

- Please put your name and ID number on your exam. If you are not using a blue book, put your name on every page.
- The exam is CLOSED BOOK, but ONE SHEET of notes is allowed.
- **You must show your work to receive credit.**

1. (45 pts.) (a) Compute the rank of the permutation 1, 5, 3, 4, 2 when the permutations of  $\{1, 2, 3, 4, 5\}$  are listed in direct insertion order.
  - (b) What permutation immediately follows 1, 5, 3, 4, 2 in direct insertion order?
  - (c) What permutation immediately follows 1, 5, 3, 4, 2 in lex order?

2. (20 pts.) Find the unlabeled rooted plane tree with 7 leaves and rank 60.

$$b_1 = b_2 = 1, \quad b_3 = 2, \quad b_4 = 5, \quad b_5 = 14, \quad b_6 = 42, \quad b_7 = 132.$$

3. (30 pts.) Let  $C_n(k)$  be the number of times position  $k$  is changed in the Gray code for  $n$ -long vectors of zeroes and ones given in the text. You may use the following fact without proving it:

$$C_n(k) = \begin{cases} 1 & \text{if } k = 1, \\ 2C_{n-1}(k-1) & \text{if } 1 < k \leq n, \\ 0 & \text{if } k > n. \end{cases}$$

- (a) Tabulate values of  $C_n(k)$  for  $1 \leq k \leq n \leq 4$ .
  - (b) State and prove a simple formula for  $C_n(k)$  that does not involve a recursion.
4. (30 pts.) Let  $b_n$  be the number of binary unlabeled rooted plane trees with  $n$  leaves. It is known that  $b_n < 4b_{n-1}$  for  $n > 1$  and you may use this fact without proof. (It can be proved by using Exercise 9.1.12.)

- (a) Show that, for more than  $b_n/4$  of these trees with  $n$  leaves, the left subtree consists of just a single leaf when  $n > 1$ .

In other words, show that if the two edges leading from the root go to trees  $T_1$  and  $T_2$ , then we have  $|T_1| = 1$  in more than  $b_n/4$  of the cases.

Hint: What does the term  $b_i b_{n-i}$  in  $b_n = b_1 b_{n-1} + b_2 b_{n-2} + \cdots + b_{n-1} b_1$  count?

- (b) Find a constant  $P > 1/4$  such that the following statement is true for  $n > 1$  about those binary trees with  $n$  leaves.

“In more than  $Pb_n$  of them, the left subtree contains at most 2 leaves.”

*To receive credit, you must prove that your value for  $P$  works.*