# Realizations of equivalence relations and subshifts (joint with Joshua Frisch, Alexander Kechris, Zoltán Vidnyánszky)

Forte Shinko

California Institute of Technology

September 30, 2021

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Realizations of equivalence relations and subs

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#### Descriptive set theory: motivation

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Descriptive set theory is the study of "definable" subsets of the reals.

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- A basis for  $\mathbb R$  as a  $\mathbb Q$ -vector space.
- The continuum hypothesis (is there some  $A \subseteq \mathbb{R}$  with  $|\mathbb{N}| < |A| < |\mathbb{R}|$ ??)

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#### Descriptive set theory: precisely

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Fact: Any two uncountable Polish spaces are Borel isomorphic.

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## Descriptive set theory: the previous examples

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- The continuum hypothesis? Every Borel set satisfies the continuum hypothesis, i.e. if A ⊆ ℝ is a Borel subset, then either A is countable, or |A| = |ℝ|.

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For instance, the classification of  $5 \times 5$  unitary matrices up to similarity (aka conjugacy) is smooth, where the concrete invariants are the 5 eigenvalues.

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## CBERs under $\leq_B$

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# CBERs under $\leq_B$



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Let  $\Gamma \curvearrowright X$  be a continuous action of a countable group on a Polish space X, with no finite orbits. If  $E_{\Gamma}^X$  is minimal, then it is not smooth.

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A CBER E on a Polish space is **minimal** if every class is dense. A CBER E has a **minimal action realization** if there is some countable group  $\Gamma$ , some Polish space X, and a continuous action  $\Gamma \curvearrowright X$  such that  $E_{\Gamma}^X$  is Borel isomorphic to E.

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If E has a minimal action realization, then E is not smooth. Converse?

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#### Minimal realizations

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A CBER E has a **minimal realization** if it is Borel isomorphic to a minimal CBER on a Polish space. We show that every CBER has a minimal realization:

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Theorem ([FKSV21])

Let E be an aperiodic CBER and let X be a perfect Polish space.

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Even smooth ones!

This implies a stronger version of the marker lemma (purely Borel fact about every CBER).

#### Minimal action realizations

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Back to minimal action realizations!

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Back to minimal action realizations! A CBER E is hyperfinite if  $E \leq_B E_0$ .

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Back to minimal action realizations! A CBER E is **hyperfinite** if  $E \leq_B E_0$ . We can realize every hyperfinite CBER. Back to minimal action realizations! A CBER E is **hyperfinite** if  $E \leq_B E_0$ . We can realize every hyperfinite CBER. "Low complexity" Back to minimal action realizations! A CBER E is **hyperfinite** if  $E \leq_B E_0$ . We can realize every hyperfinite CBER. "Low complexity"

#### Question

Does every non-smooth aperiodic CBER have a minimal action realization?

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There is an analogous statement for compact spaces:

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#### Proposition

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A CBER E has a **compact action realization** if there is some countable group  $\Gamma$ , some compact Polish space X, and a continuous action  $\Gamma \curvearrowright X$  such that  $E_{\Gamma}^X$  is Borel isomorphic to E.

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- Free parts of the shift  $(2^{\mathbb{N}})^{\Gamma}$ .

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- Hyperfinite CBERs.
- Free parts of the shift  $(2^{\mathbb{N}})^{\Gamma}$ .
- The universal compressible CBER.

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A subset of a Polish space is  $K_{\sigma}$  if it is the countable union of compact sets.

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#### Theorem ([FKSV21])

Every aperiodic CBER E has a  $K_{\sigma}$  realization. That is, E is Borel isomorphic to a  $K_{\sigma}$  CBER.

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### Realizations as subshifts

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A natural question is to consider compact realizations not just on an arbitrary compact Polish space, but as a subshift. Let X be a Polish space. A subshift of  $X^{\Gamma}$  is a closed  $\Gamma$ -invariant subset  $K \subseteq X^{\Gamma}$ .

Let X be a Polish space.

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A subshift of X^{\Gamma} is a closed \Gamma-invariant subset K \subseteq X^{\Gamma}.
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One can realize a universal CBER as a minimal subshift:

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Theorem ([FKSV21])

There is a minimal subshift K of  $2^{F_3}$  such that  $E_K$  is a universal CBER.

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Theorem ([FKSV21])

There is a minimal subshift K of  $2^{F_3}$  such that  $E_K$  is a universal CBER.

In general, we know many groups  $\Gamma$  for which  $2^{\Gamma}$  has a minimal subshift with universal CBER (certain wreath products).

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### Side remark on amenability

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A countable group  $\Gamma$  is **amenable** if every continuous action  $\Gamma \curvearrowright X$  on a compact space has an invariant measure.

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Theorem ([FKSV21])

A group  $\Gamma$  is amenable iff every subshift of  $2^{\Gamma}$  has an invariant measure.

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#### Theorem ([FKSV21])

A group  $\Gamma$  is amenable iff every subshift of  $2^{\Gamma}$  has an invariant measure.

Andy Zucker has informed me that this also follows from facts about strongly proximal actions (which I am not very good at, sorry Josh).

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### The space of subshifts

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A natural object to consider when studying subshifts is the space of subshifts.

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For a Polish space X, let  $\mathrm{Sh}(X)$  be the standard Borel space of subshifts of  $X^{F_\infty}.$ 

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Every compact Polish space is a closed subspace of  $[0,1]^{\mathbb{N}}$  (the Hilbert cube).

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 $\operatorname{Sh}([0,1]^{\mathbb{N}})$  is a universal space for compact actions.

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Every compact Polish space is a closed subspace of  $[0,1]^{\mathbb{N}}$  (the Hilbert cube).

 $Sh([0,1]^{\mathbb{N}})$  is a universal space for compact actions.

Similarly,  $Sh(\mathbb{R}^{\mathbb{N}})$  is a universal space for arbitrary actions.

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#### Theorem

The set

$$\{K \in \operatorname{Sh}([0,1]^{\mathbb{N}}) : K \text{ is smooth}\}$$

is meager and  $\Pi_1^1$ -complete (not Borel).

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#### Theorem

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### Question

The set

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A CBER E on X is **measure-hyperfinite** if for every Borel probability measure  $\mu$  on X, there is a  $\mu$ -conull subset  $Y \subseteq X$  such that  $E \upharpoonright Y$  is hyperfinite.

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$G_{\delta}$ is very surprising! Argument is indirect, we can't show $G_{\delta}$ directly.		

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## A no-go theorem

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### We've seen that the class of smooth subshifts is not Borel.

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We've seen that the class of smooth subshifts is not Borel. This implies that even if every CBER has a compact realization, there is no **effective** way to obtain this realization. We've seen that the class of smooth subshifts is not Borel. This implies that even if every CBER has a compact realization, there is no **effective** way to obtain this realization. Precisely: We've seen that the class of smooth subshifts is not Borel.

This implies that even if every CBER has a compact realization, there is no **effective** way to obtain this realization.

Precisely:

## Theorem ([FKSV21])

There is a non-smooth aperiodic subshift  $F \in Sh(\mathbb{R}^{\mathbb{N}})$ , such that for every  $K \in Sh([0,1]^{\mathbb{N}})$ , there is no  $\Delta_1^1(F)$  isomorphism of  $E_F$  with  $E_K$ .

# Thank you!

Joshua Frisch, Alexander S. Kechris, Forte Shinko, and Zoltán Vidnyánszky. Realizations of countable Borel equivalence relations. *arXiv:2109.12486*, 2021.