

Lecture 7: Translates of powers of an \mathbb{R} -regular element

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Next we will prove the following:

Theorem. Let $a_0 = \text{diag}(\lambda_1, \dots, \lambda_n)$ where $\lambda_1 > \dots > \lambda_n > 0$ and $\prod \lambda_i = 1$.

Then ① for any $g \in N_- \overset{\text{(all diag.)}}{\underbrace{C_{SL_n(\mathbb{R})}}(A)} N_+$, for $k \geq 1$, $g a_0^k$ is \mathbb{R} -regular.

② No conjugacy class is a subset of

$$S_{a_0} := SL_n(\mathbb{R}) \setminus N_- C_{SL_n(\mathbb{R})}(A) N_+.$$

(Notice that S_{a_0} is a proper Zariski-closed subset of $SL_n(\mathbb{R})$.)

Lemma. Let $C_0 \subseteq N^-$ be a compact subset and $t > 1$. Then there

is a compact subset $C_1 \subseteq N^-$ such that

$$C_0 a \subseteq \{g a g^{-1} \mid g \in C_1\}$$

for any $a \in A_t$.

Proof. Let $U_k := \left\{ \begin{bmatrix} 1 & & & \\ & \ddots & & \\ & & \ddots & \\ * & & & 1 \end{bmatrix} \in N^- \right\}$. By induction on k ,

we show, for a compact subset $C_0^{(k)} \subseteq U_k$, there is a

compact subset $C_1^{(k)} \subseteq U_k$ s.t.

$$C_0^{(k)} a U_{k+1} \subseteq \{g a g^{-1} \mid g \in C_1^{(k)}\} U_{k+1}.$$

Notice that U_k is a normal subgroup of $N^- A$.

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For $g = \begin{bmatrix} 1 & & & & & \\ & 1 & & & & \\ & & \ddots & & & \\ & & & 1 & & \\ & & & & g_{k+1,1} & \\ & & & & \vdots & \\ & & & & & \ddots & \\ & g_{n,1} & \cdots & g_{n,n-k} & \cdots & 0 & \cdots & 0 & 1 \end{bmatrix}$ we have

$$(g a g^{-1} a^{-1})_{ij} = g_{ij} - a_i a_j^{-1} g_{ij} = (1 - a_i a_j^{-1}) g_{ij}$$

for $(i, j) \in \{(k+1, 1), (k+2, 2), \dots, (n, n-k)\}$.

Since $a \in A_t$, for $i > j$, we have $0 < a_i a_j^{-1} < \frac{1}{t}$. Hence

for $i > j$, $1 - \frac{1}{t} < 1 - a_i a_j^{-1} < 1$. So for a given c from a bounded

subset of U_k , $\exists g$ from a bounded subset of N^- s.t.

$$g a g^{-1} a^{-1} U_{k+1} = c U_{k+1}. \quad \square$$

Proof of theorem 1 Let $g = n_- d n_+$ where $n_- \in N^-$, $n_+ \in N^+$, and

$d \in A$. So $g a_0^k = (n_- d a_0^k) (a_0^{-k} n_+ a_0^k)$. By the previous

lemma, \exists a compact subset C_1 of N_- such that $n_- d a_0^k = u_- d a_0^k u_-^{-1}$

for some $u_- \in C_1$. Now applying Proposition' for $C := C_1$ and

(small) nbhds O_A, O_G of I in A and $SL_n(\mathbb{R})$ we get a nbhd

U_G of I such that:

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$$\forall u_- \in C_{\pm}, d \in A_{\pm}, u_- a' u_-^{-1} U_G \subseteq u_- \{g' a' d' g'^{-1} \mid g' \in \mathcal{O}_G, d' \in \mathcal{O}_A\} u_-^{-1}.$$

Now, for large enough k , ① $d a_0^k \in A_{\pm}$,

$$\text{② } a_0^{-k} n_+ a_0^k \in U_G.$$

$$\text{Therefore } n_- d n_+ a_0^k = (n_- d a_0^k) (a_0^{-k} n_+ a_0^k)$$

$$\in (u_- d a_0^k u_-^{-1}) U_G \subseteq u_- \{g' d a_0^k d' g'^{-1} \mid g' \in \mathcal{O}_G, d' \in \mathcal{O}_A\} u_-^{-1}.$$

Hence, for large enough k , $g a_0^k$ is \mathbb{R} -regular and the j^{th} eigenvalue of $g a_0^k$ is in $(\frac{1}{\eta} \lambda_j^k d_j, \eta \lambda_j^k d_j)$ where $d = \text{diag}(d_1, \dots, d_n)$.

Part ② Suppose $\{g x g^{-1} \mid g \in \text{SL}_n(\mathbb{R})\} \subseteq S_{a_0}$. (Just using

permutation matrices we can get a contradiction, but the

following proof works for other semisimple Lie groups.)

Suppose $E \subseteq S_{a_0}$ is Zariski-closed and $g E g^{-1} = E$ for

any $g \in \text{SL}_n(\mathbb{R})$. So $\mathcal{O} := \text{SL}_n(\mathbb{R}) \setminus E \supseteq N_- A N_+$ is G -invar.

under conjugation. Hence $N_+ N_- A N_+ \subseteq \bigcup_{n_+ \in N_+} n_+ \mathcal{O} n_+^{-1} = \mathcal{O}$.

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$$\Rightarrow \mathcal{O} \supseteq N_+ A N_- \cdot N_- A N_+ \quad (AN_- \text{ is a subgroup})$$

$$\text{Let } \bar{J} := N_- A N_+. \text{ So } \bar{J}^{-1} \bar{J} \subseteq \mathcal{O}.$$

A similar argument gives us $\bar{J}^{-1} \bar{J} \subseteq \mathcal{O}$ where

$$\bar{J} = N_- C_{SL_n(\mathbb{R})}(A) N_+.$$

Since \bar{J} is a Zariski-open, dense subset of $SL_n(\mathbb{R})$,

$$\forall g \in SL_n(\mathbb{R}), \bar{J}g \cap \bar{J} \neq \emptyset. \text{ So } g \in \bar{J}^{-1} \bar{J} \subseteq \mathcal{O}.$$

$$\Rightarrow \mathcal{O} = SL_n(\mathbb{R}), \text{ which contradicts } E \neq \emptyset. \quad \blacksquare$$

Lecture 7: Finding \mathbb{R} -regular elements in Γ

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Proposition. Let Γ be a lattice in $SL_n(\mathbb{R})$. Then for any $\lambda_1 > \dots > \lambda_n > 0$, $\lambda_1 \dots \lambda_n = 1$ and $\eta > 1$, there are positive integers $m_1 < m_2 < \dots$ and $\gamma_1, \gamma_2, \dots \in \Gamma$ such that the following hold:

① γ_i 's are \mathbb{R} -regular with positive eigen-values

$$\lambda_1(\gamma_i) > \lambda_2(\gamma_i) > \dots > \lambda_n(\gamma_i) > 0.$$

② $\frac{1}{\eta} \lambda_j^{m_i} < \lambda_j(\gamma_i) < \eta \lambda_j^{m_i}$

for $1 \leq j \leq n$.

Proof. Let $a_\circ := \text{diag}(\lambda_1, \dots, \lambda_n)$. By the corollary of the main proposition, we get a nbhd U_G of I in $SL_n(\mathbb{R})$

such that any element of $U_G a_\circ^{m_i} U_G$ satisfies ① and ②.

Let $U'_G := U_G \cap U_G^{-1}$ and consider $a_\circ^i U'_G \Gamma / \Gamma$.

Since all of them have the same measure, $\exists k_1 < k_2$ s.t.

$$a_\circ^{k_1} U'_G \Gamma \cap a_\circ^{k_2} U'_G \Gamma \neq \emptyset \Rightarrow \Gamma \cap U_G^{-1} a_\circ^{k_2 - k_1} U_G \neq \emptyset$$

Repeating this argument for powers of a_\circ , we get

$\exists m_1 < m_2 < \dots$ and $\gamma_i \in \Gamma \cap U_G^{-1} a_\circ^{m_i} U_G$ which completes the proof. ■



Lecture 7: Set of \mathbb{R} -regular elements of Γ

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Let $\Gamma^{(r)} := \{ \gamma \in \Gamma \mid \gamma \text{ is } \mathbb{R}\text{-regular} \}$. So the previous Proposition implies $\exists \gamma_0 \in \Gamma^{(r)}$ with positive eigenvalues.

Hence for any $\gamma_1 \in \Gamma \setminus S_{\gamma_0}$ (as in the theorem on translate of powers of \mathbb{R} -regular elements) we have

$$\gamma_1 \gamma_0^n \in \Gamma^{(r)} \text{ for any } n \geq_{\gamma_1, \gamma_0} 1.$$

Claim. $\exists \gamma \in \Gamma$ such that $\gamma \gamma_1 \gamma^{-1} \notin S_{\gamma_0}$.

Prf of claim If not, the Zariski-closure S of

$$\{ \gamma \gamma_1 \gamma^{-1} \mid \gamma \in \Gamma \} \subseteq S_{\gamma_0}. \text{ Since } S \text{ is } \Gamma\text{-invariant}$$

(under conjugation), by Borel's density theorem S is

$SL_n(\mathbb{R})$ -invariant (under conjugation). This contradicts

part ② of Theorem which says no conjugacy class is

a subset of S_{γ_0} . \square

Overall we get:

Corollary. $\forall \gamma_0 \in \Gamma^{(r)}, \gamma_1 \in \Gamma, \exists n_0 \in \mathbb{Z}^+, \forall \gamma \in \Gamma$ s.t.

$$\gamma \gamma_1 \gamma^{-1} \gamma_0^n \in \Gamma^{(r)} \text{ for } n \geq n_0.$$

Lecture 7: Trace rigidity of \mathbb{R} -regular elements implies trace rigidity (Step 2)

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Proof of Step 2 let $\gamma_0 \in \Gamma^{(m)}$ with positive eigen-value.

So for small values of t , $\rho_t(\gamma_0)$ is \mathbb{R} -regular with positive eigen-values. By the assumption, for any $n \in \mathbb{Z}^+$,

$\text{tr}(\gamma_0^n) = \text{tr}(\rho_t(\gamma_0)^n)$. Hence γ_0 and $\rho_t(\gamma_0)$ have the same eigen-values. Therefore $\exists g_t \in \text{SL}_n(\mathbb{R})$ s.t.

① $g_t \rightarrow I$, ② $g_t \rho_t(\gamma_0) g_t^{-1} = \gamma_0$. So after changing ρ_t

by a (continuous) conjugation, we can assume $\rho_t(\gamma_0) = \gamma_0$.

Further we can assume $\gamma_0 = \text{diag}(\lambda_1, \dots, \lambda_n)$ for $\lambda_1 > \dots > \lambda_n > 0$

(Changing Γ by a conjugation.)

For any $\gamma_1 \in \Gamma$, $\exists \gamma \in \Gamma$, $n \in \mathbb{Z}^+$ s.t.

$$\forall k \geq n, \quad \gamma \gamma_1 \gamma^{-1} \gamma_0^k \in \Gamma^{(m)}$$

$$\text{Hence } \text{tr}(\gamma \gamma_1 \gamma^{-1} \gamma_0^k) = \text{tr}(\rho_t(\gamma \gamma_1 \gamma^{-1}) \rho_t(\gamma_0)^k)$$

$$= \text{tr}(\rho_t(\gamma \gamma_1 \gamma^{-1}) \gamma_0^k). \quad \textcircled{*}$$

$$\text{Notice } \text{tr} \left(\begin{bmatrix} x_{11} & \dots & x_{1n} \\ \vdots & \ddots & \vdots \\ x_{n1} & \dots & x_{nn} \end{bmatrix} \begin{bmatrix} \lambda_1^k & & \\ & \ddots & \\ & & \lambda_n^k \end{bmatrix} \right) = \sum_{i=1}^n \lambda_i^k x_{ii}. \text{ As } \lambda_i \neq \lambda_j > 0,$$

by $\textcircled{*}$ we get the diag. entries of $\gamma \gamma_1 \gamma^{-1}$ and $\rho_t(\gamma \gamma_1 \gamma^{-1})$

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are the same. So $\text{tr}(\gamma \gamma_1 \gamma^{-1}) = \text{tr}(\rho_{\pm}(\gamma \gamma_1 \gamma^{-1}))$. Thus

$\text{tr}(\gamma_1) = \text{tr}(\rho_{\pm}(\gamma_1))$ for any $\gamma_1 \in \Gamma$. Therefore by Step 1

we are done. ■