

Properties of Artin L-Functions

Copy from Lang, *Algebraic Number Theory*

- 1) $L(u, 1, Y/X) = z(u, X)$
= **Ihara zeta function** of X
(our analogue of the **Dedekind zeta function**, also **Selberg zeta function**)

2)

$$z(u, Y) = \prod_{r \in \hat{G}} L(u, r, Y/X)^{d_r}$$

product over all irred. reps of G ,

$d_r = \text{degree } r$

- 3) You can prove $z(u, X)^{-1}$ divides $z(u, Y)^{-1}$ directly and you don't need to assume Y/X Galois.

Thus the analog of the Dedekind conjecture for zetas of algebraic number fields is proved easily for graph zetas.

Ihara Theorem for L-Functions

$$L(u, \mathbf{r}, Y / X)^{-1} \\ = (1 - u^2)^{(r-1)d_r} \det(I' - A'_r u + Q' u^2)$$

r = rank fundamental group of $X = |E| - |V| + 1$
 \mathbf{r} = representation of $G = \text{Gal}(Y/X)$, $d = d_r = \text{degree } r$

Definitions. $n \times n$ matrices A', Q', I' , $n = |X|$

$n \times n$ matrix $A(g)$, $g \in \hat{\Gamma} = \text{Gal}(Y/X)$,

has entry for $a, b \in X$ given by

$$(A(g))_{a,b} = \# \{ \text{edges in } Y \text{ from } (a,e) \text{ to } (b,g) \}$$

Here $e = \text{identity of } G$.

$$A'_r = \sum_{g \in G} A(g) \otimes \mathbf{r}(g)$$

Q = diagonal matrix, j th diagonal entry

= $q_j = (\text{degree of } j\text{th vertex in } X) - 1$,

$$Q' = Q \cdot I_d,$$

$I' = I_{nd} = \text{identity matrix.}$

Proof can be found in Stark and Terras, *Advances in Math.*, Vol. 154 (2000)

NOTES FOR REGULAR GRAPHS mostly

✚ Another proof uses **Selberg trace formula** on tree to prove Ihara's theorem. For case of trivial representation, see A.T., *Fourier Analysis on Finite Groups & Applics*; for general case, see and Venkov & Nikitin, *St. Petersburg Math. J.*, 5 (1994)

✚ $\left(\frac{1}{z_X}\right)^{(r)}(0) = (-1)^{r+1} 2^r (r-1) \mathbf{k}(X)$, where $\mathbf{k}(X)$ = the number of spanning trees of X , the **complexity**

✚ Ihara zeta has **functional equations** relating value at u and $1/(qu)$, $q = \text{degree} - 1$

✚ **Riemann Hypothesis**, for case of trivial representation (poles), means graph is **Ramanujan** i.e., non-trivial spectrum of adjacency matrix is contained in the spectrum for the universal covering tree which is the interval $(-2\sqrt{q}, 2\sqrt{q})$ [see Lubotzky, Phillips & Sarnak, *Combinatorica*, 8 (1988)]

✚ **RH** is true for most graphs but it **can be false**

✚ Hashimoto [Adv. Stud. Pure Math., 15 (1989)] proves Ihara z for certain graphs is essentially the **z function of a Shimura curve over a finite field**

The Prime Number Theorem

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Let $p_X(m)$ denote the number of prime path equivalence classes $[C]$ in X where the length of C is m . Assume X is finite connected $(q+1)$ -regular. Since $1/q$ is the absolute value of the closest pole(s) of $z(u, X)$ to 0 , then

$$p_X(m) \sim q^m/m \text{ as } m \rightarrow \infty.$$

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The proof comes from the method of generating functions (See Wilf, *generatingfunctionology*) and the fact that (as in Stark & Terras, *Advances in Math*, 121 & 154):

$$u \frac{d}{du} \log z(u, X) = \sum_{m=1}^{\infty} n_X(m) u^m$$

Here $n_X(m)$ is the number of closed paths C in X of length m without backtracking or tails.

⌘ ⌘

Note: When X is not regular, we could define q to be the reciprocal of the absolute value of the closest pole(s) of zeta to 0 .

EXAMPLE 1. Y =cube, X =tetrahedron

$$|X|=4, \quad |Y|=8, \quad r=3, \quad G = \{e, g\}$$

representations of G are 1 and r : $r(e) = 1, r(g) = -1$

$$I' = I_4, \quad Q' = 2I_4,$$

$$A(e)_{u,v} = \#\{\text{length 1 paths } u' \text{ to } v' \text{ in } Y\}$$

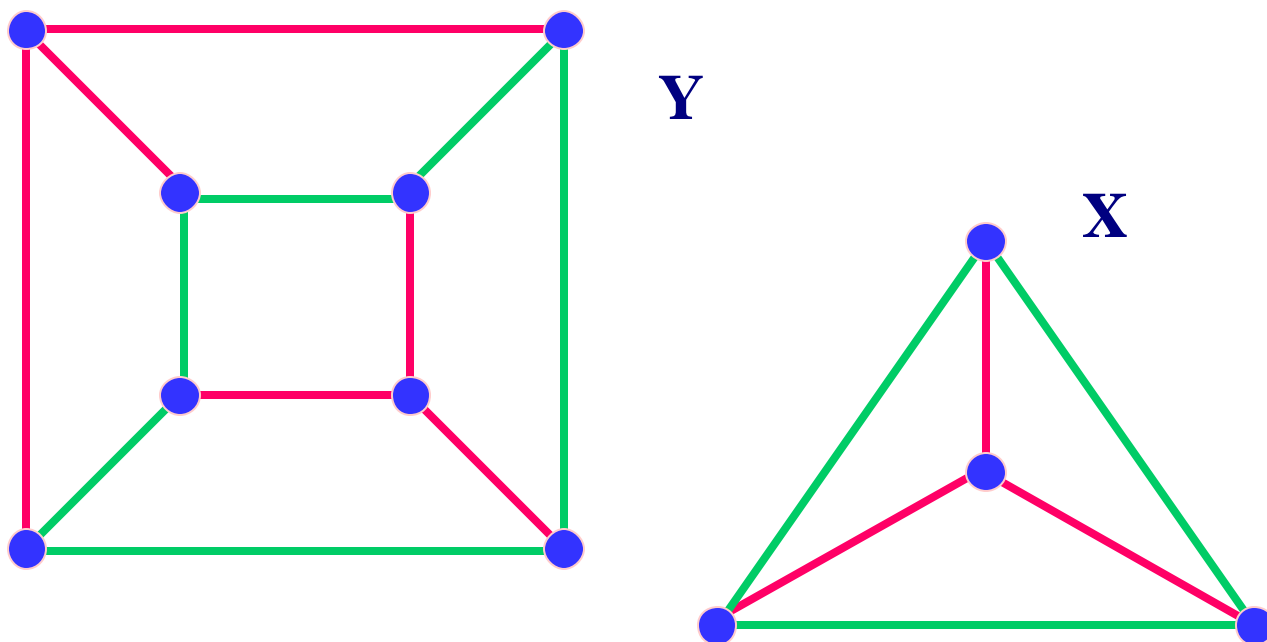
$$A(g)_{u,v} = \#\{\text{length 1 paths } u' \text{ to } v'' \text{ in } Y\}$$

$$A(e) = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 1 & 1 \\ 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix} \quad A(g) = \begin{pmatrix} 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 \end{pmatrix}$$

$A'_1 = A =$ adjacency matrix of X

$$A'_r = A(e) - A(g) = \begin{pmatrix} 0 & 1 & -1 & -1 \\ 1 & 0 & 1 & 1 \\ -1 & 1 & 0 & -1 \\ -1 & 1 & -1 & 0 \end{pmatrix}$$

Zeta and L-Functions of Cube & Tetrahedron



$$* z(u, Y)^{-1} = L(u, r, Y/X)^{-1} z(u, X)^{-1}$$

$$* L(u, r, Y/X)^{-1} = (1-u^2) (1+u) (1+2u) (1-u+2u^2)^3$$

$$* z(u, X)^{-1} = (1-u^2)^2 (1-u) (1-2u) (1+u+2u^2)^3$$

* roots of $z(u, X)^{-1}$ are $1, 1, 1, \frac{1}{2}, r, r, r$
 where $r = \frac{-1 \pm \sqrt{-7}}{4}$ and $|r| = \frac{1}{\sqrt{2}}$

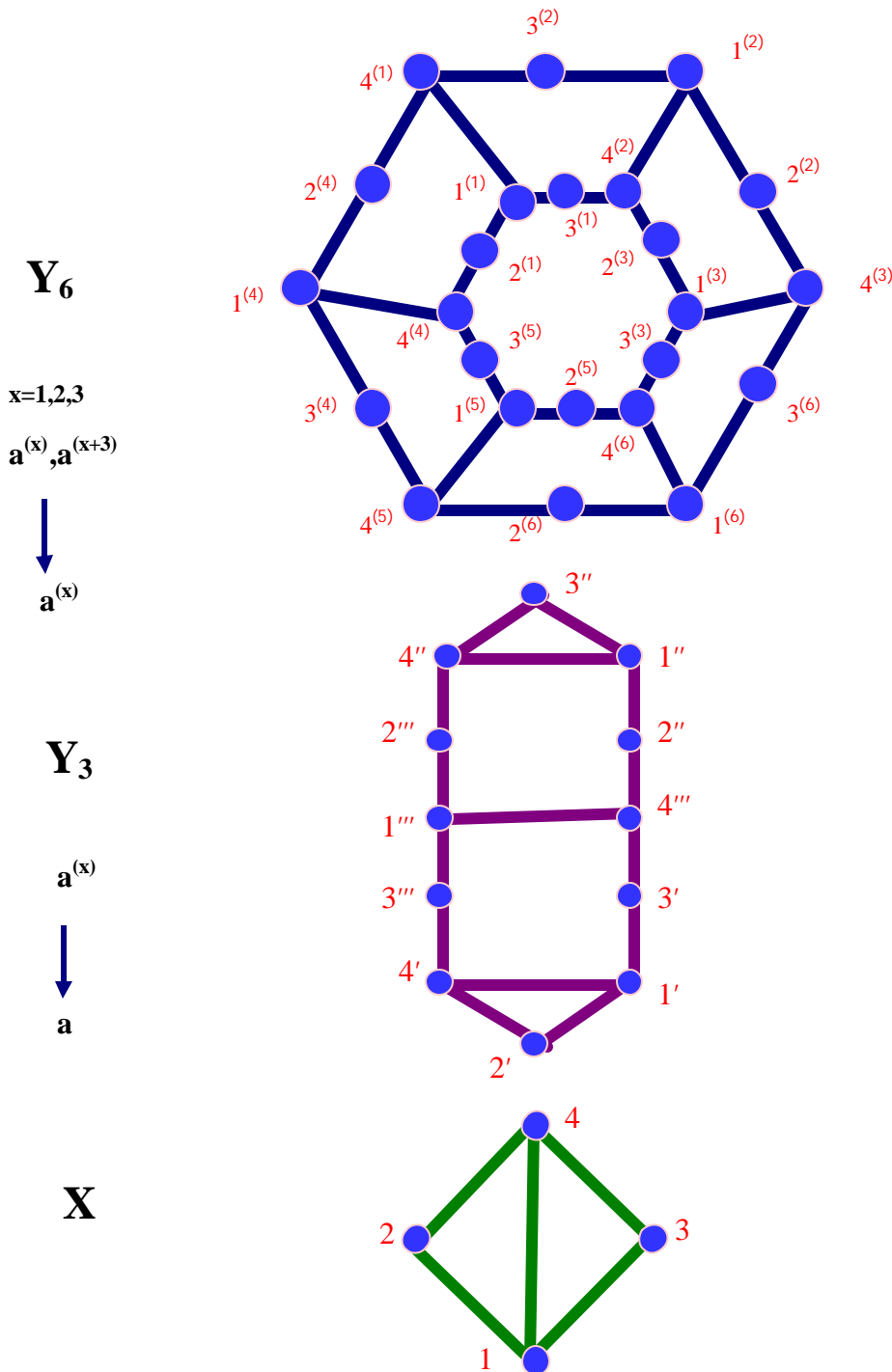
* The pole of $z(u, X)$ closest to 0 governs the prime number theorem discussed a few pages back. It is $1/q = 1/2$. The coefficients of the following generating function are the numbers of closed paths without backtracking or tails of the indicated length

$$u \frac{d}{du} \log z(u, X) = 24u^3 + 24u^4 + 96u^6 + 168u^7 + 168u^8 + 528u^9 + 1200u^{10} + 1848u^{11} + O(u^{12})$$

So there are 8 primes of length 3 in X, for example.

Example 2. Galois Cover of Non-Normal Cubic

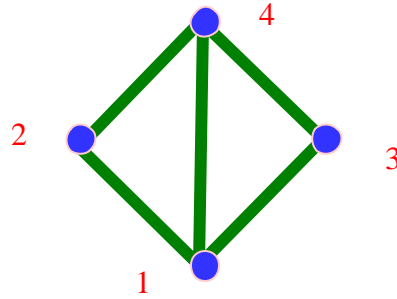
This example is analogous to example 2 in part 1.



$G=S_3$, $H=\{(1),(23)\}$ fixes Y_3 . $a^{(1)}=(a,(1))$, $a^{(2)}=(a,(13))$, $a^{(3)}=(a,(132))$,
 $a^{(4)}=(a,(23))$, $a^{(5)}=(a,(123))$, $a^{(6)}=(a,(23))$

Here we use the standard cycle notation for elements of the symmetric group.

3 classes of primes in base graph X from preceding page



Class C1 path in X (list vertices)
14312412431

$f=1, g=3$ 3 lifts to Y_3

1'4'3'''1'''2'''4''1''2''4'''3'1'

1''4''3''1''2''4'''1'''2'''4''3''1''

1'''4'''3'1'2'4'1'2'4'3'''1'''

Frobenius trivial \Rightarrow density 1/6

Class C2 path in X (list vertices) 1241

2 lifts to Y_3

1'2'4'1' $f=1$

1''2''4'''1'''2'''4''1'' $f=2$

Frobenius order 2 \Rightarrow density 1/2

Class C3 path in X (list vertices)

12431

$f=3$ 1 lift to Y_3

1'2'4'3'''1'''2'''4''3''1''2''4'''3'1'

Frobenius order 3 \Rightarrow density 1/3

Ihara Zeta Functions

$$\boxtimes z(u, X)^{-1} = (1-u^2)(1-u)(1+u^2)(1+u+2u^2)(1-u^2-2u^3)$$

$$\boxtimes z(u, Y_3)^{-1} = z(u, X)^{-1} (1-u^2)^2(1-u-u^3+2u^4) \\ \cdot (1-u+2u^2-u^3+2u^4)(1+u+u^3+2u^4) \\ \cdot (1+u+2u^2+u^3+2u^4)$$

$$\boxtimes z(u, Y_6)^{-1} = z(u, Y_3)^{-1} (1-u^2)^8(1+u)(1+u^2)(1-u+2u^2) \\ \times (1-u^2+2u^3)(1-u-u^3+2u^4)(1-u+2u^2-u^3+2u^4) \\ \times (1+u+u^3+2u^4)(1+u+2u^2+u^3+2u^4)$$

It follows that, as in the number theory analog,

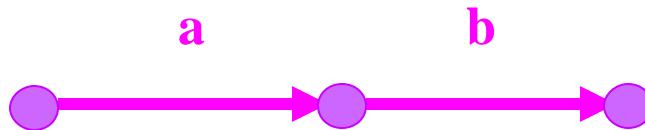
$$z(u, X)^2 z(u, Y_6) = z(u, Y_2) z(u, Y_3)^2$$

Here Y_2 is an intermediate quadratic extension between Y_6 and X . See Stark and Terras, *Adv. in Math.*, 154 (2000), Figure 13, for a discussion.

The poles of $z(u, X)$ are $u=1, 1, -1, \pm i, (-1 \pm \sqrt{7}i)/4, w, w, 1/q$ Where $w, 1/q$ are roots of the cubic. The closest pole to 0 is $1/q$. And q is approximately 1.5214. So the prime number theorem gives a considerably smaller main term, q^m/m , for this graph X than for K_4 , where $q=2$.

Multiedge Artin L-Functions

Orient the edges of the graph. Multiedge matrix W has ab entry $w(a,b)=w_{ab}$ in C , if the edges a and b look like



Otherwise set $w_{ab}=0$ Define for closed path $C=a_1a_2\dots a_s$,

$$N_E(C)=w(a_s,a_1)w(a_1,a_2)\dots w(a_{s-1},a_s)$$

$$L_E(W, r, Y/X) = \prod_{[C]} \left(1 - r \left(\frac{Y/X}{D} \right)^{N_E(C)} \right)^{-1}$$

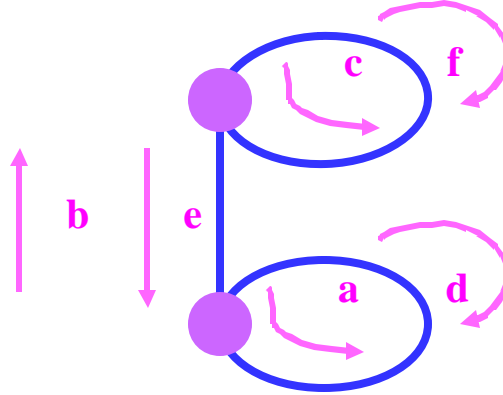
where the product is over primes $[C]$ of X and $[D]$ is any prime of Y over $[C]$

Properties

- $L_E(W, 1, Y/X) = z_E(W, X)$, the edge zeta function
- $L_E(W, r)^{-1} = \det(I - W_r)$, where W_r is a $2|E| \times 2|E|$ block matrix with ij block given by $(w_{ij} r(\text{Frob}(e_i)))$
- Induction property
- Factorization of edge zeta as a product of edge L-functions
- specialize all $w_{ij}=u$ and get the Artin-Ihara vertex L function

EXAMPLE.

**X=Dumbbell Graph
and Fission of an
Edge**



$$z_E(W, X)^{-1} = \det \begin{pmatrix} w_{aa} - 1 & w_{ab} & 0 & 0 & 0 & 0 \\ 0 & -1 & w_{bc} & 0 & 0 & w_{bf} \\ 0 & 0 & w_{cc} - 1 & 0 & w_{ce} & 0 \\ 0 & w_{db} & 0 & w_{dd} - 1 & 0 & 0 \\ w_{ea} & 0 & 0 & w_{ed} & -1 & 0 \\ 0 & 0 & 0 & 0 & w_{fe} & w_{ff} - 1 \end{pmatrix}$$

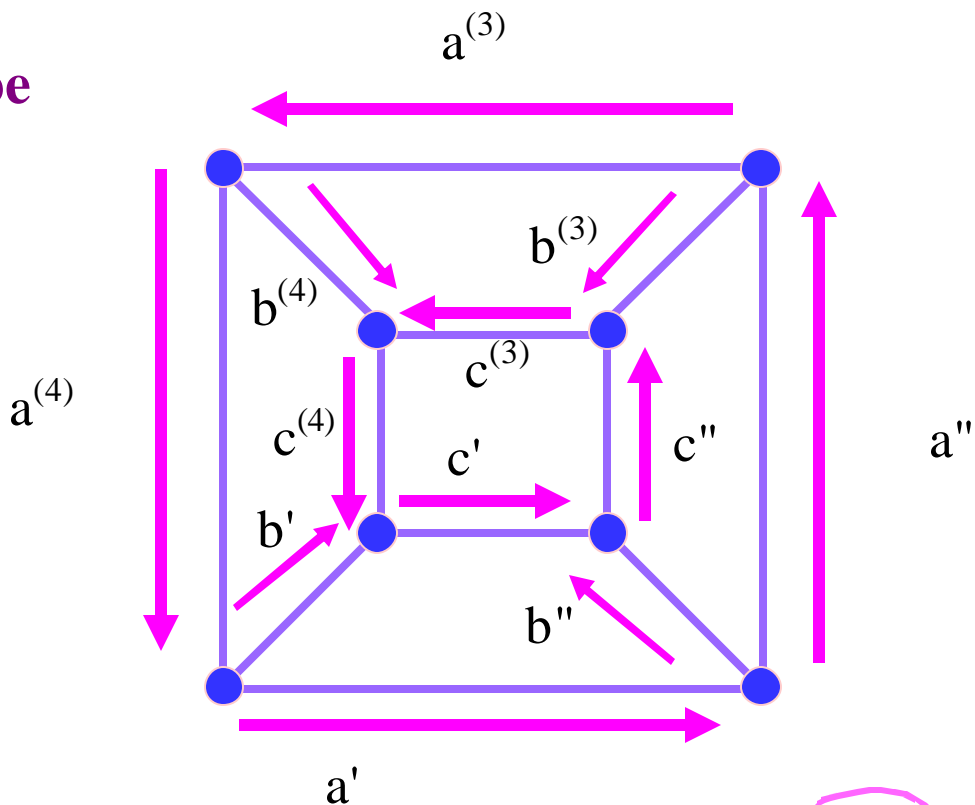
Here **b** and **e** are the vertical edges.

Specialize all variables with **b** and **e** to be **0** and get zeta function of
subgraph with vertical edge removed - **Fision**

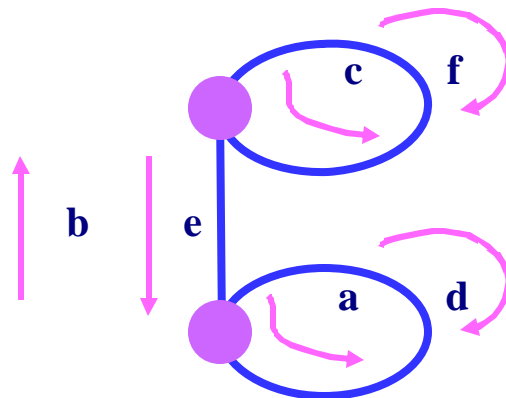
This gives the graph with just 2 disconnected loops.

Example 3. Cube Covering Dumbbell

Y=Cube



X=Dumbbell



$$\text{Gal}(Y/X) = \{\sigma_1, \sigma_2, \sigma_3, \sigma_4\} \approx \mathbb{Z}/4\mathbb{Z}.$$

Identification sends σ_j to $j - 1 \pmod{4}$

The representations are 1-dimensional: $\pi_a(b) = i^{a(b-1)}$.

Galois group elements associated to edges a,b,c are

$$\text{Frob}(a) = \sigma_2, \quad \text{Frob}(b) = \sigma_1, \quad \text{Frob}(c) = \sigma_2.$$

Edge L-Functions for Example 3.

$$\mathbf{z}(W, X)^{-1} = L(W, 1, Y/X)^{-1} = \det \begin{pmatrix} w_{aa} - 1 & w_{ab} & 0 & 0 & 0 & 0 \\ 0 & -1 & w_{bc} & 0 & 0 & w_{bf} \\ 0 & 0 & w_{cc} - 1 & 0 & w_{ce} & 0 \\ 0 & w_{db} & 0 & w_{dd} - 1 & 0 & 0 \\ w_{ea} & 0 & 0 & w_{ed} & -1 & 0 \\ 0 & 0 & 0 & 0 & w_{fe} & w_{ff} - 1 \end{pmatrix}$$

$$L_E(W, \mathbf{p}_1, Y/X)^{-1} = \det \begin{pmatrix} iw_{aa} - 1 & iw_{ab} & 0 & 0 & 0 & 0 \\ 0 & -1 & w_{bc} & 0 & 0 & w_{bf} \\ 0 & 0 & iw_{cc} - 1 & 0 & iw_{ce} & 0 \\ 0 & -iw_{db} & 0 & -iw_{dd} - 1 & 0 & 0 \\ w_{ea} & 0 & 0 & w_{ed} & -1 & 0 \\ 0 & 0 & 0 & 0 & -iw_{fe} & -iw_{ff} - 1 \end{pmatrix}$$

$$L(W, \mathbf{p}_2, Y/X)^{-1} = \det \begin{pmatrix} -w_{aa} - 1 & -w_{ab} & 0 & 0 & 0 & 0 \\ 0 & -1 & w_{bc} & 0 & 0 & w_{bf} \\ 0 & 0 & -w_{cc} - 1 & 0 & -w_{ce} & 0 \\ 0 & -w_{db} & 0 & -w_{dd} - 1 & 0 & 0 \\ w_{ea} & 0 & 0 & w_{ed} & -1 & 0 \\ 0 & 0 & 0 & 0 & -w_{fe} & -w_{ff} - 1 \end{pmatrix}$$

$$L(W, \mathbf{p}_3, Y/X)^{-1} = \det \begin{pmatrix} -iw_{aa} - 1 & -iw_{ab} & 0 & 0 & 0 & 0 \\ 0 & -1 & w_{bc} & 0 & 0 & w_{bf} \\ 0 & 0 & -iw_{cc} - 1 & 0 & -iw_{ce} & 0 \\ 0 & iw_{db} & 0 & iw_{dd} - 1 & 0 & 0 \\ w_{ea} & 0 & 0 & w_{ed} & -1 & 0 \\ 0 & 0 & 0 & 0 & iw_{fe} & iw_{ff} - 1 \end{pmatrix}$$

Note that the product of these 6x6 determinants is the 24x24 determinant whose reciprocal is the multiedge zeta function of Y, the cube.

Path L-Functions

Here we discuss a new kind of L-function with smaller sized matrix determinants.

Fundamental Group of X can be identified with group generated by edges left out of a spanning tree

$$e_1, \dots, e_r, e_1^{-1}, \dots, e_r^{-1}$$

$2r \times 2r$ **multipath matrix** Z has ij entry

$$z_{ij} \text{ in } \mathbb{C} \text{ if } e_j \neq e_i^{-1} \text{ and } z_{ij} = 0, \text{ otherwise.}$$

Imitate the definition of the edge Artin L-functions.

Write a prime path as a reduced word in a conjugacy class

$$C = a_1 \cdots a_s, \text{ where } a_j \in \{e_1^{\pm 1}, \dots, e_r^{\pm 1}\}$$

and define the **path norm**

$$N_P(C) = z(a_s, a_1) \prod_{i=1}^{s-1} z(a_i, a_{i+1})$$

$$\text{where } z(e_i, e_j) = z_{ij}.$$

Define the **path zeta L-function**

$$L_P(Z, \mathbf{p}, Y/X) = \prod_{[C]} \det \left(1 - \mathbf{p} \left(\frac{Y/X}{D} \right) N_P(C) \right)^{-1}$$

Product is over prime cycles $[C]$ in X

$[D]$ is any prime of Y over $[C]$

Specializing Path L-Functions to Edge L-Functions

The path L-functions have analogous properties to the edge L-functions.

- * They are reciprocals of polynomials.
- * They provide factorizations of the path zeta functions.
- * The most important property is that of

Specialization to Path L-functions.

- edges left out of a spanning tree T of X: e_1, \dots, e_r
generate fundamental group of X
- inverse edges are $e_{r+1} = e_1^{-1}, \dots, e_{2r} = e_r^{-1}$
- edges of the spanning tree T are $t_1, \dots, t_{|X|-1}$
- with inverse edges $t_{|X|}, \dots, t_{2|X|-2}$

If $e_i \neq e_j^{-1}$, write the part of the path between e_i and e_j as the (unique) product $t_{k_1} \cdots t_{k_n}$

C is 1st a product of e_j (generators of the fundamental group), then a product of actual edges e_j and t_k .

Specialize the multipath matrix Z to Z(W) with entries

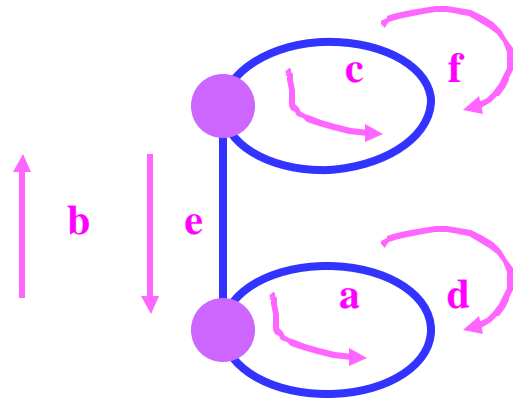
$$z_{ij} = w(e_i, t_{k_1}) w(t_{k_n}, e_j) \prod_{n=1}^{n-1} w(t_{k_n}, t_{k_{n+1}})$$

Then

$$L_P(Z(W), X) = L_E(W, X)$$

Example - the Dumbbell

Recall the edge zeta was a 6x6 determinant.
 The specialized path zeta is only 4x4.
 Maple computes it much faster than the 6x6.



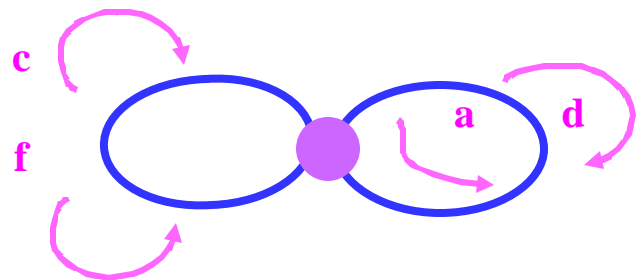
$$z_E(W, X)^{-1} = \det \begin{pmatrix} w_{aa} - 1 & w_{ab} w_{bc} & 0 & w_{ab} w_{bf} \\ w_{ce} w_{ea} & w_{cc} - 1 & w_{ce} w_{ed} & 0 \\ 0 & w_{db} w_{bc} & w_{dd} - 1 & w_{db} w_{bf} \\ w_{fe} w_{ea} & 0 & w_{fe} w_{ed} & w_{ff} - 1 \end{pmatrix}$$

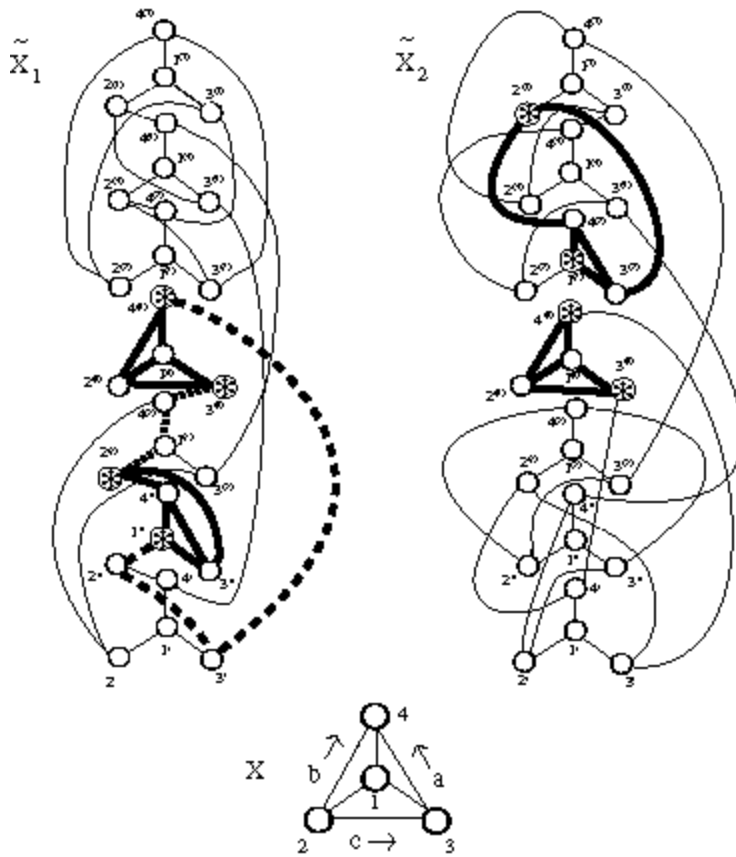
Fusion of an edge is now easy to do in the path zeta.

To obtain edge zeta of graph obtained from dumbbell graph, by fusing edges b and e,

Replace $w_{xb} w_{by}$ with w_{xy}

Replace $w_{xe} w_{ey}$ with w_{xy}





Application of Galois Theory of Graph Coverings. You can't hear the shape of a graph.

Find 2 regular graphs (without loops and multiple edges) which are isospectral but not isomorphic.

See A.T. & Stark in *Adv. in Math.*, Vol. 154 (2000) for the details. The method goes back to algebraic number theorists who found number fields K_i which are non isomorphic but have the same Dedekind zeta. See Perlis, *J. Number Theory*, 9 (1977).

THE END

