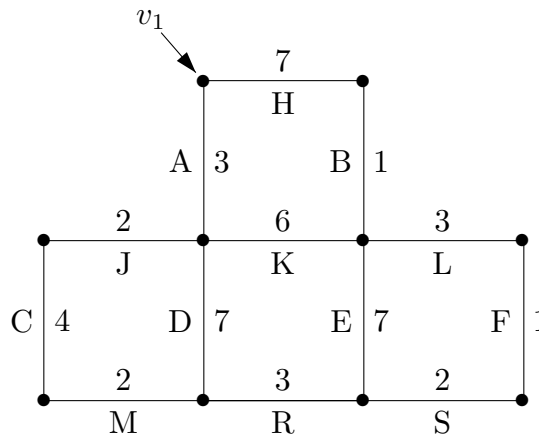
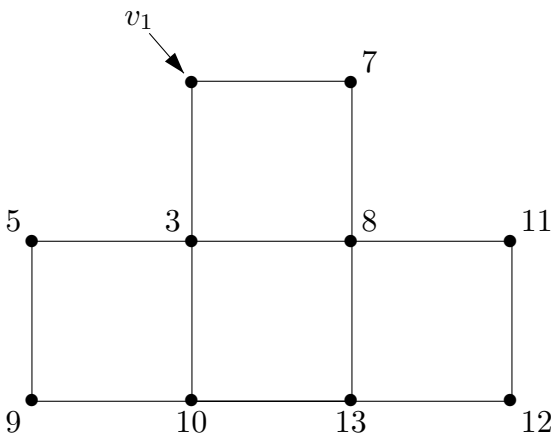


1. (30 pts.) Recall that Dijkstra’s algorithm finds shortest paths from  $v_1$  to all other vertices by adding edges linking in the closest points. In the graph shown below, each edge is bidirectional; that is, you can travel in either direction on it. **Edges are labeled with upper case letters.** (Two copies of the graph are provided so you can use one as a “worksheet” if you wish.)

(a) List edges in order chosen by algorithm:    A J H B C D L F R

(b) At each vertex, give the length of the shortest path from  $v_1$  to the vertex. *Indicate which graph has your answer.*



2. (25 pts.) Consider the following eight complexity categories (remember  $\lg = \log_2$ ):

$$\Theta(n) \quad \Theta(n^2) \quad \Theta(2^n) \quad \Theta(3^{\lg n}) \quad \Theta(n^{\lg n}) \quad \Theta(n \lg n) \quad \Theta((\sqrt{n} + \ln n)^2) \quad \Theta(2^{n+\lg n}).$$

(a) Which are equal?

$$\Theta(n) = \Theta((\sqrt{n} + \ln n)^2)$$

(b) Arrange the distinct classes in order from slowest growing to fastest growing. In other words, if  $\Theta(f(n))$  is to the left of  $\Theta(g(n))$ , then  $f(n) \in o(g(n))$ .

$$\Theta(n) \quad \Theta(n \lg n) \quad \Theta(3^{\lg n}) \quad \Theta(n^2) \quad \Theta(n^{\lg n}) \quad \Theta(2^n) \quad \Theta(2^{n+\lg n}).$$

3. (30 pts.) The average running time for an algorithm is a nondecreasing function of  $n$  and satisfies  $T(4n) = T(2n) + 2T(n)$  for all  $n > 0$ . Furthermore,  $T(1) = 1$  and  $T(2) = 3$ .

(a) Determine  $T(2^k)$  as a function of the integer  $k$ .

*Hint:* Set  $t_k = T(2^k)$ .

Ans. By the hint,  $t_{k+2} = t_{k+1} + 2t_k$ , where  $t_0 = 1$  and  $t_1 = 3$ . Since the roots of  $x^2 = x + 2$  are  $x = -1$  and  $x = 2$ , the general solution to the recursion is

$$t_k = A(-1)^k + B2^k.$$

With  $k = 0, 1$ , we have  $A + B = 1$  and  $-A + 2B = 3$ . Hence  $B = 4/3$  and  $A = -1/3$ . Thus  $T(2^k) = (2^{k+2} - (-1)^k)/3$ .

(b) Determine the complexity class of  $T(n)$ .

Ans.  $T(n) \in \Theta(n)$  by Theorem B.4.

4. (30 pts.) Suppose we have two sorted lists  $a_1, \dots, a_n$  and  $b_1, \dots, b_n$ , both of length  $n$ , that we want to merge to obtain a sorted list of length  $2n$ , say  $c_1, \dots, c_{2n}$ . To do this, we must decide where the  $a_i$ 's fit among the  $b_j$ 's to produce the  $c$  list. The number of choices for this is  $\binom{2n}{n} \geq 4^n / (2n^{1/2})$ .

Suppose the merge is done comparisons of keys. Using the above information, derive a lower bound for the worst case number of key comparisons that are needed. Explain your reasoning; don't just give an answer.

Ans. Each comparison allows us to split the possibilities into two parts. The decision tree will be binary and must have at least  $\binom{2n}{n}$  leaves. Since the longest from root to leaf in such a tree is at least the log base 2 of the number of leaves,  $W(n) \geq \lceil \lg \binom{2n}{n} \rceil$ . You could leave off the ceiling function. You could also use the lower bound for the binomial coefficient to get

$$W(n) \geq 2n - \lg 2 - (\lg n)/2.$$

By the way, this is nearly achieved by the merge process in mergesort: Its worst case number of comparisons is  $2n - 1$ .

5. (30 pts.) Here is an informal description of a routine `Proc` that is looking for  $x$  in a sorted list  $S$ . The parameters are the ends of the list. While it is looking it does some processing in `ProcLow` and `ProcHigh`.

```

Proc(lo,hi)
  If lo > hi we are done.
  k = ⌊(lo + hi)/2⌋.
  If S[k] = x, we are done.
  If S[k] < x
    Call ProcHigh(k,hi) and Proc(k + 1,hi)
  Else
    Call ProcLow(lo,k) and Proc(lo,k - 1)
  Endif.
End

```

We begin by calling `Proc(1, n)`. Most of the time is spent in `ProcLow` and `ProcHigh`. In fact, `ProcLow(a, b)` requires  $\lg(b - a + 1)$  basic operations and `ProcHigh(a, b)` requires  $(b - a + 1)$  basic operations. (You do *not* need to know what any of this code is supposed to do.)

- (a) Let  $W(n)$  be the worst case running time for `Proc(1, n)`. Give a recursion and initial condition for  $W(2^n)$ . (In the worst case,  $x$  is not in the list.)

Ans. When the length of the list is even, the part above  $k$  is exactly half of the list. The part below  $k$  is one shorter and also requires less processing time because of the “lg”. Hence the worst case will be to always take the right half. Thus  $W(n) = W(n/2) + n/2$ . When  $n = 2^k$ ,  $W(2^k) = W(2^{k-1}) + 2^{k-1}$ .

- (b) Let  $A(n)$  be the average running time for `Proc(1, n)`. Assuming  $x$  is not in the list and the probability that  $S[k] < x$  is  $1/2$ , give a recursion for  $A(n)$ . You need *not* give an initial condition.

Ans. When  $n$  is even, the reasoning in the previous answer gives

$$A(n) = \frac{A(n/2) + n/2}{2} + \frac{A(n/2 - 1) + \lg(n/2 - 1)}{2}.$$

When  $n$  is odd, similar reasoning gives

$$A(n) = \frac{A((n-1)/2) + (n-1)/2}{2} + \frac{A((n-1)/2) + \lg((n-1)/2)}{2}.$$

There's no need to write this as a single recursion, but you can. One way to do so is

$$A(n) = \frac{A(\lceil (n-1)/2 \rceil) + \lceil (n-1)/2 \rceil}{2} + \frac{A(\lfloor (n-1)/2 \rfloor) + \lg(\lfloor (n-1)/2 \rfloor)}{2}.$$

6. (65 pts.) Indicate whether true or false. Beware of guessing:

correct answer +5pts.      incorrect answer -3pts.      no answer 0pts

T  $\Theta(2^{n+2}) = \Theta(2^n)$ .

T  $\Theta((n+2)^2) = \Theta(n^2)$ .

F  $\Theta(2^{n+\lg n}) = \Theta(2^n)$ .

T  $\Theta((n + \lg n)^2) = \Theta(n^2)$ .

T Greedy algorithms are called “greedy” because they make the best choice at the present time, without concern for the future.

T Dynamic programming algorithms use a bottom up approach.

F Divide and conquer algorithms use a bottom up approach.

T If a divide and conquer algorithm requires recomputing the same quantity many times, it is a good idea to look for a dynamic programming algorithm.

T No greedy algorithm is known for the 0-1 Knapsack Problem.

F It is usually fairly easy to determine average and worst-case time complexities for backtracking algorithms.

F There is a search algorithm that uses comparison of keys and is significantly faster on average and in the worst case than binary search.

F There is a sorting algorithm that uses comparison of keys and is significantly faster on average and in the worst case than mergesort.

T Quicksort has a good average run time and a poor worst-case run time.