

# Ramsey numbers: combinatorial and algebraic

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# Origins of Ramsey theory

“A combinatorial problem in geometry,” by Paul Erdős and George Szekeres (1935)



## Theorem (Monotone subsequence)

*Any sequence of  $(n - 1)^2 + 1$  integers contains a monotone subsequence of length  $n$ .*

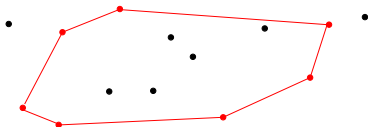
## Theorem (Convex polygon)

*For any  $n > 0$ , there is a minimal  $ES(n)$ , such that every set of  $ES(n)$  points in the plane in general position contains  $n$  members in convex position.*

## Theorem (Ramsey numbers)

*New proof of Ramsey's theorem.*

# Convex polygon theorem

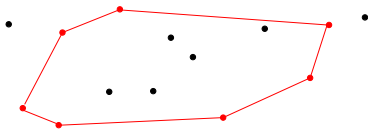


Theorem (Erdős-Szekeres 1935, 1960)

$$2^{n-2} + 1 \leq ES(n) \leq \binom{2n-4}{n-2} + 1 = O(4^n / \sqrt{n}).$$

**Conjecture:**  $ES(n) = 2^{n-2} + 1, n \geq 3.$

## Second Proof



Theorem (Erdős-Szekeres 1935, 1960)

$$2^{n-2} + 1 \leq ES(n) \leq \binom{2n-4}{n-2} + 1 = O(4^n / \sqrt{n}).$$

Theorem (S. 2016)

$$ES(n) = 2^{n+o(n)}$$

## Theorem (Monotone subsequence)

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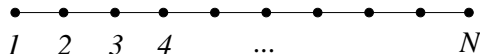
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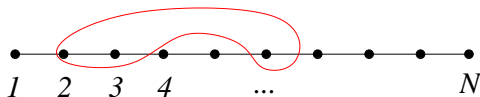
*New proof of Ramsey's theorem.*

**Formal definition:** For any integers  $k \geq 1$ ,  $s, n \geq k$ , there is a minimum  $r_k(s, n) = N$ , such that for every red/blue coloring of the  $k$ -tuples of  $\{1, 2, \dots, N\}$ ,



- 1  $s$  integers for which every  $k$ -tuple is red, or
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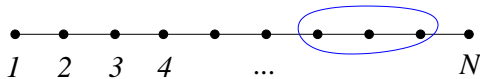
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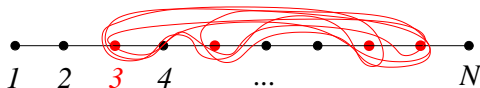
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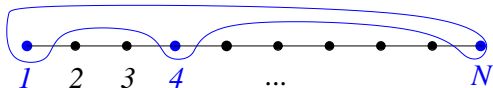
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# Ramsey theory

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$r_k(s, n) =$  Ramsey numbers

Theorem (Erdős-Szkeres 1935)

$$r_2(s, n) \leq \binom{n+s-2}{s-1}$$

$$r_2(n, n) \leq \binom{2n-2}{n-1} \approx \frac{4^n}{\sqrt{n}}$$

# Diagonal graph Ramsey numbers

Theorem (Erdős 1947, Erdős-Szekeres 1935)

$$(1 + o(1)) \frac{n}{e} 2^{n/2} < r_2(n, n) < \frac{4^n}{\sqrt{n}}.$$

Theorem (Spencer 1977, Conlon 2008)

$$(1 + o(1)) \frac{\sqrt{2}}{e} n 2^{n/2} < r_2(n, n) < \frac{4^n}{n^c \log n / \log \log n}$$

$$\text{twr}_1(x) = x \text{ and } \text{twr}_{i+1}(x) = 2^{\text{twr}_i(x)}.$$

Theorem (Erdős-Hajnal-Rado 1952/1965)

$$2^{cn^2} < r_3(n, n) < 2^{2^{c'n}}$$

For  $k > 3$

$$\text{twr}_{k-1}(cn^2) < r_k(n, n) < \text{twr}_k(c'n)$$

Conjecture (Erdős, \$500)

$$r_3(n, n) > 2^{2^{cn}}$$



Conjecture:  $r_3(n, n) > 2^{2^{cn}}$

Theorem (Erdős-Hajnal-Rado 1952/1965)

$$2^{cn^2} < r_3(n, n) < 2^{2^{c'n}}$$

Theorem (Erdős-Hajnal)

$$r_3(n, n, n, n) > 2^{2^{cn}}$$

Theorem (Conlon-Fox-Sudakov 2011)

*Every 3-uniform hypergraph of size  $2^{cn^{2+o(1)}}$  contains  $n$  vertices with either fewer than  $o\binom{n}{3}$  edges or more than  $(1 - o(1))\binom{n}{3}$  edges.*

# Off-diagonal Ramsey numbers

$r_k(s, n)$  where  $s$  is fixed, and  $n \rightarrow \infty$ .  $r_k(s, n) \ll r_k(n, n)$

Graphs:

Theorem (Ajtai-Komlós-Szemerédi 1980, Kim 1995)

$$r_2(3, n) = \Theta\left(\frac{n^2}{\log n}\right)$$

Theorem

For fixed  $s > 3$

$$n^{(s+1)/2+o(1)} < r_2(s, n) < n^{s-1+o(1)}$$

$$2^{n/2} < r_2(n, n) < 4^n$$

# Off-diagonal hypergraph Ramsey numbers

3-uniform hypergraphs:

Theorem (Erdős-Hajnal-Rado)

For fixed  $s \geq 4$ ,

$$2^{csn} < r_3(s, n) < 2^{c'n^{2s-4}}.$$

Theorem (Conlon-Fox-Sudakov 2010)

For fixed  $s \geq 4$ ,

$$2^{csn \log n} < r_3(s, n) < 2^{c'n^{s-2} \log n}.$$

$$2^{cn^2} < r_3(n, n) < 2^{2^{c'n}}$$

# Off-diagonal hypergraph Ramsey numbers

4-uniform hypergraphs: Exponential tower gap.

Theorem (Erdős-Rado, Conlon-Fox-Sudakov)

For fixed  $s \geq 5$ ,

$$r_4(s, n) < 2^{2^{n^c}}.$$

Conjecture (Erdős-Hajnal 1972)

For all  $s \geq 5$ ,  $r_4(s, n) > 2^{2^{cn}}$ .

Conjecture (Erdős-Hajnal 1972)

For all  $s \geq k + 1$ ,  $r_k(s, n) > \text{twr}_{k-1}(cn)$ .

Tower growth rate for  $r_4(5, n)$  is unknown.

### Theorem (Erdos-Hajnal)

$$r_4(7, n) > 2^{2^{cn}}.$$

### Corollary (Mubayi-S., Conlon-Fox-Sudakov 2017)

$$r_k(k + 3, n) > \text{twr}_{k-1}(cn).$$

Erdős-Hajnal (1972):  $r_4(5, n), r_4(6, n) > 2^{cn}$

## Conjecture (Erdos-Hajnal)

$$r_4(5, n), r_4(6, n) > 2^{2^{cn}}$$

Erdős-Hajnal (1972):  $r_4(5, n), r_4(6, n) > 2^{cn}$

Mubayi-S. (2017):  $r_4(5, n) > 2^{n^2}$      $r_4(6, n) > 2^{n^{c \log n}}$

# New lower bounds for off-diagonal hypergraph Ramsey numbers

Theorem (Mubayi-S., 2017+)

$$r_4(5, n) > 2^{n^{c \log n}}$$

$$r_4(6, n) > 2^{2^{cn^{1/5}}}.$$

for fixed  $k > 4$

$$r_k(k+1, n) > \text{twr}_{k-2}(n^{c \log n})$$

$$r_k(k+2, n) > \text{twr}_{k-1}(cn^{1/5}).$$

Diagonal Ramsey problem (\$500 Erdős):

$$2^{cn^2} < r_3(n, n) < 2^{2^{cn}}.$$

Off-diagonal Ramsey problem:

$$2^{n^{c \log n}} < r_4(5, n) < 2^{2^{cn}}.$$

Theorem (Mubayi-S. 2017)

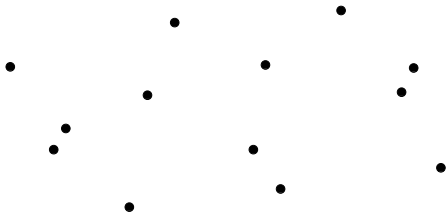
*Showing  $r_3(n, n) > 2^{2^{cn}}$  implies that  $r_4(5, n) > 2^{2^{c'n}}$ .*



# Convex polygon theorem, first proof

Theorem (Erdős-Szekeres, 1935)

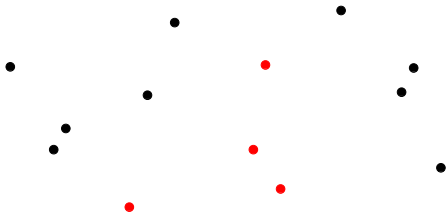
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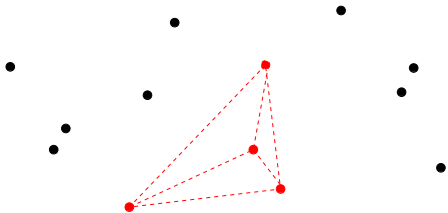
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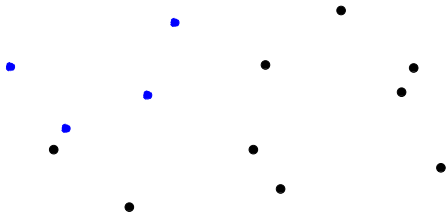
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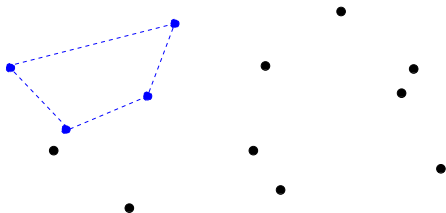
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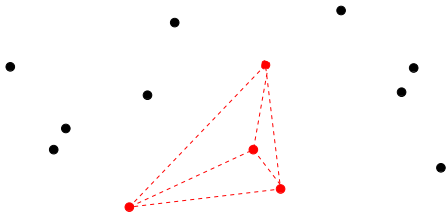
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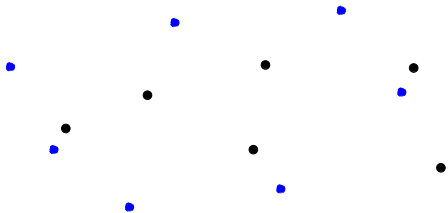
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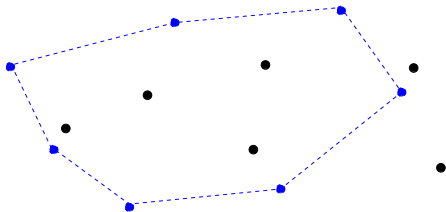
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# Convex polygon theorem, first proof

Theorem (Erdős-Szekeres, 1935)

For every  $n \geq 3$ ,  $ES(n) \leq r_4(5, n)$ . Set  $N = r_4(5, n)$ .





$OT_d(n)$  = minimum  $N$  such that every set of  $N$  points in  $\mathbb{R}^d$  in general position contains  $n$  members that form the vertices of a cyclic polytope.

## Theorem

$$OT_d(n) \leq r_{d+1}(n, n)$$

Many more applications of Ramsey numbers.

Theorem (Colon-Fox-Sudakov, 2008)

$$ES(n) \leq r_4(5, n) < 2^{2cn^2 \log n}.$$

$V = \{N \text{ points in the plane in general position}\}$

$E = \{4\text{-tuples in convex position}\}$

Better bounds for hypergraphs defined geometrically?

# Definition of semi-algebraic hypergraphs

We say that  $H = (V, E)$  is a **semi-algebraic  $k$ -uniform hypergraph in  $d$ -space** if

$$V = \{n \text{ points in } \mathbb{R}^d\}$$

$E$  defined by polynomials  $f_1, \dots, f_t$  and a Boolean formula  $\Phi$  such that

$$(p_{i_1}, \dots, p_{i_k}) \in E$$

$$\Leftrightarrow \Phi(f_1(p_{i_1}, \dots, p_{i_k}) \geq 0, \dots, f_t(p_{i_1}, \dots, p_{i_k}) \geq 0) = \text{yes}$$

**Complexity** of  $H$  is at most  $C$  if  $d, t$  and degree of the  $f_i$ -s is at most  $C$  (constant).

## Definition

We define the *semi-algebraic Ramsey number*  $r_k^{semi}(s, n)$  to be the minimum integer  $N$  such that any  $N$ -vertex  $k$ -uniform **semi-algebraic** hypergraph  $H$  (in  $\mathbb{R}^d$ ) contains either a clique of size  $s$  or an independent set of size  $n$ .

**Problem:** Estimate  $r_k^{semi}(s, n)$ .

$$r_k^{semi}(s, n) \leq r_k(s, n) = N$$

# Diagonal Semi-algebraic Ramsey numbers

Theorem (Alon, Pach, Pinchasi, Radoičić, Sharir 2005)

$$r_2^{\text{semi}}(n, n) \leq n^{c_1}.$$

Theorem (Conlon, Fox, Pach, Sudakov, S. 2012)

$$2^{cn} < r_3^{\text{semi}}(n, n) < 2^{n^{c'}}$$

For  $k \geq 4$

$$t_{k-1}(c_2 n) \leq r_k^{\text{semi}}(n, n) \leq t_{k-1}(n^{c_1}).$$

**Classical** Ramsey numbers:

$$2^{n/2} \leq r_2(n, n) \leq 2^{2n} \quad 2^{cn^2} \leq r_3(n, n) \leq 2^{2^{cn}}.$$

$$t_{k-1}(n^2) \leq r_k(n, n) \leq t_k(cn).$$

# Off diagonal semi-algebraic Ramsey numbers

$$r_k^{semi}(s, n) \ll r_k^{semi}(n, n)$$

Theorem (Alon, Pach, Pinchasi, Radoičić, Sharir 2005)

$$r_2^{semi}(n, n) \leq n^{c_1}.$$

Conjecture

For fixed  $s > 3$ ,  $r_2^{semi}(s, n) = O(n)$

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Theorem (Alon, Pach, Pinchasi, Radoičić, Sharir 2005)

$$r_2^{semi}(n, n) \leq n^{c_1}.$$

Theorem (Fox-Pach-Suk 2017+)

$$r_2^{semi}(10, n) \geq n^{4/3}$$



# Off diagonal semi-algebraic Ramsey numbers

Theorem (Conlon-Fox-Pach-Sudakov-S. 2013)

$$n^{c'} \leq r_3^{\text{semi}}(s, n) \leq 2^{n^c}.$$

$$\text{twr}_{k-2}(n^{c'}) \leq r_k^{\text{semi}}(s, n) \leq r_k^{\text{semi}}(n, n) \leq \text{twr}_{k-1}(n^c).$$

**Classical version:**  $r_3(s, n) \leq 2^{n^c}$

Conjecture (Conlon-Fox-Pach-Sudakov-S.)

$$r_3^{\text{semi}}(s, n) \leq n^c$$

## Theorem (S. 2016)

$$r_3^{semi}(s, n) < 2^{n^{o(1)}}.$$

$$o(1) = \frac{1}{\log \log n}.$$

## Conjecture (Conlon-Fox-Pach-Sudakov-S.)

$$r_3^{semi}(s, n) \leq n^c$$

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# Exponential tower improvement

Theorem (Conlon-Fox-Pach-Sudakov-S. 2012)

For semi-algebraic graphs in  $\mathbb{R}$ ,  $r_3^{1-semi}(s, n) \leq 2^{\log^c n}$

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**Proof.**  $V = \{N = 2^{\log^C n} \text{ points in } \mathbb{R}\}$ , no RED  $K_4^{(3)}$ .

$E = \{(v_1, v_2, v_3) \in \binom{V}{3} : f(v_1, v_2, v_3) > 0\}$ .



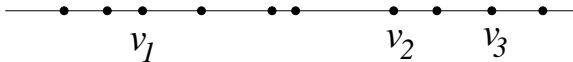
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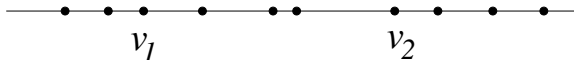
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$$f(v_1, v_2, x)$$



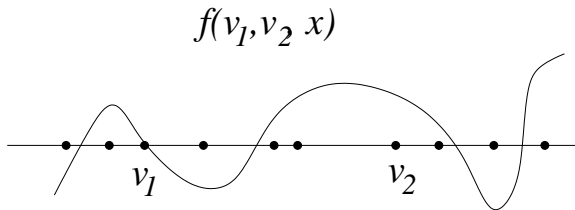
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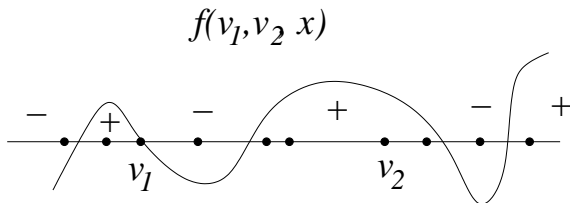
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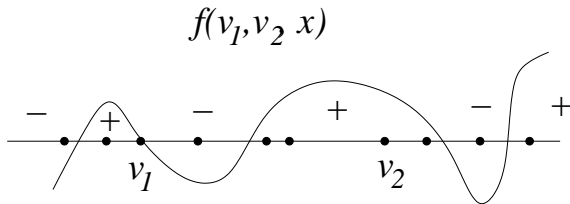
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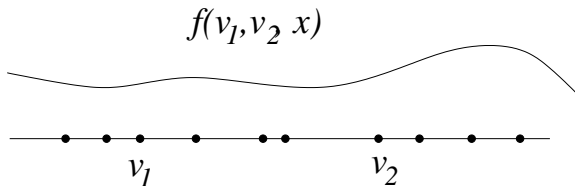
$E = \{(v_1, v_2, v_3) \in \binom{V}{3} : f(v_1, v_2, v_3) > 0\}$ .



**Key idea:** Apply induction on the number of roots.

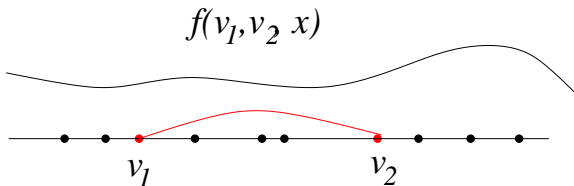
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**Base Case:** Every pair gives rise to a polynomial with no roots within the interval



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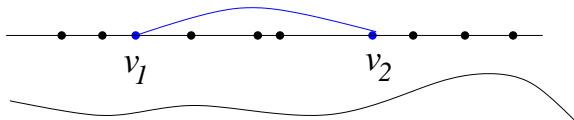
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$$f(v_1, v_2, x)$$



# Induction on the number of roots

**Inductive Step:** Every pair gives rise to a polynomial with at most  $t$  roots.



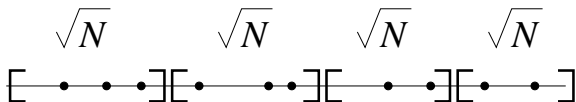
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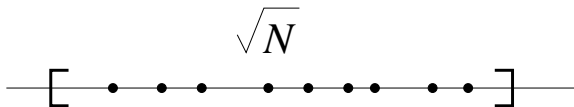
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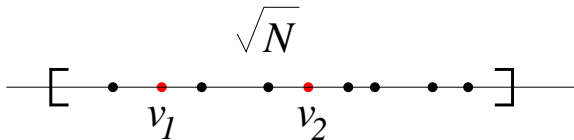
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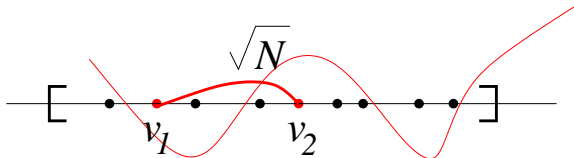
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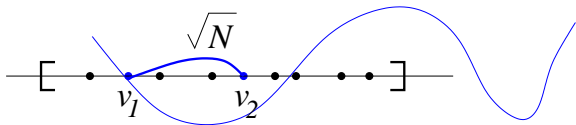
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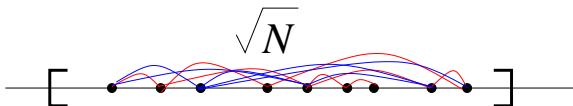
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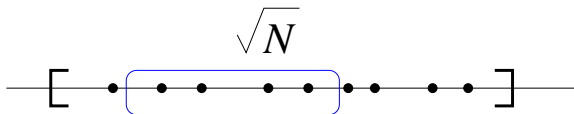
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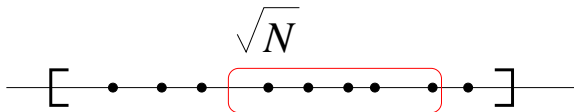
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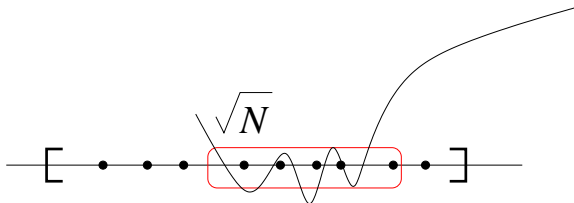
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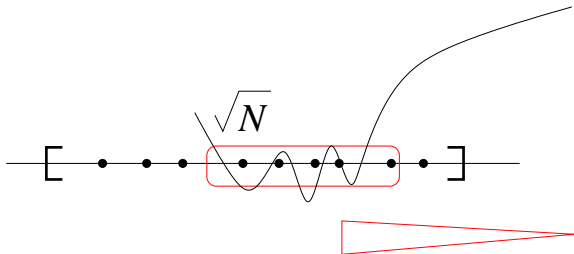
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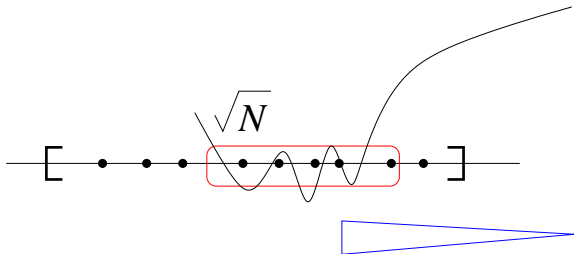
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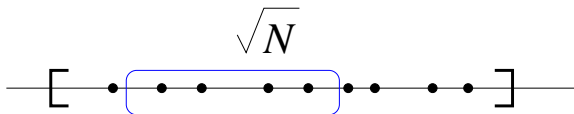
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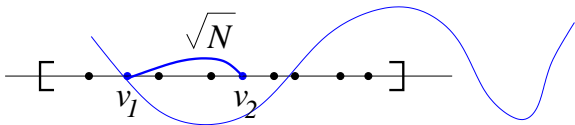
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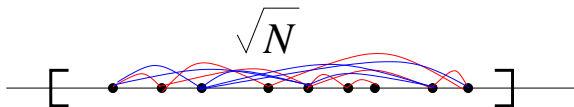
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Find a monochromatic subset of size  $N^\epsilon$ .

# Sturm's theorem

$$E = \{(v_1, v_2, v_3) \in \binom{V}{3} : f(v_1, v_2, v_3) > 0\}$$

## Definition (Sturm sequence)

Set  $g_0(z) = f(x, y, z)$ . Then define  $g_1(z) = g_0'(z)$ ,  
 $g_2(z) = -\text{rem}(g_0, g_1)$ ,

$$g_i(z) = -\text{rem}(g_{i-2}, g_{i-1}),$$

where  $\text{rem}(g_{i-2}, g_{i-1})$  is the remainder when computing the long-division  $\frac{g_{i-2}}{g_{i-1}}$ .

## Theorem (Sturm)

*Given a Sturm sequence  $g_0, g_1, \dots, g_k$ , let  $\sigma(a)$  denote the number of sign changes in the sequence  $g_0(a), g_1(a), \dots, g_k(a)$ . Then the number of distinct roots of  $g(z) = f(x, y, z)$  inside the interval  $[a, b]$  is  $\sigma(a) - \sigma(b)$ .*

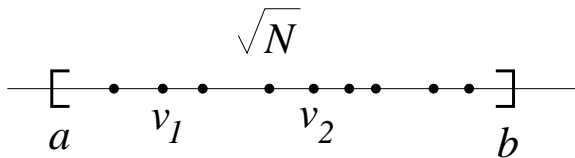
# Semi-algebraic graph

$\chi(v_1, v_2) = \text{blue}$  if fewer than  $t$  roots.

$\chi(v_1, v_2) = \text{red}$  if  $t$  roots.

$$g_0(a), g_1(a), \dots, g_k(a) = f(x, y, a), f_1(x, y, a), \dots, f_k(x, y, a)$$

$$g_0(b), g_1(b), \dots, g_k(b) = f(x, y, b), f_1(x, y, b), \dots, f_k(x, y, b)$$



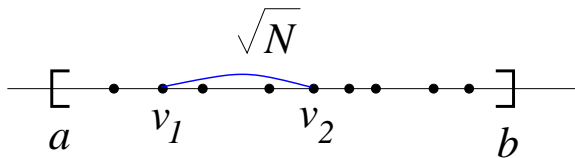
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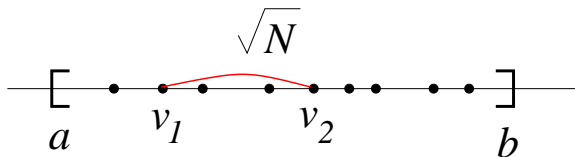
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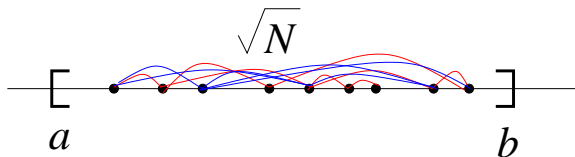
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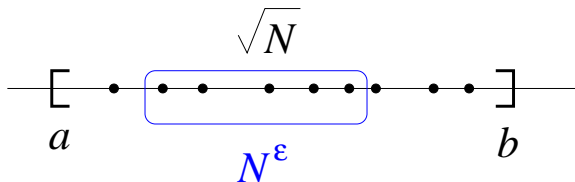
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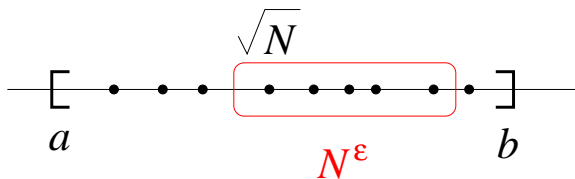
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# Open combinatorial problems

## Problem (Erdős \$500)

*Close the gap for  $r_3(n, n)$ .*

$$2^{cn^2} < r_3(n, n) < 2^{2^{cn}}$$

## Problem (Erdős-Hajnal 1972)

*Close the gap for  $r_4(5, n)$ .*

$$2^{n^c \log n} < r_4(5, n) < 2^{2^{cn}}$$

# Open geometric problems

Conjecture (Conlon-Fox-Pach-Sudakov-S. 2012, S. 2016)

Close the gap for  $r_3^{\text{semi}}(4, n)$

$$n^C < r_3^{\text{semi}}(4, n) < 2^{n^{o(1)}}$$

Theorem (Erdős-Szekeres, Conlon-Fox-Pach-Sudakov-S)

$$2^{2n} < r_3^{\text{semi}}(n, n) < 2^{n^C}$$

Problem

$$2^{cn^2} < r_3^{\text{semi}}(n, n)$$

**Thank you!**