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Hilbert series of Invariants and Constant Term Identities

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The action of a matrix on a polynomial

For
$$\mathbf{A} = \|\mathbf{a_{i,j}}\|_{i,j=1}^n$$
 and $\mathbf{P}(\mathbf{x}) = \mathbf{P}(\mathbf{x_1}, \mathbf{x_2}, \dots, \mathbf{x_n})$ we set
 $\mathbf{T_AP}(\mathbf{x}) = \mathbf{P}(\mathbf{xA})$

Example

$$A = \begin{bmatrix} \mathbf{a_{1,1}} & \mathbf{a_{1,2}} \\ \mathbf{a_{2,1}} & \mathbf{a_{2,2}} \end{bmatrix}$$

 $\mathbf{T_Ax_1^{p_1}x_2^{p_2}} \ = \ (x_1\mathbf{a_{1,1}} + x_2\mathbf{a_{2,1}})^{p_1}(x_1\mathbf{a_{1,2}} + x_2\mathbf{a_{2,2}})^{p_2}$

$$\begin{bmatrix} > \exp(x[1]^{2}x[2]^{3}); \\ (x_{1}a_{1,1} + x_{2}a_{2,1})^{2}(x_{1}a_{1,2} + x_{2}a_{2,2})^{3} \\ > \exp((x_{1}a_{1,1} + x_{2}a_{2,1})^{2}(x_{1}a_{1,2} + x_{2}a_{2,2})^{3} \\ > \exp((x_{1}a_{1,1} + x_{2}a_{2,1})^{2}(x_{1}a_{1,2} + x_{2}a_{2,2})^{3} \\ + 2x_{1}^{5}a_{1,1}^{2}a_{1,2}^{3} + 3x_{1}^{4}a_{1,1}^{2}a_{1,2}^{2}x_{2}a_{2,2} + 3x_{1}^{3}a_{1,1}^{2}a_{1,2}x_{2}^{2}a_{2,2}^{2} + x_{1}^{2}a_{1,1}^{2}x_{2}^{3}a_{2,2}^{3} \\ + 2x_{1}^{4}a_{1,1}x_{2}a_{2,1}a_{1,2}^{3} + 6x_{1}^{3}a_{1,1}x_{2}^{2}a_{2,1}a_{1,2}^{2}a_{2,2} + 6x_{1}^{2}a_{1,1}x_{2}^{3}a_{2,1}a_{1,2}a_{2,2}^{2} \\ + 2x_{1}a_{1,1}x_{2}^{4}a_{2,1}a_{2,2}^{3} + x_{2}^{2}a_{2,1}^{2}x_{1}^{3}a_{1,2}^{3} + 3x_{2}^{3}a_{2,1}^{2}x_{1}^{2}a_{1,2}^{2}a_{2,2} \\ + 3x_{2}^{4}a_{2,1}^{2}x_{1}a_{1,2}a_{2,2}^{2} + x_{2}^{5}a_{2,1}^{2}a_{2,2}^{3} \\ \end{bmatrix}$$

Hilbert series of Invariants

Let G be a group of $n \times n$ matrices.

Recall that for $\mathbf{P}(\mathbf{x}) = \mathbf{P}(\mathbf{x_1}, \mathbf{x_2}, \dots, \mathbf{x_n})$

a polynomial in $\mathbf{R}=\mathbb{C}[\mathbf{x_1},\mathbf{x_2},\ldots,\mathbf{x_n}]$ and $\mathbf{M}\in\mathbf{G}$ we set

 $\mathbf{T}_{\mathbf{M}}\mathbf{P}(\mathbf{x}) \ = \ \mathbf{P}(\mathbf{x}\mathbf{M})$

then

$$\mathbf{R}^{\mathbf{G}} \hspace{.1 in} = \hspace{.1 in} \left\{ \mathbf{P} \in \mathbf{R} \hspace{.1 in} : \hspace{.1 in} \mathbf{T}_{\mathbf{M}} \mathbf{P}(\mathbf{x}) = \mathbf{P}(\mathbf{x}) \hspace{.1 in} \forall \hspace{.1 in} \mathbf{M} \in \mathbf{G} \right\}$$

We let $\mathcal{H}_{\mathbf{m}}(\mathbf{R}^{\mathbf{G}})$ denote the subspace of G-invariants

that are homogeneous of degree m and set

$$\mathbf{F_{R^G}}(q) \ = \ \sum_{m \ge 0} q^m dim \ \mathcal{H}_m(\mathbf{R^G})$$

A basic result of invariant Theory

Theorem

For any group **G** with a finite invariant measure in particular for any finite group we can always construct homogeneous polynomials $\theta_1, \theta_2, \ldots, \theta_n; \phi_1, \phi_2, \ldots, \phi_k \in \mathbf{R}^{\mathbf{G}}$ such that every invariant **P** has an expansion of the form

$$\mathbf{P} = \sum_{i=1}^k \Phi_i \, \mathbf{Q}_i \big(\theta_1 \, \theta_2, \dots, \theta_n \big) \qquad \qquad (\textit{with} \quad \mathbf{Q}_i (\mathbf{y_1} \, \mathbf{y_2}, \dots, \mathbf{y_n}) \in \mathbb{C}[\mathbf{y_1}, \mathbf{y_2}, \dots, \mathbf{y_n}])$$

where the polynomials $\mathbf{Q_i}(\mathbf{y_1}\,\mathbf{y_2},\ldots,\mathbf{y_n})$ are **uniquely** determined by $\mathbf{P}.$

Equivalently the collection

$$\mathcal{B} = \left\{ \Phi_{\mathbf{i}} \, \theta_{\mathbf{1}}^{\mathbf{p_1}} \theta_{\mathbf{2}}^{\mathbf{p_2}} \cdots \theta_{\mathbf{n}}^{\mathbf{p_n}} \right\}_{\mathbf{1} \le \mathbf{i} \le \mathbf{k}_{\mathbf{i}} \mathbf{p_i} \ge \mathbf{0}}$$

is a basis for $\mathbf{R}^{\mathbf{G}}$. We call such a collection a "basic set for $\mathbf{R}^{\mathbf{G}}$ "

Note then it follows that

$$dim \mathcal{H}_{\mathbf{m}}(\mathbf{R}^{\mathbf{G}}) = \sum_{\mathbf{i}=1}^{\mathbf{k}} \sum_{\mathbf{p}_{1} \ge \mathbf{0}} \sum_{\mathbf{p}_{2} \ge \mathbf{0}} \cdots \sum_{\mathbf{p}_{\mathbf{n}} \ge \mathbf{0}} q^{deg(\Phi_{\mathbf{i}}\theta_{\mathbf{1}}^{\mathbf{p}_{1}}\theta_{\mathbf{2}}^{\mathbf{p}_{2}} \dots \theta_{\mathbf{n}}^{\mathbf{p}_{\mathbf{n}}})} \bigg|_{\mathbf{q}^{\mathbf{m}}}$$

equivalently

$$\mathbf{F_{Rc}}(\mathbf{q}) \ = \ \frac{\sum_{i=1}^{k} \mathbf{q}^{deg(\Phi_i)}}{\prod_{i=1}^{n} (1-\mathbf{q}^{deg\theta_i})}$$

When do we have we have a Basic set?

Note if the elements of a collection

$$\mathcal{B} = \left\{ \Phi_i \, \theta_1^{\mathbf{p_1}} \theta_2^{\mathbf{p_2}} \cdots \theta_n^{\mathbf{p_n}} \right\}_{1 \le i \le k; \, \mathbf{p_i} \ge \mathbf{0}}$$

span $\mathbf{R}^{\mathbf{G}}$ then

 $dim \mathcal{H}_{m}(\mathbf{R}^{\mathbf{G}}) \, \leq \, \frac{\sum_{i=1}^{k} q^{deg(\Phi_{i})}}{\prod_{i=1}^{n} (1-q^{deg\theta_{i}})} \bigg|_{q^{m}}$

alternatively if the elements of ${\mathcal B}$ are independent then

$$\frac{\sum_{i=1}^{k} q^{deg(\Phi_i)}}{\prod_{i=1}^{n} (1-q^{deg\theta_i})} \bigg|_{q^{\mathbf{m}}} \, \leq \, dim \, \mathcal{H}_{\mathbf{m}}(\mathbf{R}^{\mathbf{G}})$$

Thus if we know that

$$\mathbf{F_{Rc}}(\mathbf{q}) \ = \ \frac{\sum_{i=1}^{k} \mathbf{q}^{\mathbf{deg}(\Phi_i)}}{\prod_{i=1}^{n} (1 - \mathbf{q}^{\mathbf{deg}\theta_i})}$$

Then to show that \mathcal{B} is basic we need only to show one of these two properties

How do we compute the Hilbert series?

Moliens Theorem

For G a finite group we have

$$\mathbf{F_{R^G}}(q) \ = \ \frac{1}{|\mathbf{G}|} \sum_{\mathbf{M} \in \mathbf{G}} \frac{1}{\det(1-q\mathbf{M})}$$

More generally for groups with a finite G-invariant measure

$$\mathbf{F}_{\mathbf{R}^{\mathbf{G}}}(\mathbf{q}) = rac{1}{\omega(\mathbf{G})} \int_{\mathbf{M} \in \mathbf{G}} rac{1}{\det(1 - \mathbf{q}\mathbf{M})} \mathbf{d}\omega$$

Such an integral often reduces to taking the constant term of the integrand (including the density of the measure)

Combining actions

Action of a matrix $\mathbf{g} \in \mathbf{SL}(\mathbf{n}; \mathbb{C})$ on a row vector

$$\mathbf{U} = \begin{pmatrix} u_1, u_2, \dots, u_n \end{pmatrix} \quad \longrightarrow \quad \mathbf{U} \mathbf{g}^{-1}$$

Action of a matrix $\mathbf{g} \in \mathbf{SL}(\mathbf{n}; \mathbb{C})$ on a column vector

$$\mathbf{V} = \begin{pmatrix} \mathbf{v_1} \\ \vdots \\ \mathbf{v_n} \end{pmatrix} \quad \longrightarrow \quad \mathbf{gV}$$

Action of a matrix $\mathbf{g} \in \mathbf{SL}(\mathbf{n}; \mathbb{C})$ on an $\mathbf{n} \times \mathbf{n}$ matrix

$$\mathbf{X} = \|\mathbf{x}_{i,j}\|_{i,j=1}^n \quad \longrightarrow \quad \mathbf{g}\mathbf{X}\mathbf{g}^{-1}$$

 $\label{eq:action} Action of a matrix \ \ \mathbf{g} \in \mathbf{SL}(n;\mathbb{C}) \ on a \ polynomial in the variables \ \ \{u_i,v_j,x_{i,j}\}_{i,j=1}^n$

$$\mathbf{T}_{g}\mathbf{P}(\mathbf{U},\mathbf{V},\mathbf{X}) \hspace{0.1 in} = \hspace{0.1 in} \mathbf{P}\big(\mathbf{U}g^{-1},g\mathbf{V},g\mathbf{X}g^{-1}\big)$$

Some Invariants under this action

$$\Pi_k = trace \, X^k \qquad \Theta_k = trace \, U X^{k-1} V$$

Note

$$\mathbf{T}_{\mathbf{g}} \operatorname{trace} \mathbf{X}^{\mathbf{k}} = \operatorname{trace} (\mathbf{g} \mathbf{X} \mathbf{g}^{-1})^{\mathbf{k}} = \operatorname{trace} \mathbf{g} \mathbf{X}^{\mathbf{k}} \mathbf{g}^{-1} = \operatorname{trace} \mathbf{X}^{\mathbf{k}}$$

$$\mathbf{T}_{\mathbf{g}} \mathbf{U} \mathbf{X}^{\mathbf{k}} \mathbf{V} = \mathbf{U} \mathbf{g}^{-1} \left(\mathbf{g} \mathbf{X} \mathbf{g}^{-1} \right)^{\mathbf{k}} \mathbf{g} \mathbf{V} = \mathbf{U} \mathbf{g}^{-1} \mathbf{g} \mathbf{X}^{\mathbf{k}} \mathbf{g}^{-1} \mathbf{g} \mathbf{V} = \mathbf{U} \mathbf{X}^{\mathbf{k}} \mathbf{V}$$
$$X := \begin{bmatrix} x_{1,1} & x_{1,2} & x_{1,3} \\ x_{2,1} & x_{2,2} & x_{2,3} \\ x_{3,1} & x_{3,2} & x_{3,3} \end{bmatrix}$$

trace(multiply(X,X));

 $x_{1,1}^2 + 2 x_{1,2} x_{2,1} + 2 x_{1,3} x_{3,1} + x_{2,2}^2 + 2 x_{2,3} x_{3,2} + x_{3,3}^2$

> expand (trace (multiply(X, X, X))); $3 x_{1,1} x_{1,3} x_{3,1} + 3 x_{1,1} x_{1,2} x_{2,1} + 3 x_{3,1} x_{1,3} x_{3,3} + 3 x_{3,1} x_{1,2} x_{2,3} + 3 x_{2,1} x_{1,3} x_{3,2}$ $+ 3 x_{2,1} x_{1,2} x_{2,2} + 3 x_{2,2} x_{2,3} x_{3,2} + 3 x_{3,2} x_{2,3} x_{3,3} + x_{1,1}^3 + x_{2,2}^3 + x_{3,3}^3$

> expand(multiply(U,X,V)[1,1]);

 $v_1 u_1 x_{1,1} + v_1 u_2 x_{2,1} + v_1 u_3 x_{3,1} + v_2 u_1 x_{1,2} + v_2 u_2 x_{2,2} + v_2 u_3 x_{3,2} + v_3 u_1 x_{1,3}$

 $+ v_3 u_2 x_{2,3} + v_3 u_3 x_{3,3}$

Some not so obvious Invariants

$$\begin{split} \Phi(\mathbf{U},\mathbf{X}) &= \det \left\| \begin{array}{c} \mathbf{U} \\ \mathbf{U}\mathbf{X} \\ \mathbf{U}\mathbf{X}^2 \\ \vdots \\ \mathbf{U}\mathbf{X^{n-1}} \end{array} \right\| &\quad \Psi(\mathbf{V},\mathbf{X}) &= \det \left\| \mathbf{V},\mathbf{X}\mathbf{V},\mathbf{X}^2\mathbf{V},\ldots,\mathbf{X^{n-1}}\mathbf{V} \right\| \end{split}$$

$$\mathbf{T_g}det \begin{pmatrix} \mathbf{U} \\ \mathbf{UX} \\ \mathbf{UX^2} \\ \vdots \\ \mathbf{UX^{n-1}} \end{pmatrix} = det \begin{pmatrix} \mathbf{Ug^{-1}} \\ \mathbf{Ug^{-1}gXg^{-1}} \\ \mathbf{Ug^{-1}gX^2g^{-1}} \\ \vdots \\ \mathbf{Ug^{-1}gX^{n-1}g^{-1}} \end{pmatrix} = det \begin{pmatrix} \mathbf{Ug^{-1}} \\ \mathbf{UXg^{-1}} \\ \mathbf{UX^2g^{-1}} \\ \vdots \\ \mathbf{UX^{n-1}g^{-1}} \end{pmatrix} = det \begin{pmatrix} \mathbf{U} \\ \mathbf{UX} \\ \mathbf{UX^2} \\ \vdots \\ \mathbf{UX^{n-1}g^{-1}} \end{pmatrix}$$

$$\begin{array}{rcl} \mathbf{T}_{g} \ det \ \left(\mathbf{V} \ \mathbf{X}\mathbf{V} \ \mathbf{X}^{2}\mathbf{V} \ \cdots \ \mathbf{X}^{n-1}\mathbf{V}\right) & = det \ \left(\mathbf{g}\mathbf{V} \ \mathbf{g}\mathbf{X}\mathbf{V} \ \mathbf{g}\mathbf{X}^{2}\mathbf{V} \ \cdots \ \mathbf{g}\mathbf{X}^{n-1}\mathbf{V}\right) \\ & = det \ \mathbf{g} \ \times \ det \ \left(\mathbf{V} \ \mathbf{X}\mathbf{V} \ \mathbf{X}^{2}\mathbf{V} \ \cdots \ \mathbf{X}^{n-1}\mathbf{V}\right) \end{array}$$

The Constant Term Problem

Theorem

The Hilbert series of the polynomials $\mathbf{P}(\mathbf{U},\mathbf{V},\mathbf{X})$ invariants under this action is

$$F_{\rm UVX}(q) \ = \ \frac{1}{(1-q)^n} \prod_{i=1}^n \frac{1}{(1-qx_i)(1-q/x_i)} \ \prod_{1 \le i < j \le n} \frac{1-x_i/x_j}{(1-qx_i/x_j)(1-qx_j/x_i)} \bigg|_{x_1x_2\cdots x_n = 1} \bigg|_{x_1^0 x_2^0 \cdots x_n^0}$$

Proof

Passing from $\mathrm{SL}_n[\mathbb{C}]$ to $\mathrm{SU}[n]$ and using Moliens Theorem, we derive that

$$F_{UVX}(q) = \int_{T_n} \frac{1}{\det |1 - qD(g)|} d\omega(g)$$

with D(g) giving the action of T_g on the on the alphabet $\{u_i, v_j, x_{i,j}\}_{i,j=1}^n$ with dw(g) the Haar measure. The integral need only be carried out over the Thorus T_n of diagonal matrices

$$\mathbf{g} = \mathrm{diag}\{\mathbf{e}^{\mathbf{i}\theta_1} , \ \mathbf{e}^{\mathbf{i}\theta_2} , \ \dots , \ \mathbf{e}^{\mathbf{i}\theta_n}\} \qquad \text{with} \qquad \mathbf{e}^{\mathbf{i}\theta_1}\mathbf{e}^{\mathbf{i}\theta_2}\cdots\mathbf{e}^{\mathbf{i}\theta_n} = \mathbf{1},$$

Thus

$$T_{g}\{u_{r}, v_{s}, x_{r,s}\}_{r,s=1}^{n} = \{u_{r}e^{-i\theta_{r}}, e^{i\theta_{s}}v_{s}, e^{i\theta_{s}}x_{r,s}e^{-i\theta_{r}}\}_{r,s=1}^{n}$$

gives

$$D(g) = diag \{ e^{-i\vartheta_1}, \dots, e^{-i\vartheta_n}; e^{i\vartheta_1}, \dots, e^{i\vartheta_n}; e^{-i\vartheta_r} e^{i\vartheta_s} : 1 \le r, s \le n \}$$

Thus

$$det|1-qD(g)| = \prod_{\mathbf{r}=\mathbf{1}}^{\mathbf{n}} (1-q/e^{i\theta_{\mathbf{r}}})(1-qe^{i\theta_{\mathbf{r}}}) \prod_{\mathbf{r},\mathbf{s}=\mathbf{1}}^{\mathbf{n}} (1-qe^{i\theta_{\mathbf{r}}}/e^{i\theta_{\mathbf{r}}})$$

and (*) becomes

$$F_{UVX}(q) = \frac{1}{(1-q)^n} \int_{T_n} \prod_{r=1}^n \frac{1}{(1-q/e^{i\theta_r})(1-qe^{i\theta_r})} \prod_{1 \le r \le s \le n} \frac{(1-e^{i\theta_r}/e^{i\theta_r})}{(1-qe^{i\theta_r}/e^{i\theta_r})(1-qe^{i\theta_r}/e^{i\theta_r})} \frac{d\theta_1 d\theta_2 \cdots d\theta_{n-1}}{(2\pi)^{n-1}}.$$

Our Constant term can has an explicit evaluation!

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Theorem

$$\begin{split} \frac{1}{(1-q)^n} \prod_{i=1}^n \frac{1}{(1-qx_i)(1-q/x_i)} \\ & \prod_{1 \leq i < j \leq n} \frac{1-x_i/x_j}{(1-qx_i/x_j)(1-qx_j/x_i)} \bigg|_{x_1x_2\cdots x_n = 1} \bigg|_{x_1^0x_2^0\cdots x_n^0} \end{split}$$

$$= \frac{1+q^{\binom{n+1}{2}}}{(1-q)\prod_{i=1}^n (1-q^i)^2 \left(1-q^{n+1}\right) \left(1-q^{\binom{n+1}{2}}\right)}$$

How do we show this?

A Remarkable Identity

Theorem

$$\sum_{\sigma \in S_n} sign(\sigma)\sigma\Big(\prod_{1 \le i < j \le n} (x_i - qx_j)\Big) = 2$$

$$= \prod_{i=1}^n \frac{1 - q^i}{1 - q} \prod_{1 \le i < j \le n} (x_i - x_j)$$

$$\sum_{\sigma \in S_n} sign(\sigma)\sigma\Big(\prod_{1 \le i < j \le n} (x_i - x_j)\Big) = 2 \frac{n!}{1 \le i < j \le n} \prod_{1 \le i < j \le n} (x_i - x_j)$$

Proof (by induction)

The first reduction

Setting

$$\mathbf{G}(\mathbf{x};\mathbf{q}) \;\; = \;\; \frac{1}{(1-q)^n} \prod_{1 \leq i < j \leq n} \frac{1}{(1-q\mathbf{x}_i/\mathbf{x}_j)(1-q\mathbf{x}_j/\mathbf{x}_i)}$$

Proposistion 2

For any $n \ge 2$ we have

$$\mathbf{G}(\mathbf{x};\mathbf{q}) \ = \ \frac{1}{\prod_{i=1}^n (1-q^i)} \frac{1}{\boldsymbol{\Delta}(\mathbf{x})} \sum_{\sigma \in \mathbf{S_n}} sign(\sigma) \sigma \prod_{i=1}^n \mathbf{x}_i^{n-i} \Big(\prod_{1 \leq i < j \leq n} \frac{1}{1-q\mathbf{x}_i/\mathbf{x}_j} \Big)$$

where $\Delta(x)$ denotes the Vandermonde determinant in x_1, x_2, \ldots, x_n .

Proof

The previous identity can be rewritten in the form

$$\frac{1}{\prod_{i=1}^{n}(1-q^{i})}\frac{1}{\Delta(\mathbf{x})}\sum_{\sigma\in\mathbf{S_{n}}}\mathbf{sign}(\sigma)\sigma\Big(\prod_{i=1}^{n}\mathbf{x}_{i}^{n-i}\prod_{1\leq i< j\leq n}(1-q\mathbf{x}_{j}/\mathbf{x}_{i})\Big)=\frac{1}{(1-q)^{n}}$$

Divide both sides by the rational function $\prod_{1 \leq i < j \leq n} \frac{1}{(1 - qx_i/x_j)(1 - qx_j/x_i)}.$

The next reduction

Proposition 3

For $n \geq 2$ and for any symmetric rational function $A(\mathbf{x})$ we have

$$\begin{split} \frac{1}{(1-q)^n} \; A(x) \prod_{1 \leq i < j \leq n} \frac{1-x_i/x_j}{(1-qx_i/x_j)(1-qx_j/x_i)} \bigg|_{x_1x_2\cdots x_n = 1} \bigg|_{x_1^0 x_2^0 \cdots x_n^0} \; = \\ & \frac{1}{\prod_{i=1}^n (1-q^i)} \; A(x) \prod_{1 \leq i < j \leq n} \frac{1-x_i/x_j}{(1-qx_i/x_j)} \bigg|_{x_1x_2\cdots x_n = 1} \bigg|_{x_1^0 x_2^0 \cdots x_n^0} \end{split}$$

(proved by manipulations using the previous identity)

If we use this with

$$\mathbf{A}(\mathbf{x}) \hspace{.1in} = \hspace{.1in} \prod_{i=1}^n \frac{1}{(1-u/x_i)(1-vx_i)}$$

we get the "tri-graded" Hilbert series

$$\begin{split} F_{UVX}(u,v,q) &= \frac{1}{(1-q)^n} \left. \prod_{i=1}^n \frac{1}{(1-u/x_i)(1-vx_i)} \prod_{1 \leq i < j \leq n} \frac{1-x_i/x_j}{(1-qx_i/x_j)(1-qx_j/x_i)} \right|_{x_1x_2\cdots x_n=1} \right|_{x_1^0 x_2^0 \cdots x_n^0} \\ &= \left. \frac{1}{\prod_{i=1}^n (1-q^i)} \left. \prod_{i=1}^n \frac{1}{(1-u/x_i)(1-vx_i)} \prod_{1 \leq i < j \leq n} \frac{1-x_i/x_j}{(1-qx_i/x_j)} \right|_{x_1x_2\cdots x_n=1} \right|_{x_1^0 x_2^0 \cdots x_n^0} \end{split}$$

which keeps track of the degrees of the variables u_i , v_j and $x_{i,j}$ separately

Computing Constant terms by partial fraction

We choose a total order for the variables, say x_1, x_2, \ldots, x_n .

We then work with the field of iterated formal Laurent series (IFLS): $K((x_1))((x_2))\cdots((x_n))$ recursively defined as the field of formal Laurent series in x_n with coefficients in $K((x_1))((x_2))\cdots((x_{n-1}))$. Here $K((x_1)) = \left\{\sum_{m \ge M} a_m x_1^m : a_m \in K\right\}$

This total order allows us to imbed the field of rational functions $K(x_1, x_2, \dots, x_n)$ as a subfield of $K((x_1))((x_2))\cdots((x_n))$.

Under this imbedding all the identities in $K(x_1, x_2, ..., x_n)$ become identities in $K((x_1))((x_2))\cdots((x_n))$. The rational functions we will work with here may all be written in the form

$$\mathbf{F} = \frac{\mathbf{P}}{(1-\mathbf{m_1})(1-\mathbf{m_2})\cdots(1-\mathbf{m_n})}$$

with P a Laurent polynomial and m_1, m_2, \ldots, m_k Laurent monomials.

To convert F we must decide whether a given factor $\frac{1}{1-m}$ should be converted to

$$\mathbf{a}) \quad \sum_{s \geq 0} \mathbf{m}_i^s \quad \mathbf{or} \quad \mathbf{b}) \quad -\sum_{s \geq 1} \frac{1}{\mathbf{m}_i^s} \; \left(= \frac{-\frac{1}{\mathbf{m}_1}}{1 - \frac{1}{\mathbf{m}_1}} \right)$$

The total order forces one of the two "formal" inequalities $m_i < 1$ or $m_i > 1$ to be true In the first case we choose a) and in the second case we choose b).

To decide which we scan the monomial m_i if the smallest variable has positive exponent

then $m_i < 1$ if it has negative exponent then $m_i > 1$.

Eliminating a Variable

To avoid using summations we rewrite F in the form

$$\mathbf{F} = \mathbf{P} \times \Big(\prod_{\mathbf{m_i} < \mathbf{1}} \frac{1}{1 - m_i}\Big) \times \Big(\prod_{\mathbf{m_j} > \mathbf{1}} \frac{-\frac{\mathbf{1}}{\mathbf{m_j}}}{1 - \frac{\mathbf{1}}{\mathbf{m_j}}}\Big)$$

This is called the "proper form" of F. To compute $F|_{a_1^0a_2^0\cdots a_k^0}$ by Xin algorithm,

Say we want to eliminate "x". First we rewrite our rational function in the form

$$\mathbf{F} = \mathbf{Q}(\mathbf{x}) + \frac{\mathbf{R}(\mathbf{x})}{(1 - \mathbf{x}\mathbf{U}_1)\cdots(1 - \mathbf{x}\mathbf{U}_h)(\mathbf{x} - \mathbf{V}_1)\cdots(\mathbf{x} - \mathbf{V}_k)}$$

with Q(x) a Laurent polynomial, R(x) a polynomial of degree less than h+k and U_1, U_2, \ldots, U_h as well as V_1, V_2, \ldots, V_k are monomials not containing x. With

$$\mathbf{x} \mathbf{U}_{\mathbf{i}} < 1 \quad \text{for } 1 \leq \mathbf{i} \leq \mathbf{h} \qquad \text{and} \qquad \mathbf{V}_{\mathbf{j}} / \mathbf{x} < 1 \quad \text{for } 1 \leq \mathbf{j} \leq \mathbf{k}.$$

Then write

$$F(\mathbf{x}) = \mathbf{Q}(\mathbf{x}) + \sum_{i=1}^{h} \frac{\mathbf{A}_i}{(1 - \mathbf{x}\mathbf{U}_i)} + \sum_{j=1}^{k} \frac{\mathbf{B}_j}{(\mathbf{x} - \mathbf{V}_j)}$$

with

$$\mathbf{A_i} = (1-\mathbf{x}\mathbf{U_i})(\mathbf{F}(\mathbf{x})-\mathbf{Q}(\mathbf{x}))\Big|_{\mathbf{x}=\mathbf{1}/\mathbf{U_1}} \qquad \text{and} \qquad \mathbf{B_j} = (\mathbf{x}-\mathbf{V_j})(\mathbf{F}(\mathbf{x})-\mathbf{Q}(\mathbf{x}))\Big|_{\mathbf{x}=\mathbf{V_j}}.$$

This immediately yields the equalities

$$F\Big|_{x^0} = Q\Big|_{x^0} + \sum_{i=1}^{h} A_i = (F(0) + \sum_{i=1}^{h} B_i/V_j \quad \text{ if } P(x) \text{ is a polynomial} \Big)$$

The reason for this is that for each term $\frac{B_j}{x-V_j}$ we have $V_j/x < 1$,

so that the proper form of the last summation is

$$\sum_{j=1}^k \frac{B_j/x}{(1-V_j/x)} \qquad \qquad \text{next}$$

Here we will only use a particular case

Proposition

Suppose that our kernel is of the form

$$\mathbf{F}(\mathbf{x}) \ = \ \frac{1}{1-\mathbf{U}\mathbf{x}} \frac{\mathbf{P}(\frac{1}{\mathbf{x}})}{\prod_{i=1}^{k}(1-m_i/\mathbf{x^{a_i}})}$$

with P a polynomial, and U,m_1,m_2,\ldots,m_k monomials not containing $x,\;a_i\geq 1$ and

 $\mathbf{U}\mathbf{x}<\mathbf{1}\;,\qquad \mathbf{m_i}/\mathbf{x^{a_i}}<\mathbf{1}\quad\text{for}\quad \mathbf{1}\leq i\leq k$

then

$$\mathbf{F}(\mathbf{x})\Big|_{\mathbf{x}^0} = \frac{\mathbf{P}(\frac{1}{\mathbf{x}})}{\prod_{i=1}^k (1 - \mathbf{m}_i / \mathbf{x}^{\mathbf{a}_i})}\Big|_{\mathbf{x} = 1/U} = \frac{\mathbf{P}(\mathbf{U})}{\prod_{i=1}^k (1 - \mathbf{m}_i \mathbf{U}^{\mathbf{a}_i})}$$

Proof

We have

$$F(x) = \frac{A}{1 - Ux} + \cdot$$

Only the denominator factor (1 - Ux) contributes so

$$\left. F(x) \right|_{x^0} ~=~ A ~=~ (1-Ux)F(x) \right|_{x=1/U} \qquad \qquad \text{next}$$

Let us now compute a Constant term

 \mathbf{Set}

$$R_{\mathbf{n}}(\mathbf{u},\mathbf{v},\mathbf{w}) = \frac{1}{1-\mathbf{w}/\mathbf{x_{1}}\cdots\mathbf{x_{n}}} \prod_{i=1}^{n} \frac{1}{(1-\mathbf{u}\mathbf{x_{i}})(1-\mathbf{v}/\mathbf{x_{i}})} \prod_{1 \leq i < j \leq n} \frac{1-\mathbf{x_{j}}/\mathbf{x_{i}}}{(1-q\mathbf{x_{j}}/\mathbf{x_{i}})},$$

Lemma 1

$$\mathbf{R}_{\mathbf{n}}(\mathbf{u},\mathbf{v},\mathbf{w})|_{\mathbf{x}_{1}^{\mathbf{0}}\cdots\mathbf{x}_{n}^{\mathbf{0}}} = \frac{1}{1-uv} \mathbf{R}_{\mathbf{n}-1}(\mathbf{u}\mathbf{q},\mathbf{v},\mathbf{w}|\mathbf{u})|_{\mathbf{x}_{1}^{\mathbf{0}}\cdots\mathbf{x}_{n-1}^{\mathbf{0}}}.$$

 \mathbf{Proof}

$$\begin{split} R_{\mathbf{n}}(\mathbf{u},\mathbf{v},\mathbf{w}) &= \frac{1}{(1-\mathbf{u}x_{1})(1-\mathbf{v}/x_{1})} \frac{1}{(1-\mathbf{w}/x_{1}\cdots x_{n})} \prod_{2 \leq i \leq n} \frac{1-\mathbf{x}_{j}/\mathbf{x}_{1}}{(1-q\mathbf{x}_{j}/\mathbf{x}_{1})}, \\ & \times \prod_{i=2}^{n} \frac{1}{(1-\mathbf{u}x_{i})(1-\mathbf{v}/\mathbf{x}_{i})} \prod_{2 \leq i < j \leq n} \frac{1-\mathbf{x}_{j}/\mathbf{x}_{i}}{(1-q\mathbf{x}_{j}/\mathbf{x}_{i})}, \end{split}$$

We first eliminate x_1 . Only the factor $1 - ux_1$ contributes. Thus

$$\begin{split} \mathbf{R_n}(\mathbf{u},\mathbf{v},\mathbf{w}) \bigg|_{\mathbf{x_1^0}} &= \frac{1}{(1-\mathbf{v}/\mathbf{x_1})} \frac{1}{(1-\mathbf{w}/\mathbf{x_1}\cdots\mathbf{x_n})} \prod_{2 \leq j \leq n} \frac{1-\mathbf{x_j}/\mathbf{x_1}}{(1-q\mathbf{x_j}/\mathbf{x_1})} \bigg|_{\mathbf{x_1=1/u}}, \\ & \times \left. \prod_{i=2}^n \frac{1}{(1-u\mathbf{x_i})(1-\mathbf{v}/\mathbf{x_i})} \prod_{2 \leq i < j \leq n} \frac{1-\mathbf{x_j}/\mathbf{x_i}}{(1-q\mathbf{x_j}/\mathbf{x_i})}, \right. \\ & = \left. \frac{1}{(1-u\mathbf{v})\left(1-\frac{u\mathbf{w}}{\mathbf{x_2\cdots\mathbf{x_n}}}\right)} \prod_{i=2}^n \frac{1}{(1-\mathbf{x_i}u)(1-\mathbf{v}/\mathbf{x_i})} \prod_{2 \leq i < j \leq n} \frac{1-\mathbf{x_j}/\mathbf{x_i}}{1-q\mathbf{x_j}/\mathbf{x_i}} \prod_{2 \leq j \leq n} \frac{1-u\mathbf{x_j}}{1-u\mathbf{x_j}q}, \\ & = \left. \frac{1}{(1-u\mathbf{v})\left(1-\frac{u\mathbf{w}}{\mathbf{x_2\cdots\mathbf{x_n}}}\right)} \prod_{i=2}^n \frac{1}{(1-\mathbf{x_i}uq)(1-\mathbf{v}/\mathbf{x_i})} \prod_{2 \leq i < j \leq n} \frac{1-\mathbf{x_j}/\mathbf{x_i}}{1-q\mathbf{x_j}/\mathbf{x_i}} \prod_{2 \leq j \leq n} \frac{1-u\mathbf{x_j}}{1-q\mathbf{x_j}/\mathbf{x_i}}. \end{split}$$

This is exactly $\frac{1}{1-uv}R_{n-1}(uq,v,uw)$ if we rename x_i by $x_{i-1}.$

To evaluate our constant term we also need

$$\begin{split} F_{UVX}(u,v,q) &= \left. \frac{1}{\prod_{i=1}^{n}(1-q^{i})} Q_{n}^{*}(u,v,1) \right|_{x_{2}^{0} \cdots x_{n}^{0}} \\ \mathcal{Q}_{n}^{*}(u,v,w) &= \prod_{i=1}^{1} \frac{1}{(1-ux_{i})(1-v/x_{i})} \prod_{1 \leq i < j \leq n} \frac{1-x_{j}/x_{i}}{(1-qx_{j}/x_{i})} \right|_{x_{n}=1/wx_{1} \cdots x_{n-1}} \\ R_{n}(u,v,w) &= \frac{1}{1-w/x_{1} \cdots x_{n}} \prod_{i=1}^{n} \frac{1}{(1-ux_{i})(1-v/x_{i})} \prod_{1 \leq i < j \leq n} \frac{1-x_{j}/x_{i}}{(1-qx_{j}/x_{i})}, \\ R_{n}^{*}(u,v,w) &= \frac{1}{1-1/wx_{1} \cdots x_{n}} \prod_{i=1}^{n} \frac{1}{(1-ux_{i})(1-v/x_{i})} \prod_{1 \leq i < j \leq n} \frac{1-x_{j}/x_{i}}{(1-qx_{j}/x_{i})}, \end{split}$$

$$\begin{split} \mathbf{R_n}(\mathbf{u},\mathbf{v},\mathbf{w})|_{\mathbf{x_1^0\cdots x_n^0}} &= \frac{1}{(1-uv)(1-uvq)\cdots(1-uvq^{n-1})(1-wu^nq^{\binom{n}{2}})} \\ \mathbf{R_n^*}(\mathbf{u},\mathbf{v},\mathbf{w})\Big|_{\mathbf{x_1^0\cdots x_n^0}} &= -\frac{wv^nq^{\binom{n}{2}}}{(1-uv)(1-uvq)\cdots(1-uvq^{n-1})(1-wv^nq^{\binom{n}{2}})} \\ \mathbf{R_n}(\mathbf{u},\mathbf{v},\mathbf{w})\Big|_{\mathbf{x_1^0\cdots x_n^0}} &= \mathbf{Q_n}(\mathbf{u},\mathbf{v},\mathbf{w})\Big|_{\mathbf{x_1^0\cdots x_{n-1}^0}} + \frac{1}{1-uv}\mathbf{R_{n-1}}(\mathbf{u},vq,w/v)\Big|_{\mathbf{x_1^0\cdots x_{n-1}^0}} \end{split}$$

Combining these identities we get

Conclusion

Theorem

The UVX invariants have the tri-graded basis

 $\mathcal{B}^{\mathbf{ab}} = \left\{ \Phi^{\mathbf{r+1}} \Pi_{\mathbf{1}}^{\mathbf{p_{1}}} \cdots \Pi_{\mathbf{n}}^{\mathbf{p_{n}}} \theta_{\mathbf{1}}^{\mathbf{q_{1}}} \cdots \theta_{\mathbf{n}}^{\mathbf{q_{n}}}; \ \Psi^{\mathbf{s}} \Pi_{\mathbf{1}}^{\mathbf{p_{1}}} \cdots \Pi_{\mathbf{n}}^{\mathbf{p_{n}}} \theta_{\mathbf{1}}^{\mathbf{q_{1}}} \cdots \theta_{\mathbf{n}}^{\mathbf{q_{n}}} : \mathbf{r}, \mathbf{s} \ge 0, \mathbf{p_{i}} \ge 0, \mathbf{q_{i}} \ge 0 \right\}$ **Proof**

Since we know the Hilbert series, it is sufficient to prove independence.

Suppose we had a vanishing linear combination P.

We can assume that P is tri-homogeneous.

(1) The monomial $\Phi^{\mathbf{r}+\mathbf{1}}\Pi_{\mathbf{1}}^{\mathbf{p}_{\mathbf{1}}}\Pi_{\mathbf{2}}^{\mathbf{p}_{\mathbf{2}}}\cdots\theta_{\mathbf{n}}^{\mathbf{p}_{\mathbf{n}}}\theta_{\mathbf{1}}^{\mathbf{q}_{\mathbf{2}}}\theta_{\mathbf{2}}^{\mathbf{q}_{\mathbf{2}}}\cdots\theta_{\mathbf{n}}^{\mathbf{q}_{\mathbf{n}}}$ has tri-degree $(0,(\mathbf{r}+1)\mathbf{n},(\mathbf{r}+1)\binom{\mathbf{n}}{2}) + (0,0,(\sum_{\mathbf{i}}\mathbf{i}\mathbf{p}_{\mathbf{i}})) + (\sum_{\mathbf{i}}\mathbf{q}_{\mathbf{i}},\sum_{\mathbf{i}}\mathbf{q}_{\mathbf{i}},(\sum_{\mathbf{i}}\mathbf{i}\mathbf{q}_{\mathbf{i}}))$ (2) The monomial $\Psi^{\mathbf{s}}\Pi_{\mathbf{1}}^{\mathbf{p}_{\mathbf{1}}}\Pi_{\mathbf{2}}^{\mathbf{p}_{\mathbf{2}}}\cdots\theta_{\mathbf{n}}^{\mathbf{p}_{\mathbf{n}}}\theta_{\mathbf{1}}^{\mathbf{q}_{\mathbf{2}}}\theta_{\mathbf{2}}^{\mathbf{q}_{\mathbf{2}}}\cdots\theta_{\mathbf{n}}^{\mathbf{q}_{\mathbf{n}}}$ has tri-degree $(\mathbf{sn},0,\mathbf{s}\binom{\mathbf{n}}{2}) + (0,0,(\sum_{\mathbf{i}}\mathbf{i}\mathbf{p}_{\mathbf{i}})) + (\sum_{\mathbf{i}}\mathbf{q}_{\mathbf{i}},\sum_{\mathbf{i}}\mathbf{q}_{\mathbf{i}},(\sum_{\mathbf{i}}\mathbf{i}\mathbf{q}_{\mathbf{i}}))$

This immediately shows that any tri-homogenous linear combination P cannot contain both Φ and Ψ .

From this, independence easily follows .

THE END