

A Level 1 Maass Spezialschar for Modular Forms on SO_8 .

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November, 2023, Tucson

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- 1 Modular Forms on Sp_4
- 2 A Maass Spezialschar For Modular Forms on Sp_4
- 3 Modular Forms on SO_8
- 4 A Maass Spezialschar For Modular Forms on SO_8

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Siegel Modular Forms

- The symplectic group

$$\mathrm{Sp}_4 = \left\{ \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in M_4(\mathbb{R}) : AB^t = BA^t, CD^t = DC^t, \text{ and } AD^t - BC^t = 1 \right\}$$

acts on the complex three-fold

$$\mathcal{H}_2 = \left\{ \begin{pmatrix} \tau & z \\ z & \tau' \end{pmatrix} \in M_2(\mathbb{C}) : \mathrm{im}(\tau), \mathrm{im}(\tau'), \mathrm{im}(\tau) \mathrm{im}(\tau') - \mathrm{im}(z)^2 > 0 \right\}$$

via the biholomorphic transformations $\begin{pmatrix} A & B \\ C & D \end{pmatrix} \cdot Z = (AZ + B)(CZ + D)^{-1}$.

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Definition (Siegel Modular Forms)

Let $\ell \in \mathbb{Z}_{\geq 0}$ and write $M_\ell(\mathrm{Sp}_4(\mathbb{Z}))$ for the space of holomorphic functions

$$F: \mathcal{H}_2 \rightarrow \mathbb{C}$$

such that $F(\gamma \cdot Z) = \det(CZ + D)^\ell F(Z) \forall \gamma = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \mathrm{Sp}_4(\mathbb{Z})$ and $Z \in \mathcal{H}_2$.

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- (Koecher Principle) $\implies F \in M_\ell(\mathrm{Sp}_4(\mathbb{Z}))$ is bounded as $\mathrm{im}(Z) \rightarrow \infty$.

The Fourier Expansion of Siegel Modular Forms

- We have the abelian unipotent subgroup

$$N(\mathbb{Z}) = \left\{ \begin{pmatrix} I & B \\ 0 & I \end{pmatrix} : B \in M_2(\mathbb{Z}) \text{ and } B^t = B \right\} \subseteq \mathrm{Sp}_4(\mathbb{Z}).$$

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- It follows that $F \in M_\ell(\mathrm{Sp}_4(\mathbb{Z}))$ has a Fourier expansion

$$F(Z) = \sum_{T \geq 0} A[T] \exp(2\pi i \operatorname{tr}(TZ))$$

where T runs over $\left\{ \begin{pmatrix} n & r/2 \\ r/2 & m \end{pmatrix} : n, r, m \in \mathbb{Z}, n, m, 4nm - r^2 \geq 0 \right\}$.

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Say T is **primitive** if the content $e(T) := \gcd(n, r, m) = 1$.

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$$\varphi_F: \mathrm{Sp}_4 \rightarrow \mathbb{C}, \quad \varphi_F(g) = j(g, i)^{-\ell} F(g \cdot iI_2)$$

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- The above generalizes to an arbitrary semi-simple real Lie group G provided the maximal compact $K \leq G$ contains a central copy of \mathbb{C}^1 .

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The Saito Kurokawa Lift

In 1977 Saito and Kurokawa formulated a conjecture concerning a lift

$$\mathrm{SK}^* : M_{\ell-1/2}(\Gamma_0(4)) \rightarrow M_{\ell}(\mathrm{Sp}_4(\mathbb{Z})), \quad h \mapsto \mathrm{SK}^*(h).$$

Here $M_{\ell-1/2}(\Gamma_0(4)) = \{\text{weight } \ell - 1/2 \text{ elliptic modular forms on } \Gamma_0(4)\}$.

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Theorem (Maass 1979)

- Fix h a modular form on $\Gamma_0(4)$ of weight $\ell - 1/2$ with Fourier series

$$h(z) = \sum_{n=0}^{\infty} c(n)e^{2\pi inz}, \quad (z \in \mathbb{C} : \mathrm{Im}(z) > 0).$$

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- For $T \geq 0$, let $A[T] = \begin{cases} \sum_{d|e(T)} d^{\ell-1} c\left(\frac{-4\det(T)}{d^2}\right), & T \neq 0, \\ \frac{1}{2}\zeta(4-\ell)c(0), & T = 0. \end{cases}$

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Then the numbers $A[T]$ are the Fourier coefficients of a modular form

$$\mathrm{SK}^*(h) \in M_\ell(\mathrm{Sp}_4(\mathbb{Z})).$$

$\mathrm{SK}^*(h)$ as a theta lift

Given τ in the upper half plane and $Z = \begin{pmatrix} \xi & z \\ z & \xi' \end{pmatrix} \in \mathcal{H}_2$ define

$$\Omega_\ell(Z, \tau) = \sum_{N>0} N^{3/2-\ell} w_{N,\ell}(Z) e^{2\pi i N \tau}.$$

where $w_{N,\ell}(Z) = \sum_{\substack{(a,b,c,d,e) \in \mathbb{Z}^5 \\ 4bd - c^2 - 4ae = N}} \frac{1}{(a(\xi\xi' - z^2) + b\xi + cz + d\xi' + e)^\ell}$.

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- Using the theory of theta series attached to lattices, Kudla proves

$$\Omega_\ell(\cdot, \tau) \in M_\ell(\mathrm{Sp}_4(\mathbb{Z})) \quad \text{and} \quad \Omega_\ell(Z, \cdot) \in S_{\ell-1/2}(\Gamma_0(4)).$$

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- If $h \in S_{\ell-1/2}(\Gamma_0(4))$ then $\mathrm{SK}^*(h)$ is the Petersson inner product

$$\mathrm{SK}^*(h): Z \mapsto \int_{\Gamma_0(4) \backslash \mathcal{H}} h(u + iv) \Omega_\ell(Z, u - iv) v^{\ell-1/2} \frac{dudv}{v^2}.$$

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- This realizes SK^* in the general theory of theta correspondences. From this perspective, one wants to characterize the image of SK^* .

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Theorem (Maass-Zagier (1980))

Suppose $F(Z) \in M_\ell(\mathrm{Sp}_4(\mathbb{Z}))$ with Fourier expansion

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- (a) There exists $h \in M_{\ell-1/2}(\Gamma_0(4))$ such that $F = SK^*(h)$.
- (b) If $T = \begin{pmatrix} n & r/2 \\ r/2 & m \end{pmatrix} \geq 0$ is non-zero then

$$A[T] = \sum_{d \in \mathbb{Z}_{\geq 1} : d | \mathrm{gcd}(n, r, m)} d^{\ell-1} A \left[\begin{pmatrix} nm/d^2 & r/(2d) \\ r/(2d) & 1 \end{pmatrix} \right]. \quad (\text{Maass Relation})$$

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(c) If $T_1, T_2 \geq 0$ are primitive and $\det(T_1) = \det(T_2)$ then $A[T_1] = A[T_2]$.

The trivial implications $(a) \implies (c)$ & $(a) \implies (b)$.

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(iii) If $e(T) > 1$ and $d \mid e(T)$ then $\det \begin{pmatrix} nm/d^2 & r/(2d) \\ r/(2d) & 1 \end{pmatrix} = \frac{\det(T)}{d^2}$.

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(iv) Since $\begin{pmatrix} nm/d^2 & r/(2d) \\ r/(2d) & 1 \end{pmatrix}$ is also primitive, (ii) and (iii) imply

$$A \left[\begin{pmatrix} nm/d^2 & r/(2d) \\ r/(2d) & 1 \end{pmatrix} \right] = c \left(\frac{-4 \det(T)}{d^2} \right). \quad (2)$$

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Suppose $F = SK^*(h)$ and write $F(Z) = \sum_{T \geq 0} A[T] \exp(2\pi i \operatorname{tr}(TZ))$.

(i) If $T = \begin{pmatrix} n & r/2 \\ r/2 & m \end{pmatrix} \geq 0$ is non-zero then Maass' theorem implies

$$A[T] = \sum_{d|e(T)} d^{\ell-1} c \left(\frac{-4 \det(T)}{d^2} \right). \quad (1)$$

(ii) So $e(T) = 1$ implies $A[T] = c(-4 \det(T))$ depends only on $\det(T)$.

(iii) If $e(T) > 1$ and $d \mid e(T)$ then $\det \begin{pmatrix} nm/d^2 & r/(2d) \\ r/(2d) & 1 \end{pmatrix} = \frac{\det(T)}{d^2}$.

(iv) Since $\begin{pmatrix} nm/d^2 & r/(2d) \\ r/(2d) & 1 \end{pmatrix}$ is also primitive, (ii) and (iii) imply

$$A \left[\begin{pmatrix} nm/d^2 & r/(2d) \\ r/(2d) & 1 \end{pmatrix} \right] = c \left(\frac{-4 \det(T)}{d^2} \right). \quad (2)$$

(v) Substituting (2) into (1) gives the Maass relation.

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- These Taylor coefficients turn out to be modular forms on $SL_2(\mathbb{Z})$.

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- 3 Modular Forms on SO_8**
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The Group SO_8

Let $\mathbb{H} = \mathbb{R}\langle 1, i, j, k \rangle$ and set $V = \mathbb{H} \oplus \mathbb{H}$ equipped with the quadratic form

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Consider the embedding

$$\rho: \mathbb{H}^1 \hookrightarrow K^0 \subseteq \mathrm{SO}_8, \quad (\rho(g) \cdot (q_1, q_2) = (gq_1, q_2)).$$

Then $\mathbb{H}^1 \trianglelefteq K^0$ will substitute for the lack of a central $\mathbb{C}^1 \subseteq K^0$.

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such that Φ is smooth, of moderate growth and satisfies

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The above generalizes to an arbitrary semi-simple real Lie group G provided the maximal compact $K \leq G$ contains a normal copy of \mathbb{H}^1 .

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Then $\Phi_Z(g)$ Fourier expands along $N^{\mathrm{ab}}(\mathbb{Z}) \backslash N^{\mathrm{ab}}$ as

$$\Phi_Z(g) = \sum_{\substack{[T_1, T_2] \in M_2(\mathbb{Z}) \oplus M_2(\mathbb{Z}) \\ \text{such that } Q[T_1, T_2] > 0}} \Lambda[T_1, T_2] \mathcal{W}_{[T_1, T_2]}(g).$$

- $Q(T_1, T_2)$ is the binary quadratic form

$$Q[T_1, T_2] = \det(xT_1 - yT_2).$$

- $\mathcal{W}_{[T_1, T_2]}(g): \mathrm{SO}_8 \rightarrow \mathrm{Sym}^\ell(\mathbb{V})$ is an explicit special function which depends only on ℓ , $[T_1, T_2]$, and the choice of K .

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From Sp_4 to SO_8 .

For modular forms on $\mathrm{SO}_8(\mathbb{Z})$, we have a version of Maass' 79 Theorem.

Theorem (Pollack 2021)

- Let $\ell \in \mathbb{Z}_{\geq 18}$ and suppose $F \in S_\ell(\mathrm{Sp}_4(\mathbb{Z}))$ has Fourier expansion

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The number $\Lambda[T_1, T_2]$ are the Fourier coefficients of a unique element

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Say $[T_1, T_2] \in \mathrm{M}_2(\mathbb{Z}) \oplus \mathrm{M}_2(\mathbb{Z})$ is **primitive** if $\forall \gamma \in \mathrm{M}_2(\mathbb{Z})^{\det \neq 0}$,

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The following are equivalent.

(a) There exists $F \in S_\ell(\mathrm{Sp}_4(\mathbb{Z}))$ such that $\Phi = \theta(F)^*$.

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- (a) There exists $F \in S_\ell(\mathrm{Sp}_4(\mathbb{Z}))$ such that $\Phi = \theta(F)^*$.
 (b) If $[T_1, T_2] \in M_2(\mathbb{Z}) \oplus M_2(\mathbb{Z})$ satisfies $Q[T_1, T_2] > 0$ then

$$\Lambda[T_1, T_2] = \sum_{\substack{\gamma \in \mathrm{GL}_2(\mathbb{Z}) \setminus M_2(\mathbb{Z})^{\det \neq 0} \text{ s.t.} \\ [T_1, T_2]\gamma^{-1} \in M_2(\mathbb{Z}) \oplus M_2(\mathbb{Z})}} |\det(\gamma)|^{\ell-1} \widehat{\Lambda[T_1, T_2]\gamma^{-1}}$$

Here $\widehat{[T_1, T_2]\gamma^{-1}} \in M_2(\mathbb{Z}) \oplus M_2(\mathbb{Z})$ is a specific primitive element (determined by $[T_1, T_2]\gamma^{-1}$) satisfying $Q[\widehat{[T_1, T_2]\gamma^{-1}}] = Q[T_1, T_2]\gamma^{-1}$.

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- From this analysis one proves that $\text{FJ}(y)$ naturally determines a scalar valued holomorphic modular form on PGSp_4 .

First Fourier Jacobi-Coefficients

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There exists a $F \in S_\ell(\mathrm{Sp}_4(\mathbb{Z}))$ such that if $T = \begin{pmatrix} n & r/2 \\ r/2 & m \end{pmatrix} > 0$ then the T -th Fourier coefficient of F satisfies

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- A similar statement holds for general y , however, unlike in the classical case, the level of $\mathrm{FJ}(y)$ may deepens as $\langle y, y \rangle \rightarrow \infty$.

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 - (c) If $[T_1, T_2], [T'_1, T'_2]$ are primitive then

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- One could speculate about similar statement for modular forms on $\mathrm{SO}_8(\mathbb{Z})$. Work of Bhargava and Weissman predicts connections between Fourier coefficients of modular forms on $\mathrm{SO}_8(\mathbb{Z})$ and the fine structure of ideal class groups of imaginary quadratic fields.

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Thank you for listening