.$$

We want the negative square root

$$r = \frac{1 - \sqrt{1 - x^2}}{x}.$$

Thus the maximum value is

$$\frac{2 - 2\sqrt{1 - x^2}}{x} \quad \text{where} \quad x = \left| \frac{z_1 - z_0}{1 - \bar{z}_0 z_1} \right|.$$

8. (a) There are many possibilities. One is

$$\alpha(z) = \frac{i(z + 1)}{1 - z}.$$

This sends 1 to  $\infty$ ,  $-1$  to 0 and  $i$  to  $-1$ . So three points of the unit circle go to three points of the real line. As a Möbius transformation take lines and circles to line and circles, it follows that this transformation takes the unit circle to the real axis. As 0 is sent to  $i$  it follows the unit disk is carried to the upper half plane.

(b) It is convenient to state an auxiliary result that we will use a little bit later. Consider the extended real line  $\mathbb{R} \cup \{\infty\}$ . Given any three distinct points  $\alpha$ ,  $\beta$  and  $\gamma$  of the extended real line, so that  $\alpha$ ,  $\beta$  and  $\gamma$  are either real numbers or  $\infty$ , there is a unique map

$$f: \mathbb{R} \cup \{\infty\} \longrightarrow \mathbb{R} \cup \{\infty\}$$

of the extended real line to itself, of the form

$$f(x) = \frac{ax + b}{cx + d}$$

where  $a$ ,  $b$ ,  $c$  and  $d$  are real numbers and  $ad - bc = \pm 1$ .



We follow the same lines of proof as for the complex number. As the composition of Möbius transformations is a Möbius transformation we can prove this in stages. We want to send  $\gamma$  to infinity. We may assume  $\gamma \neq \infty$ . In this case we take  $a = 0$ ,  $b = c = 1$  and  $d = -\gamma$ . From now on we want to fix  $\infty$ , so we look at transformations of the form

$$x \longrightarrow ax + b$$

If we put  $b = \alpha$  and  $a = 1$  then we send 0 to  $\alpha$ . Now we want to fix both 0 and  $\infty$ . This means we have a transformation of the form

$$x \longrightarrow ax$$

If we put  $a = \beta$  then we send 1 to  $\beta$ . We already proved that there is at most one Möbius transformation with complex coefficients sending 0, 1 and  $\infty$  to  $\alpha$ ,  $\beta$  and  $\gamma$  and so uniqueness is clear. If  $ad - bc > 0$  and we multiply top and bottom by the square root of the reciprocal we are reduced to the case  $ad - bc = 1$ . If  $ad - bc < 0$  by a similar trick we are reduced to  $ad - bc = -1$ .

Let  $f: \mathbb{H} \longrightarrow \mathbb{H}$  be a biholomorphic map. Let

$$\beta(z) = \frac{z - 1}{z + 1}$$

be the inverse of the Möbius transformation  $\alpha$ . Then

$$g = \beta \circ f \circ \alpha: \Delta \longrightarrow \Delta$$

is a holomorphic map from the unit disk to the unit disk. If  $f_0$  is the inverse of  $f$  then  $g_0 = \beta \circ f_0 \circ \alpha$  is the inverse of  $g$ . As  $g_0$  is holomorphic  $g$  is biholomorphic. It follows that  $g$  is a Möbius transformation. From the equation  $g = \beta \circ f \circ \alpha$  we get  $f = \alpha \circ g \circ \beta$ . But then  $f$  is a Möbius transformation.

Thus every biholomorphic map of the disk to itself is a Möbius transformation.  $g$  sends the unit circle to the unit circle. As  $\alpha$  sends the unit circle to the real axis, it follows that  $f$  sends the real axis to the real axis.

Consider the image of 0, 1 and  $\infty$ . We get three real numbers  $\alpha$ ,  $\beta$  and  $\gamma$ . There is a unique Möbius transformation which sends 0, 1 and  $\infty$  to  $\alpha$ ,  $\beta$  and  $\gamma$ . As we already constructed one Möbius transformation with this property it must be the unique one and so

$$f(z) = \frac{az + b}{cz + d}$$

where  $a, b, c$  and  $d$  are real and  $ab - bc = \pm 1$ . Consider

$$\begin{aligned} f(i) &= \frac{ai + b}{ci + d} \\ &= \frac{(ai + b)(-ci + d)}{c^2 + d^2} \\ &= \frac{ac + bd + i(ad - bc)}{c^2 + d^2}. \end{aligned}$$

By assumption  $f(i) \in \mathbb{H}$ , so that the imaginary part  $ad - bc > 0$ . Thus  $ad - bc = 1$ .

(c) If  $f: \mathbb{H} \rightarrow \Delta$  is a biholomorphic map of the upper half plane to the unit disk then  $f \circ \alpha: \Delta \rightarrow \Delta$  is a biholomorphic map of the upper half plane to itself, where  $\alpha$  is the Möbius transformation introduced in (a). As birational maps of the unit disk are Möbius transformations it follows that  $f \circ \alpha$  is a Möbius transformation. Precomposing with the inverse of  $\beta$  and using the fact that the composition of Möbius transformations is a Möbius transformation, we see that  $f$  is a Möbius transformation.

As  $f$  is biholomorphic there is a point  $a \in \mathbb{H}$  mapping to 0. Thus  $f$  must have the shape

$$f(z) = \frac{z - a}{cz + d}.$$

The point  $\infty$  must map to a point  $e^{i\varphi}$  of the unit circle. Thus

$$f(z) = e^{i\varphi} \frac{z - a}{z + d}.$$

The factor  $e^{i\varphi}$  obviously corresponds to a rotation. Suppose that we could find two choices for  $d, d_1$  and  $d_2$ , giving  $f_1$  and  $f_2$ . The composition

$$f_1 \circ f_2^{-1}: \Delta \rightarrow \Delta$$

is a biholomorphic map that fixes the origin. It is therefore a rotation and it is then easy to see that  $d_1 = d_2$ .

If  $d = -\bar{a}$  then it is easy to see that any real number  $z = x$  has the same distance to  $a$  as to  $-\bar{a}$ .

Hence every biholomorphic map of the upper half plane  $\mathbb{H}$  to the unit disk  $\Delta$  has the form

$$z \rightarrow e^{i\varphi} \frac{z - a}{z - \bar{a}} \quad \text{where} \quad \text{Im } a > 0, \varphi \in [0, 2\pi).$$

(d)  $a$  is the inverse image of  $f$ . The derivative of  $f$  is

$$f'(z) = e^{i\varphi} \frac{a - \bar{a}}{(z - \bar{a})^2}.$$

Thus

$$f'(0) = e^{i\varphi} \frac{a - \bar{a}}{\bar{a}^2}.$$

It follows that

$$e^{i\varphi} = f'(0) \frac{a - \bar{a}}{\bar{a}^2}.$$

It follows that we can recover  $\varphi$  as the argument of the RHS. As the RHS is determined by  $f$ , it follows that we can recover  $\varphi$  from  $f$ .