# Math 262B Lecture Note 1 

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## 1 Basic Settings

$G(V, E)$ is a graph with vertex set $V$ and edge set $E$. A walk is a sequence of vertices $v_{1}, \ldots, v_{t}$ such that $v_{i} \sim v_{i+1}$, (i.e. $\left(v_{i}, v_{i+1}\right) \in E$ ). A path is a walk with distinct vertices, i.e. $v_{i} \neq v_{j}$ for $i \neq j$. For $u, v \in V$, the distance $d(u, v)$ is the length of the shortest path from $u$ to $v$. The diameter of graph $G$ is $D(G)=\max _{u, v \in V} d(u, v)$. For example, in Figure 1, $d\left(v_{1}, v_{3}\right)=2, D(G)=3$.


Figure 1: an example of graph $G$

## 2 Graph Embedding

Definition 2.1 $Q_{n}\left(n\right.$-cube) is a graph with $V\left(Q_{n}\right)=\{0,1\}^{n}$, i.e. $V\left(Q_{n}\right)=$ $\{a: a$ is a binary string of length $n\}$, and $a \sim b$ if and only if they differ in exactly one coordinate.

Definition 2.2 Hamming distance between $u$ and $v, d_{Q_{n}}(u, v)$, is the number of coordinates in which $u$ and $v$ differ in $Q_{n}$.

Now we want to discuss the embedding $f$ of graph $G$ into the hosting graph $H(f: V(G) \rightarrow V(H))$. There are two types of embeddings:

Type-1 Embedding (edge-preserving):
If $u \sim v$ in $G$, then $f(u) \sim f(v)$ in $H$.

Remark 2.3 For Type-1 Embedding, $G$ is embedded in $H$ as a subgraph.
Type-2 Embedding (distance-preserving):
$d_{G}(u, v)=d_{H}(f(u), f(v))$.
Remark 2.4 Type-2 Embedding is stronger than Type-1 Embedding as distancepreserving is necessarily edge-preserving.


Figure 2: $G^{\prime}$

For example, we embed graph $G^{\prime}$ (see Figure 2) in $G$ in two different ways. We define $f, f^{\prime}: G^{\prime} \rightarrow G$, respectively, such that $f(1)=v_{1}, f(2)=$ $v_{2}, f(3)=v_{4}, f(4)=v_{3}$ and $f^{\prime}(1)=v_{1}, f^{\prime}(2)=v_{2}, f^{\prime}(3)=v_{4}, f^{\prime}(4)=$ $v_{5}$ then we can see that $f$ is a Type- 1 Embedding while $f^{\prime}$ is a Type-2 Embedding.

## 3 An addressing problem ('71 Graham-Pollak)

For graph $G=(V, E)$, we want to assign 'addresses', which are binary strings of length $k$, to vertices of $G$ so that the Hamming distance of the addresses of two vertices is equal to the distance of the two vertices in $G$. And we call this assignment of addresses a good assignment. In another word, we want to find a Type-2 Embedding of $G$ in the n-cube.

Remark 3.1 If these addresses exist, it will be an excellent scheme for routing. But we know that a lot of graphs(e.g. $K_{3}$ ) are not embeddable in hypercubes. Therefore, we need modifications of the problem and settings.

Definition 3.2 Addresses are strings $a=\left(a_{1}, a_{2}, \ldots, a_{t}\right)$ of 0 's, 1 's and *'s with distance

$$
d(a, b)=\left|\left\{i:\left\{a_{i}, b_{i}\right\}=\{0,1\}\right\}\right| .
$$

Thus, we can define $Q_{n}^{*}$ and the Hamming distance in it similarly as in Definition 2.2.

Under this modification, we can ask the question "Does a good assignment of addresses defined above always exist?"

Theorem 3.3 For any graph $G$, there is always a good assignment of addresses to it.

Given Theorem 3.3, let $q(G)=\min \{k$ : there is a good assignment $\left.f: V \rightarrow\{0,1, *\}^{k}\right\}$, we want to find $q(G)$ for any graph $G$.

Before proving Theorem 3.3, we look at the graph in Figure 1. We can assign addresses to the vertices $v_{1}, \ldots, v_{5}$ and write them in matrix form with the $i$ th row corresponding to the address of $v_{i}$ :

| $v_{1}$ | 1 | 1 | 1 | $*$ | $*$ |
| :--- | :--- | :--- | :--- | :--- | :--- |
| $v_{2}$ | 1 | 0 | $*$ | 1 | $*$ |
| $v_{3}$ | $*$ | 0 | 0 | 0 | 1 |
| $v_{4}$ | 0 | 0 | 1 | $*$ | $*$ |
| $v_{5}$ | 0 | 0 | 0 | 0 | 0 |

This is apparently a good assignment of addresses to graph $G$.
We now show the correspondence between addressing of a graph and quadratic forms. (This is the 3rd way of looking at addressing problem!) To the first column of the addresses above, we associate the product ( $x_{1}+$ $\left.x_{2}\right)\left(x_{4}+x_{5}\right)$. Here $x_{i}$ is in the first, respectively second, factor if the address of $v_{i}$ has a 1 , respectively a 0 , in the first column. If we do the same thing for each column and add the terms, we obtain a quadratic form

$$
\begin{aligned}
\sum d_{i j} x_{i} x_{j}= & \left(x_{1}+x_{2}\right)\left(x_{4}+x_{5}\right)+x_{1}\left(x_{2}+x_{3}+x_{4}+x_{5}\right) \\
& +\left(x_{1}+x_{4}\right)\left(x_{3}+x_{5}\right)+x_{2}\left(x_{3}+x_{5}\right)+x_{3} x_{5}
\end{aligned}
$$

Here $d_{i j}$ is the distance of the vertices $v_{i}$ and $v_{j}$ in $G$. Thus, in general, an addressing of $G$ corresponds to writing the quadratic form $\sum d_{i j} x_{i} x_{j}$ as a sum of $k$ products where $k$ is the length of the addresses. Trivially, we can see that for any graph $G$ there are at most $D(G) n(n-1) / 2$ products. Hence, we've proved 3.3.

We can save a factor of $n / 2$ if we write

$$
x_{1}\left(x_{2}+\cdots+x_{n}\right)+\sum_{i=2}^{D(G)} x_{1}\left(x_{i_{1}}+\cdots+x_{i_{j_{i}}}\right)
$$

where $x_{i_{1}}, \ldots, x_{i_{j_{i}}}$ are all those vertices for which $d_{1, i_{t}} \geq i$. We then repeat for $x_{2}\left(x_{3}+\cdots+x_{n}\right)+\cdots$, and so on up to $d_{n-1, n}$ copies of $x_{n_{1}} x_{n}$. In this
way, we have at most $(n-1) D(G)$ products in the quadratic form $\sum d_{i j} x_{i} x_{j}$. Thus, we proved the following theorem:
Theorem $3.4 q(G) \leq(n-1) D(G)$.
In fact, we can prove that $q(G) \leq n-1$ (' 83 Winkler) and show that $n-1$ is also the lower bound for $q(G)$ for some graphs $G$. We first show this for trees. To prove this, we need the following theorem:

Theorem 3.5 Let $n_{+}$, respectively $n_{-}$, be the number of positive, respectively negative, eigenvalues of the distance matrix $M=\left(d_{i j}\right)$ of the graph $G$. Then $q(G) \geq \max \left\{n_{+}, n_{-}\right\}$.

Theorem 3.6 If $T$ is a tree on $n$ vertices, then $q(T)=n-1$.
Proof of Theorem 3.6: We first show $q(T) \leq n-1$ by induction on $n$. For $n=2$, we have a trivial addressing with length 1 , namely $v_{1} \rightarrow 0$ and $v_{2} \rightarrow 1$. Now for $T$ with $n$ vertices, suppose $v$ is a leaf and $(v, w) \in E(T)$. Suppose there is a good assignment $f$ for $T^{\prime}=T \backslash\{v\}$, we define

$$
g(u)= \begin{cases}(f(u), 0) & \text { if } u \neq v \\ (f(u), 1) & \text { if } u=v\end{cases}
$$

Then, $g$ is a good assignment for $T$ for

$$
d\left(g(u), g\left(u^{\prime}\right)\right)=d\left((f(u), 0),\left(f\left(u^{\prime}\right), 0\right)\right)=d\left(f(u), f\left(u^{\prime}\right)\right)=d_{T}\left(u, u^{\prime}\right)
$$

for any $u, u^{\prime} \in V(T)$ and obviously

$$
d(g(v), g(w))=d((f(v), 1),(f(w), 0))=d(f(v), f(w))+1=d_{T}(v, w)
$$

and $g$ is of length $n-2+1=n-1$ by the induction hypothesis.
To show $q(T) \geq n-1$, we first calculate the determinant of distance matrix $M=\left(d_{i j}\right)$ of $T$. We number the vertices $v_{1}, \ldots, v_{n}$ in such a way that $v_{n}$ is a leaf adjacent to $v_{n-1}$. In the distance matrix, we subtract row $n-1$ from row $n$, and subtract column $n-1$ from $n$. Then all the entries in the new last row and column are 1 except for the diagonal element which is equal to -2 . Now renumber the vertices $v_{1}, \ldots, v_{n-1}$ in such a way that the new vertex $v_{n-1}$ is a leaf of $T \backslash\left\{v_{n}\right\}$ adjacent to $v_{n-2}$. Repeat the procedure for the rows and columns with numbers $n-1$ and $n-2$. After $n-1$ steps, we have the determinant:

$$
\left|\begin{array}{ccccc}
0 & 1 & 1 & \cdots & 1 \\
1 & -2 & 0 & \cdots & 0 \\
1 & 0 & -2 & \cdots & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots \\
1 & 0 & 0 & \cdots & -2
\end{array}\right|
$$

Thus we find that the determinant of the distance matrix of a tree on $n$ vertices is $(-1)^{n-1}(n-1) 2^{n-2}$, i.e. it depends only on $n$. From this fact and Theorem 3.5, we can prove that $q(G) \geq n-1$ which is left as an exercise.

For complete graph $K_{n}$, the distance between any two distinct vertices is 1 . Therefore, we can take the identity matrix of size $n-1$, replace the zeros above the diagonal by ${ }^{*}$ 's and add a row of 0 's:

$$
\left(\begin{array}{ccccc}
1 & * & \cdots & * & * \\
0 & 1 & \cdots & * & * \\
\vdots & \vdots & \ddots & \vdots & \vdots \\
0 & 0 & \cdots & 0 & 1 \\
0 & 0 & \cdots & 0 & 0
\end{array}\right) .
$$

Any two rows now have distance 1 and hence $q\left(K_{n}\right) \leq n-1$.

