Algebraic Modular Forms on U(2, n)

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Fourier Coefficients and Algebraic Cusp Forms on U(2, n).

Finn McGlade

Joint with Anton Hilado and Pan Yan

36th Annual Workshop on Automorphic Forms Stillwater, Oklahoma

May 2024

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Outline







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The Fourier Expansion of Modular Forms on $Sp_4(\mathbb{Z})$

Suppose $\ell \in \mathbb{Z}_{\geq 0}$ is even and define

$$\mathcal{H}_2 = \left\{ \begin{pmatrix} \tau & z \\ z & \tau' \end{pmatrix} \in \mathbf{M}_2(\mathbb{C}) \colon \operatorname{im}(\tau), \operatorname{im}(\tau'), \operatorname{im}(\tau) \operatorname{im}(\tau') - \operatorname{im}(z)^2 > 0 \right\}$$

Siegel Modular Forms on $\mathop{Sp}_4_{\bigcirc \odot \odot}$

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• $F \in M_{\ell}(\Gamma_2)$ is a holomorphic function on \mathcal{H}_2 with Fourier series

$$F(Z) = \sum_{T \ge 0} A[T] \exp(2\pi i \operatorname{tr}(TZ)).$$

Here T ranges over $\left\{ \begin{pmatrix} n & r/2 \\ r/2 & m \end{pmatrix} : n, r, m \in \mathbb{Z}, n, m, 4nm - r^2 \ge 0 \right\}$.

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Theorem (Shimura 75')

The space $M_{\ell}(\Gamma_2)$ admits a **basis** consisting of algebraic forms.

Siegel Modular Forms on $\mathop{\mathrm{Sp}}_4$ oo \bullet

Modular Forms on U(2, n)

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Siegel Modular Forms Semi-Classically

• Sp_4 acts **transitively** on \mathcal{H}_2 and iI_2 has stabilizer

$$K = \left\{ \begin{pmatrix} A & -B \\ B & A \end{pmatrix} : AB^{t} = BA^{t}, AA^{t} + BB^{t} = I_{2} \right\}.$$

Siegel Modular Forms on Sp_4 000

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$$\varphi_F$$
: Sp₄ $\to \mathbb{C}$, $\varphi_F(g) = j(g,i)^{-\ell} F(g \cdot iI_2)$

where $j(\begin{pmatrix} A & B \\ C & D \end{pmatrix}, i) = \det(Ci + D)$.

Siegel Modular Forms on $\mathop{Sp}_4_{\bigcirc \bigcirc \bullet}$

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F ∈ *M*_ℓ(Γ₂) ⇐⇒ φ_F is smooth, moderate growth and satisfies:
 (i) If γ ∈ Γ₂, g ∈ Sp₄, and k ∈ K then

 $\varphi_F(\gamma g) = \varphi_F(g)$ and $\varphi_F(gk) = j(k,i)^{-\ell}\varphi_F(g).$

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(ii) φ_F satisfies a **Cauchy Riemann** type equation $D\varphi_F \equiv 0$.



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Modular Forms on U(2, n).

Let
$$n \in \mathbb{Z}_{\geq 1}$$
, $J = \operatorname{diag}(1, -1, \cdots, -1) \in M_n(\mathbb{C})$, and

$$U(2, n) = \left\{ g \in \operatorname{GL}_{n+2}(\mathbb{C}) \colon {}^t\overline{g} \begin{pmatrix} 0 & 0 & 1 \\ 0 & J & 0 \\ 1 & 0 & 0 \end{pmatrix} g = \begin{pmatrix} 0 & 0 & 1 \\ 0 & J & 0 \\ 1 & 0 & 0 \end{pmatrix} \right\}.$$

Modular Forms on U(2, n) $0 \oplus 00$ Algebraic Modular Forms on U(2, n)

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Let $K = U(2) \times U(n) \leq U(2, n)$ be a maximal compact subgroup and let $\mathbb{V} = (\operatorname{Sym}^2 \mathbb{C}^2 \otimes_{\mathbb{C}} \det_{U(2)}^{-1}) \boxtimes (\operatorname{Trivial})_{U(n)}.$ Modular Forms on U(2, n) $0 \oplus 00$ Algebraic Modular Forms on U(2, n)

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Definition

Let $\ell \in \mathbb{Z}_{\geq 1}$ and fix an arithmetic subgroup $\Gamma \leq U(2, n)$.

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Definition

Let $\ell \in \mathbb{Z}_{\geq 1}$ and fix an arithmetic subgroup $\Gamma \leq U(2, n)$. Write $\mathcal{M}_{\ell}(\Gamma)$ to denote the space of **smooth**, **moderate** growth functions

$$\Phi \colon \mathrm{U}(2,n) \to \mathrm{Sym}^{\ell} \mathbb{V}$$
 such that

(i) If $\gamma \in \Gamma$, $g \in U(2, n)$, and $k \in K$ then

 $\Phi(\gamma g) = \Phi(g)$ and $\Phi(gk) = k^{-1}\Phi_F(g)$.

(ii) Φ satisfies a **specific** *K*-invariant differential equation $D\Phi \equiv 0$.

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Some History of Modular Forms on U(2, n)

• 1995: Koseki-Oda established uniqueness of Whittaker models when n = 1 and calculated the local archimedean L-factors for the standard L-functions of elements in $\mathcal{M}_{\ell}(\Gamma)$.

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- 1996: Gross-Wallach constructed the local Archimedean analogues of *M_ℓ*(Γ) known as quaternionic representations of the groups U(2, n), Sp(n, 1), SO(4, n + 2), G₂, F₄, E₆, E₇, and E₈.

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- 2024: B. Hu progress towards Deligne's Conjecture for the adjoint L-function of Modular forms on U(2, 1).

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The Fourier Expansion of Modular Forms on U(2, n)

Let
$$N = \left\{ \begin{pmatrix} 1 & * & * \\ \mathbf{0} & I_n & * \\ 0 & \mathbf{0} & 1 \end{pmatrix} \right\}$$
 and $Z = \left\{ \begin{pmatrix} 1 & \mathbf{0} & * \\ \mathbf{0} & I_n & \mathbf{0} \\ 0 & \mathbf{0} & 1 \end{pmatrix} \right\}$ be the center of N .

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Given $\Phi \in \mathcal{M}_{\ell}(\Gamma)$, the **constant term** of Φ along Z = [N, N] is

$$\Phi_{Z}(g) = \int_{(\Gamma \cap Z) \setminus Z} \Phi(zg) dg. \qquad (g \in \mathrm{U}(2, n))$$

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Theorem (Koseki, Oda when n=1 (95'), Hilado, M, Yan (24'))

There exists a unique explicit family of special functions

$$\{\mathcal{W}_{\mathbf{v}} \colon \mathrm{U}(2,n) \to \mathrm{Sym}^{\ell} \, \mathbb{V} \colon \mathbf{v} \in \mathbb{C}^{n} \text{ such that } {}^{t} \overline{\mathbf{v}} J \mathbf{v} > 0\}$$

such that if $\Phi \in S_{\ell}(\Gamma)$ then Φ_Z Fourier expands in characters of N/Z as

$$\Phi_{Z}(g) = \sum_{\mathbf{v} \in \Lambda: \ '\bar{\mathbf{v}}J\mathbf{v} > 0} A_{\Phi}[\mathbf{v}] \mathcal{W}_{\mathbf{v}}(g). \qquad (g \in \mathrm{U}(2, n))$$

Here $\Lambda \subseteq \mathbb{C}^n$ is a lattice and $A_{\Phi}[\mathbf{v}] \in \mathbb{C}$ is a **Fourier Coefficient**.

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Say that Φ is algebraic if $\Phi_Z \neq 0$ and $A_{\Phi}[\mathbf{v}] \in \overline{\mathbb{Q}}$ for all $\mathbf{v} \in \Lambda$.

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The space of hypercusp forms on Γ is

$$\mathcal{S}_{\ell}^{0}(\Gamma) = \{ \Phi \in \mathcal{S}_{\ell}(\Gamma) \colon \Phi_{Z} \equiv 0 \}$$

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Conjecture (after A. Pollack)

The space $S^0_{\ell}(\Gamma)^{\perp}$ admits a basis consisting of algebraic forms.

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For modular forms on G_2 of weight $\ell \in 2\mathbb{Z}_{>3}$ this is a result of Pollack (23')

Algebraic Modular Forms on U(2, n)

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The Saito Kurokawa Lifting

Given
$$Z = \begin{pmatrix} \xi & z \\ z & \xi' \end{pmatrix} \in \mathcal{H}_2$$
 and $\tau \in \mathcal{H}_1 \subseteq \mathbb{C}$ in the upper half plane, let

$$\Omega_{\ell}(Z, \tau) = \sum_{N>0} N^{3/2-\ell} w_{N,\ell}(Z) e^{2\pi i N \tau}.$$
where $w_{N,\ell}(Z) = \sum_{\substack{(a,b,c,d,e) \in \mathbb{Z}^5 \\ 4bd-c^2-4ae=N}} \frac{1}{(a(\xi\xi'-z^2)+b\xi+cz+d\xi'+e)^{\ell}}.$

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• Using the theory of theta series attached to lattices, Kudla proves

$$\Omega_{\ell}(\cdot, \tau) \in M_{\ell}(\Gamma_2)$$
 and $\Omega_{\ell}(Z, \cdot) \in S_{\ell-1/2}(\Gamma_0(4)).$

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$$\Omega_{\ell}(Z, \tau) = \sum_{N>0} N^{3/2-\ell} w_{N,\ell}(Z) e^{2\pi i N \tau}.$$
where $w_{N,\ell}(Z) = \sum_{\substack{(a,b,c,d,e) \in \mathbb{Z}^5 \\ 4bd-c^2-4ae=N}} \frac{1}{(a(\xi\xi'-z^2)+b\xi+cz+d\xi'+e)^{\ell}}.$

Using the theory of theta series attached to lattices, Kudla proves

$$\Omega_{\ell}(\cdot, \tau) \in M_{\ell}(\Gamma_2)$$
 and $\Omega_{\ell}(Z, \cdot) \in S_{\ell-1/2}(\Gamma_0(4)).$

• Define the Saito-Kurokawa Lift SK*: $S^+_{\ell-1/2}(\Gamma_0(4)) \to M_{\ell}(\Gamma_2)$ as

$$h \mapsto \mathrm{SK}^*(h)(Z) := \int_{\Gamma_0(4) \setminus \mathcal{H}_1} h(u + iv) \Omega_\ell(Z, u - iv) v^{\ell - 1/2} \frac{dudv}{v^2}.$$

Algebraic Modular Forms on U(2, n)

Rationality of the Saito Kurokawa Lift

Theorem (Andrianov, Maass, Zagier 77'-80')

Fix $h \in S^+_{\ell-1/2}(\Gamma_0(4))$ with Fourier series $h(z) = \sum_{n=0}^{\infty} c(n)e^{2\pi i n z}$.



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$$A[T] = \sum_{d \mid \gcd(n,r,m)} d^{\ell-1} c\left(\frac{4 \det(T)}{d^2}\right).$$

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Then $SK^*(h)$ is **non-zero** with Fourier expansion

$$SK^*(h)(Z) = \sum_{T>0} A[T] \exp(2\pi i tr(TZ)).$$

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In particular, the mapping SK^{*}: $S^+_{\ell-\frac{1}{2}}(\Gamma_0(4)) \hookrightarrow S_{\ell}(\Gamma_2)$ is defined over \mathbb{Q} .

Siegel Modular Forms on $Sp_4 \\ \texttt{OOO}$

Modular Forms on U(2, n)

Algebraic Modular Forms on U(2, n)

Algebraic Theta Lifts on U(2, n)

Let U(1,1) =
$$\left\{ \begin{pmatrix} z & 0 \\ 0 & z \end{pmatrix} h : z \in \mathbb{C}^1, g \in \mathrm{SL}_2(\mathbb{R}) \right\}.$$

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Theorem (Hilado, M, Yan 2024)

Let $\ell \ge n$ and $f: U(1,1) \to \mathbb{C}$ be a weight $2\ell - n + 2$ holomorphic modular form of central character $\begin{pmatrix} z & 0 \\ 0 & z \end{pmatrix} \mapsto z^{n+2}$ and level $\Gamma' \le U(1,1)$.

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(i) There exists an arithmetic subgroup $\Gamma \leq U(2, n)$ and an integral kernel

$$\Omega: \mathrm{U}(1,1) \times \mathrm{U}(2,n) \to \mathrm{Sym}^{\ell} \, \mathbb{V}$$

such that $\theta^*(f)(g) := \int_{\Gamma' \setminus \mathrm{U}(1,1)} \Omega(g,h) \overline{f(h)} dh$ lies in $\mathcal{S}_{\ell}(\Gamma)$.

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(ii) If Γ'\H₁ is non-compact and the Fourier coefficients of *f* lie in an algebraic extension E/Q then θ*(f) is algebraic and

 $A_{\theta^*(f)}[\mathbf{v}] \in E(\mu_{\infty}) \quad \forall \mathbf{v} \in \Lambda.$

Algebraic Modular Forms on U(2, n)

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Thank you for listening