

# ON FINITE SUMS OF RECIPROCAL OF DISTINCT $n$ TH POWERS

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**Introduction.** It has long been known that every positive rational number can be represented as a finite sum of reciprocals of distinct positive integers (the first proof having been given by Leonardo Pisano [6] in 1202). It is the purpose of this paper to characterize (cf. Theorem 4) those rational numbers which can be written as finite sums of reciprocals of distinct  $n$ th powers of integers, where  $n$  is an arbitrary (fixed) positive integer and "finite sum" denotes a sum with a finite number of summands. It will follow, for example, that  $p/q$  is the finite sum of reciprocals of distinct squares<sup>1</sup> if and only if

$$\frac{p}{q} \in \left[0, \frac{\pi^2}{6} - 1\right) \cup \left[1, \frac{\pi^2}{6}\right).$$

Our starting point will be the following result:

**THEOREM A.** *Let  $n$  be a positive integer and let  $H^n$  denote the sequence  $(1^{-n}, 2^{-n}, 3^{-n}, \dots)$ . Then the rational number  $p/q$  is the finite sum of distinct terms taken from  $H^n$  if and only if for all  $\varepsilon > 0$ , there is a finite sum  $s$  of distinct terms taken from  $H^n$  such that  $0 \leq s - p/q < \varepsilon$ .*

Theorem A is an immediate consequence of a result of the author [2, Theorem 4] together with the fact that every sufficiently large integer is the sum of distinct  $n$ th powers of positive integers (cf., [8], [7] or [3]).

**The main results.** We begin with several definitions. Let  $S = (s_1, s_2, \dots)$  denote a (possibly finite) sequence of real numbers.

**DEFINITION 1.**  $P(S)$  is defined to be the set of all sums of the form  $\sum_{k=1}^{\infty} \varepsilon_k s_k$  where  $\varepsilon_k = 0$  or 1 and all but a finite number of the  $\varepsilon_k$  are 0.

**DEFINITION 2.**  $Ac(S)$  is defined to be the set of all real numbers  $x$  such that for all  $\varepsilon > 0$ , there is an  $s \in P(S)$  such that  $0 \leq s - x < \varepsilon$ . Note that in this terminology Theorem A becomes:

$$(1) \quad P(H^n) = Ac(H^n) \cap \mathbb{Q}$$

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<sup>1</sup> This result has also been obtained by P. Erdős (not published).

where  $Q$  denotes the set of rational numbers.

DEFINITION 3. A term  $s_n$  of  $S$  is said to be *smoothly replaceable* in  $S$  (abbreviated *s.r. in  $S$* ) if  $s_n \leq \sum_{k=1}^{\infty} s_{n+k}$ .

THEOREM 1. Let  $S = (s_1, s_2, \dots)$  be a sequence of real numbers such that:

1.  $s_n \downarrow 0$ .

2. There exists an integer  $r$  such that  $n \geq r$  implies that  $s_n$  is smoothly replaceable in  $S$ .

Then

$$Ac(S) = \bigcup_{\pi \in P_{r-1}} [\pi, \pi + \sigma)$$

where  $P_{r-1} = P((s_1, \dots, s_{r-1}))$  (note that  $P_0 = \{0\}$ ) and  $\sigma = \sum_{k=r}^{\infty} s_k$  (where possibly  $\sigma$  is infinite).

*Proof.* Let  $x \in \bigcup_{\pi \in P_{r-1}} [\pi, \pi + \sigma)$  and assume that  $x \notin Ac(S)$ . Then  $x \in [\pi, \pi + \sigma)$  for some  $\pi \in P_{r-1}$ . A sum of the form  $\pi + \sum_{i=1}^k s_{i_t}$  where  $r \leq i_1 < i_2 < \dots < i_k$  will be called "minimal" if

$$(2) \quad \pi + \sum_{t=1}^{k-1} s_{i_t} < x < \pi + \sum_{t=1}^k s_{i_t}$$

(where a sum of the form  $\sum_{t=a}^b s_{i_t}$  is taken to be 0 for  $b < a$ ). Note that since  $x \notin Ac(S) \supset P(S)$  then we never get equality in (2). Let  $M$  denote the set of minimal sums. Then  $M$  must contain infinitely many elements. For suppose  $M$  is a finite set. Let  $m$  denote the largest index of any  $s_j$  which is used in any element of  $M$  and let  $p = \pi + \sum_{k=1}^n s_{j_k} + s_m$  be an element of  $M$  which uses  $s_m$  (where  $r \leq j_1 < j_2 < \dots < j_n < m$  and possibly  $n$  is zero). Thus we have

$$\pi + \sum_{k=1}^n s_{j_k} < x < \pi + \sum_{k=1}^n s_{j_k} + \sum_{t=1}^{\infty} s_{m+t}$$

since  $s_m$  is s.r. in  $S$ . Therefore there is a *least*  $d \geq 1$  such that  $x < p' = \pi + \sum_{k=1}^n s_{j_k} + \sum_{t=1}^d s_{m+t}$ . Hence  $p'$  is a "minimal" sum which uses  $s_{m+d}$  and  $m+d > m$ . This is a contradiction to the definition of  $m$  and consequently  $M$  must be infinite. Now, let  $\delta = \inf\{p - x : p \in M\}$ . Since  $x \notin Ac(S)$  then  $\delta > 0$ . There exist  $p_1, p_2, \dots \in M$  such that  $p_n - x < \delta + \delta/2^n$ . Since  $s_n \downarrow 0$  then there exists  $c$  such that  $n \geq c$  implies that  $s_n < \delta/2$ . Also, there exists  $w$  such that  $n \geq w$  implies that  $p_n$  uses an  $s_k$  for some  $k \geq c$  (since only a finite number of  $p_j$  can be formed from the  $s_k$  with  $k < c$ ). Therefore we can write  $p_w = \pi + \sum_{j=1}^n s_{k_j}$  where  $k_n \geq c$ . Hence

$$p_w - s_{k_n} - x > p_w - \frac{\delta}{2} - x \geq \delta - \frac{\delta}{2} = \frac{\delta}{2} > 0$$

which is a contradiction to the assumption that  $p_w$  is "minimal." Thus, we must have  $x \in Ac(S)$  and consequently

$$(3) \quad \bigcup_{\pi \in P_{r-1}} [\pi, \pi + \sigma) \subset Ac(S).$$

To show inclusion in the other direction let  $x \in Ac(S)$  and suppose that  $x \notin \bigcup_{\pi \in P_{r-1}} [\pi, \pi + \sigma)$ . Thus, either  $x < 0$ ,  $x \geq \sum_{k=1}^{\infty} s_k$ , or there exist  $\pi$  and  $\pi'$  in  $P_{r-1}$  such that  $\pi + \sigma \leq x < \pi'$  where no element of  $P_{r-1}$  is contained in the interval  $[\pi + \sigma, \pi')$ . Since the first two possibilities imply that  $x \notin Ac(S)$  (contradicting the hypothesis) then we may assume that the third possibility holds. Therefore there exists  $\delta > 0$  such that

$$(4) \quad x \leq \pi' - \delta.$$

Let  $p$  be any element of  $P(S)$ . Then  $p = \sum_{i=1}^m s_{i_t} + \sum_{u=1}^n s_{j_u}$  for some  $m$  and  $n$  where

$$1 \leq i_1 < i_2 < \dots < i_m \leq r - 1 < j_1 < j_2 < \dots < j_n.$$

Thus for  $\pi^* = \sum_{i=1}^m s_{i_t}$  we have  $p \in [\pi^*, \pi^* + \sigma)$ . Consequently any element  $p$  of  $P(S)$  must fall into an interval  $[\pi^*, \pi^* + \sigma)$  for some  $\pi^* \in P_{r-1}$  and therefore, if  $p$  exceeds  $x$  then it must exceed  $x$  by at least  $\delta$  (since  $p \notin [\pi + \sigma, \pi')$  and thus by (4)  $p > x \in [\pi + \sigma, \pi')$  implies  $p \geq \pi' \geq x + \delta$ ). This contradicts the hypothesis that  $x \in Ac(S)$  and hence we conclude that  $Ac(S) \subset \bigcup_{\pi \in P_{r-1}} [\pi, \pi + \sigma)$ . Thus, by (3) we have  $Ac(S) = \bigcup_{\pi \in P_{r-1}} [\pi, \pi + \sigma)$  and the theorem is proved.

**THEOREM 2.** Let  $S = (s_1, s_2, \dots)$  be a sequence of real numbers such that:

1.  $s_n \downarrow 0$ .
2. There exists an integer  $r$  such that  $n < r$  implies that  $s_n$  is not s.r. in  $S$  while  $n \geq r$  implies that  $s_n$  is s.r. in  $S$ .

Then  $Ac(S)$  is the disjoint union of exactly  $2^{r-1}$  half-open intervals each of length  $\sum_{k=r}^{\infty} s_k$ .

*Proof.* By Theorem 1 we have  $Ac(S) = \bigcup_{\pi \in P_{r-1}} [\pi, \pi + \sigma)$  where  $\sigma = \sum_{k=r}^{\infty} s_k$  and  $P_{r-1} = P((s_1, \dots, s_{r-1}))$ . Let  $\pi = \sum_{k=1}^u s_{i_k}$  and  $\pi' = \sum_{k=1}^v s_{j_k}$  be any two formally distinct sums of the  $s_n$  where  $1 \leq i_1 < \dots < i_u \leq r - 1$  and  $1 \leq j_1 < \dots < j_v \leq r - 1$  and we can assume without loss of generality that  $\pi \geq \pi'$ . Then either there is a least  $m \geq 1$  such that  $i_m \neq j_m$  or we have  $i_k = j_k$  for  $k = 1, 2, \dots, v$  and

$u > v$ . In the first case we have

$$\begin{aligned} \pi &= \sum_{k=1}^u s_{i_k} = \sum_{k=1}^{m-1} s_{j_k} + \sum_{k=m}^u s_{i_k} \\ &> \sum_{k=1}^{m-1} s_{j_k} + \sum_{k=1}^{\infty} s_{i_{m+k}} \quad (\text{since } s_{i_m} \text{ is not s.r. in } S) \\ &\geq \pi' + \sigma \quad (\text{since } j_m \geq i_m + 1). \end{aligned}$$

In the second case we have

$$\begin{aligned} \pi &= \sum_{k=1}^u s_{i_k} = \sum_{k=1}^v s_{j_k} + \sum_{k=v+1}^u s_{i_k} \\ &> \sum_{k=1}^v s_{j_k} + \sum_{k=1}^{\infty} s_{i_{v+1+k}} \quad (\text{since } s_{i_{v+1}} \text{ is not s.r. in } S) \\ &\geq \pi' + \sigma \quad (\text{since } i_{v+1} + 1 \leq i_u + 1 \leq r). \end{aligned}$$

Thus, in either case we see that  $\pi > \pi' + \sigma$ . Consequently, any two formally distinct sums in  $P_{r-1}$  are separated by a distance of more than  $\sigma$  and hence, each element  $\pi$  of  $P_{r-1}$  gives rise to a half-open interval  $[\pi, \pi + \sigma)$  which is disjoint from any other interval  $[\pi', \pi' + \sigma)$  for  $\pi \neq \pi' \in P_{r-1}$ . Therefore  $Ac(S) = \bigcup_{\pi \in P_{r-1}} [\pi, \pi + \sigma)$  is the disjoint union of exactly  $2^{r-1}$  half-open intervals  $[\pi, \pi + \sigma)$ ,  $\pi \in P_{r-1}$ , (since there are exactly  $2^{r-1}$  formally distinct sums of the form  $\sum_{k=1}^{r-1} \varepsilon_k s_k$ ,  $\varepsilon_k = 0$  or  $1$ ) where each interval is of length  $\sigma$ . This proves the theorem.

We now need three additional lemmas in order to prove the main theorems.

**LEMMA 1.** *Let  $S = (s_1, s_2, \dots)$  be a sequence of nonnegative real numbers and suppose that there exists an  $m$  such that  $n \geq m$  implies that  $s_n \leq 2s_{n+1}$ . Then  $n \geq m$  implies that  $s_n$  is s.r. in  $S$  (i.e.,  $s_n \leq \sum_{k=1}^{\infty} s_{n+k}$ ).*

*Proof.* If  $\sum_{k=1}^{\infty} s_k = \infty$  then the lemma is immediate. Assume that  $\sum_{k=1}^{\infty} s_k < \infty$ . Then

$$\begin{aligned} n \geq m &\implies s_{n+k} \geq \frac{1}{2} s_{n+k-1}, & k = 1, 2, 3, \dots \\ &\implies \sum_{k=1}^{\infty} s_{n+k} \geq \frac{1}{2} \sum_{k=1}^{\infty} s_{n+k-1} = \frac{1}{2} s_n + \frac{1}{2} \sum_{k=1}^{\infty} s_{n+k}. \end{aligned}$$

Therefore,  $s_n \leq \sum_{k=1}^{\infty} s_{n+k}$ , i.e.,  $s_n$  is s.r. in  $S$ .

**LEMMA 2.** *Suppose that  $k \leq (2^{1/n} - 1)^{-1}$  and  $k^{-n}$  is s.r. in  $H^n$  (where  $H^n$  was defined to be the sequence  $(1^{-n}, 2^{-n}, \dots)$ ). Then  $(k+1)^{-n}$  is also s.r. in  $H^n$ .*

*Proof.*

$$\begin{aligned}
 (5) \quad k &\leq (2^{1/n} - 1)^{-1} \implies \frac{1}{k} \leq 2^{1/n} - 1 \\
 &\implies \left(1 + \frac{1}{k}\right)^n \geq 2 \\
 &\implies k^{-n} \geq 2(k+1)^{-n}.
 \end{aligned}$$

Since by hypothesis,  $\sum_{j=k+1}^{\infty} j^{-n} \geq k^{-n}$ , then by (5)

$$\sum_{j=k+2}^{\infty} j^{-n} \geq k^{-n} - (k+1)^{-n} \geq 2(k+1)^{-n} - (k+1)^{-n} = (k+1)^{-n}.$$

Hence,  $(k+1)^{-n}$  is s.r. in  $H^n$  and the lemma is proved.

**LEMMA 3.** Suppose that  $k \geq (2^{1/n} - 1)^{-1}$ . Then  $k^{-n}$  is s.r. in  $H_n$ .

*Proof.*

$$\begin{aligned}
 r \geq k &\implies r \geq (2^{1/n} - 1)^{-1} \\
 &\implies \frac{1}{r} \leq 2^{1/n} - 1 \\
 &\implies \left(1 + \frac{1}{r}\right)^n \leq 2 \\
 &\implies r^{-n} \leq 2(r+1)^{-n}.
 \end{aligned}$$

Therefore, by Lemma 1,  $r^{-n}$  is s.r. in  $H^n$ .

**THEOREM 3.** Let  $t_n$  denote the largest integer  $k$  such that  $k^{-n}$  is not s.r. in  $H^n$  and let  $P$  denote  $P((1^{-n}, 2^{-n}, \dots, t_n^{-n}))$ . Then

$$Ac(H^n) = \bigcup_{\pi \in P} [\pi, \pi + \sum_{k=1}^{\infty} (t_n + k)^{-n})$$

is the disjoint union of exactly  $2^{t_n}$  intervals. Moreover,  $t_n < (2^{1/n} - 1)^{-1}$  and  $t_n \sim n/\ln 2$  (where  $\ln_e 2$  denotes  $\log_e 2$ ).

*Proof.* With the exception of  $t_n \sim n/\ln 2$ , the theorem follows directly from the preceding results. The following argument, due to L. Shepp, shows that  $t_n \sim n/\ln 2$ .

Consider the function  $f_n(x)$  defined by

$$(6) \quad f_n(x) = x^n \left( \sum_{k=1}^{\infty} \frac{1}{(x+k)^n} - \frac{1}{x^n} \right)$$

for  $n = 2, 3, \dots$  and  $x > 0$ . Since

$$f_n(x) = \sum_{k=1}^{\infty} \left(1 + \frac{k}{x}\right)^{-n} - 1$$

then  $f_n(x) < 0$  for sufficiently small  $x > 0$ ,  $f_n(x) > 0$  for sufficiently

large  $x$ , and  $f_n(x)$  is continuous and monotone increasing for  $x > 0$ . Hence the equation  $f_n(x) = 0$  has a unique positive root  $x_n$  and from the definition of  $t_n$  it follows by (6) that  $0 < x_n - t_n \leq 1$ . Thus, to show that  $t_n \sim n/\ln 2$ , it suffices to show that  $x_n \sim n/\ln 2$ . Now it is easily shown (cf., [4], p. 13) that for  $a > 0$ ,  $(1 + \alpha/n)^{-n}$  is a decreasing function of  $n$ . Thus,  $f_n(\alpha n)$  is a decreasing function of  $n$  and since  $f_2(2\alpha) < \infty$  for  $\alpha > 0$  then

$$\begin{aligned} \lim_{n \rightarrow \infty} f_n(\alpha n) &= \lim_{n \rightarrow \infty} \sum_{k=1}^{\infty} \left(1 + \frac{k}{\alpha n}\right)^{-n} - 1 \\ &= \sum_{k=1}^{\infty} \lim_{n \rightarrow \infty} \left(1 + \frac{k}{\alpha n}\right)^{-n} - 1 \\ &= -1 + \sum_{k=1}^{\infty} e^{-k/\alpha} = (e^{1/\alpha} - 1)^{-1} - 1 \end{aligned}$$

since the monotone convergence theorem (cf., [5]) allows us to interchange the sum and limit. Suppose now that for some  $\varepsilon > 0$ , there exist  $n_1 < n_2 < \dots$  such that  $x_{n_i} > n_i(1/\ln 2 + \varepsilon)$ . Then

$$\begin{aligned} 0 &= \lim_{i \rightarrow \infty} f_{n_i}(x_{n_i}) \geq \lim_{i \rightarrow \infty} f_{n_i}\left(n_i\left(\frac{1}{\ln 2} + \varepsilon\right)\right) \\ &= (e^{(1/\ln 2 + \varepsilon)} - 1)^{-1} - 1 \\ &= (2^{1/(1 + \varepsilon \ln 2)} - 1)^{-1} - 1 > 0 \end{aligned}$$

which is a contradiction. Similarly, if for some  $\varepsilon$ ,  $0 < \varepsilon < 1/\ln 2$ , there exist  $n_1 < n_2 < \dots$  such that

$$x_{n_i} < n_i\left(\frac{1}{\ln 2} - \varepsilon\right),$$

then

$$\begin{aligned} 0 &= \lim_{i \rightarrow \infty} f_{n_i}(x_{n_i}) \leq \lim_{i \rightarrow \infty} f_{n_i}\left(n_i\left(\frac{1}{\ln 2} - \varepsilon\right)\right) \\ &= (e^{(1/\ln 2 - \varepsilon)} - 1)^{-1} - 1 \\ &= (2^{1/(1 - \varepsilon \ln 2)} - 1)^{-1} - 1 < 0 \end{aligned}$$

which is again impossible. Hence we have shown that for all  $\varepsilon > 0$ , there exists an  $n_0$  such that  $n > n_0$  implies that

$$n\left(\frac{1}{\ln 2} - \varepsilon\right) \leq x_n \leq n\left(\frac{1}{\ln 2} + \varepsilon\right)$$

or equivalently

$$-\varepsilon \leq \frac{x_n}{n} - \frac{1}{\ln 2} \leq \varepsilon.$$

Therefore,  $\lim_{n \rightarrow \infty} x_n/n = 1/\ln 2$  and the theorem is proved.<sup>2</sup>

The following table gives the values of  $t_n$  for some small values of  $n$ .

$n$	$t_n$	$\frac{[(2^{1/n} - 1)^{-1}]^2}{n}$
1	0	1
2	1	2
3	2	3
4	4	5
5	5	6
10	12	13
100	?	143
1000	?	1442

We may now combine Theorem 3 and Theorem A (cf. Eq. (1)) and express the result in ordinary terminology to give:

**THEOREM 4.** *Let  $n$  be a positive integer, let  $t_n$  be the largest integer  $k$  such that  $k^{-n} > \sum_{j=1}^{\infty} (k+j)^{-n}$  and let  $P$  denote the set  $\{\sum_{j=1}^n \varepsilon_j j^{-n} : \varepsilon_j = 0 \text{ or } 1\}$ . Then the rational number  $p/q$  can be written as a finite sum of reciprocals of distinct  $n$ th powers of integers if and only if*

$$\frac{p}{q} \in \bigcup_{\pi \in P} [\pi, \pi + \sum_{k=1}^{\infty} (t_n + k)^{-n}].$$

**COROLLARY 1.**  *$p/q$  can be expressed as the finite sum of reciprocals of distinct squares if and only if*

$$\frac{p}{q} \in \left[0, \frac{\pi^2}{6} - 1\right) \cup \left[1, \frac{\pi^2}{6}\right).$$

**COROLLARY 2.**  *$p/q$  can be expressed as the finite sum of reciprocals of distinct cubes if and only if*

$$\frac{p}{q} \in \left[0, \zeta(3) - \frac{9}{8}\right) \cup \left[\frac{1}{8}, \zeta(3) - 1\right) \cup \left[1, \zeta(3) - \frac{1}{8}\right) \cup \left[\frac{9}{8}, \zeta(3)\right)$$

where  $\zeta(3) = \sum_{k=1}^{\infty} k^{-3} = 1.2020569\dots$

**REMARKS.** In theory it should be possible to calculate directly from the relevant theorems (cf., [2], [3]) an explicit bound for the number of terms of  $H^n$  needed to represent  $p/q$  as an element of  $P(H^n)$ . However, since the theorems were not designed to minimize such a bound, but rather merely to show its existence, then understandably, this calculated bound would probably be many orders of

<sup>2</sup> In fact, it can be shown that  $x_n$  has the expansion  $n/\ln 2 - 1/2 + c_1 n^{-1} + \dots + c_k n^{-k} + O(n^{-k-1})$  for any  $k$ .

magnitude too large. Erdős and Stein [1] and, independently, van Albada and van Lint [9] have shown that if  $f(n)$  denotes the least number of terms of  $H^1 = (1^{-1}, 2^{-1}, \dots)$  needed to represent the integer  $n$  as an element of  $P(H^1)$  then  $f(n) \sim e^{n-\gamma}$  where  $\gamma$  is Euler's constant.

It should be pointed out that a more general form of Theorem A may be derived from [2] which can be used to prove results of the following type:

**COROLLARY A.** *The rational  $p/q$  with  $(p, q) = 1$  can be expressed as a finite sum of reciprocals of distinct odd squares if and only if  $q$  is odd and  $p/q \in [0, (\pi^2/8) - 1) \cup [1, \pi^2/8)$ .*

**COROLLARY B.** *The rational  $p/q$  with  $(p, q) = 1$  can be expressed as a finite sum of reciprocals of distinct squares which are congruent to 4 modulo 5 if and only if  $(q, 5) = 1$  and*

$$\frac{p}{q} \in \left[0, \alpha - \frac{13}{36}\right) \cup \left[\frac{1}{9}, \alpha - \frac{1}{4}\right) \cup \left[\frac{1}{4}, \alpha - \frac{1}{9}\right) \cup \left[\frac{13}{36}, \alpha\right)$$

where  $\alpha = 2(5 - \sqrt{5})\pi^2/125 = \sum_{k=0}^{\infty} ((5k+2)^{-2} + (5k+3)^{-2}) = 0.43648\dots$

It is not difficult to obtain representations of specific rationals as elements of  $P(H^n)$  (for small  $n$ ), e.g.,

$$\begin{aligned} \frac{1}{2} &= 2^{-2} + 3^{-2} + 4^{-2} + 5^{-2} + 6^{-2} + 15^{-2} + 18^{-2} + 36^{-2} + 60^{-2} + 180^{-2}, \\ \frac{1}{3} &= 2^{-2} + 4^{-2} + 10^{-2} + 12^{-2} + 20^{-2} + 30^{-2} + 60^{-2}, \\ \frac{5}{37} &= 2^{-3} + 5^{-3} + 10^{-3} + 15^{-3} + 16^{-3} + 74^{-3} + 111^{-3} + 185^{-3} + 240^{-3} \\ &\quad + 296^{-3} + 444^{-3} + 1480^{-3}, \text{ etc.}! \end{aligned}$$

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