

Lecture 25: Uniqueness of algebraic closure

Friday, March 2, 2018 12:39 PM

We were proving the following:

Theorem. Let F be a field, and E, E' be two algebraic closures of

F . Suppose $\sigma_0: F \rightarrow E'$ is an embedding. Then $\exists \tilde{\sigma}: E \xrightarrow{\sim} E'$, s.t.

$$\tilde{\sigma}|_F = \sigma_0.$$

We considered the set $\Sigma := \left\{ (K, \sigma) \mid \begin{array}{l} F \subseteq K \subseteq E, \\ \sigma: K \hookrightarrow E' \text{ s.t.} \\ \sigma|_F = \sigma_0 \end{array} \right\}$

We said $(K_1, \sigma_1) \preceq (K_2, \sigma_2)$ if $K_1 \subseteq K_2$ and $\sigma_2|_{K_1} = \sigma_1$.

Using Zorn's lemma, we proved Σ has a maximal element.

Say (K, σ) is a maximal element of Σ . Then we claimed:

$$K = E.$$

Pf. If not, $\exists \alpha \in E \setminus K$. Let $m_\alpha(x) \in F[x]$ be the minimal polynomial

of α over F . Since E is algebraically closed, $\exists \alpha = \alpha_0, \alpha_1, \dots, \alpha_{m-1} \in E$

s.t. $m_\alpha(x) = (x - \alpha)(x - \alpha_1) \cdots (x - \alpha_{m-1})$. And so $K[\alpha, \alpha_1, \dots, \alpha_{m-1}]$ is

the splitting field of $m_\alpha(x)$ over K . Thus, by part (1),

$\exists \tilde{\sigma}: K[\alpha, \alpha_1, \dots, \alpha_{m-1}] \hookrightarrow E'$, $\tilde{\sigma}|_K = \sigma$. Hence

$(K, \sigma) \neq (K[\alpha, \dots, \alpha_{m-1}], \tilde{\sigma})$, which is a contradiction.

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Claim. $\sigma: E \hookrightarrow E'$ is onto.

Pf. Let $K' := \sigma(E)$; and $\theta: K' \xrightarrow{\sim} E$, $\theta(a') = \sigma^{-1}(a')$.

Suppose $E' \neq K'$; and let $\alpha' \in E' \setminus K'$. As before, there is a subfield L' of E' which is the splitting field of the minimal poly. $m_{\alpha', K'}(x)$ of α' over K' . Then, by part (1), $\exists \tilde{\theta}: L' \hookrightarrow E$ st. $\tilde{\theta}|_{K'} = \theta$. This implies $\tilde{\theta}(\alpha') \in E = \theta(K') = \tilde{\theta}(K')$ which implies $\tilde{\theta}$ is not injective; and this is a contradiction. ■

A lot of mathematics is about understanding symmetries of an algebraic closure \overline{F} of F .

Def. Let E/F be a field extension. Then

$$\text{Aut}(E/F) := \{ \sigma: E \xrightarrow{\sim} E \mid \sigma|_F = \text{id.} \}.$$

Let's start with $\sigma \in \text{Aut}(\overline{F}/F)$, and assume $F \subseteq E \subseteq \overline{F}$ is a subfield. Then $\sigma|_E$ gives us an embedding of E into \overline{F} .

Q What can we say about $\sigma(E)$? Under what conditions

$$\sigma(E) = E?$$

Lecture 25: Algebraic elements under an embedding

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The following is the key observation:

Lemma. Let \bar{F} be an algebraic closure of F , $\sigma \in \text{Aut}(\bar{F}/F)$, and $\alpha \in \bar{F}$. If $f(\alpha) = 0$ for some $f(x) \in F[x]$, then $f(\sigma(\alpha)) = 0$.

(And so σ permutes zeros of any polynomial $f(x) \in F[x] \setminus F$.)

Pf is clear.

Lemma. Suppose \bar{F} is an algebraic closure of F , and $E \subseteq \bar{F}$

is a splitting field of $f(x) \in F[x] \setminus F$. Then for any

$\sigma \in \text{Aut}(\bar{F}/F)$, $\sigma(E) = E$.

Pf. By definition, $E = F(\alpha_1, \dots, \alpha_n)$ and $f(x) = c(x - \alpha_1) \cdots (x - \alpha_n)$

for some $\alpha_1, \dots, \alpha_n \in \bar{F}$. By the previous lemma σ induces

a permutation on $\{\alpha_1, \dots, \alpha_n\}$. Hence
$$\begin{aligned} \sigma(E) &= F(\sigma(\alpha_1), \dots, \sigma(\alpha_n)) \\ &= F(\alpha_1, \dots, \alpha_n) \\ &= E. \quad \blacksquare \end{aligned}$$

In order to get a kind of converse statement, we need the

following definition:

Def. Let $\mathcal{F} \subseteq F[x] \setminus F$ be a set consisting of monic polynomials.

Lecture 25: Normal extensions

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We say E is a splitting field of \mathcal{F} over F if

$$(1) \forall f \in \mathcal{F}, \exists \alpha_{i,f} \in E \text{ s.t. } f(x) = \prod_i (x - \alpha_{i,f})$$

(2) E is generated by F and $\alpha_{i,f}$'s as a field.

Theorem. Let F be a field, \bar{F} be an algebraic closure of F , and $F \subseteq E \subseteq \bar{F}$

be a subfield. Then the following statements are equivalent:

(a) $\forall \sigma \in \text{Aut}(\bar{F}/F), \sigma(E) = E.$

(b) $\forall \alpha \in E, \exists \alpha_1, \dots, \alpha_{n-1} \in F \text{ s.t. } m_{\alpha,F}(x) = (x - \alpha)(x - \alpha_1) \dots (x - \alpha_{n-1}).$

(c) E is a splitting field of a set $\mathcal{F} \subseteq F[x] \setminus F$ of monic polynomials.

(d) There are $F \subseteq E_i \subseteq \bar{F}$ s.t.

(1) E_i is a splitting field of $f_i(x) \in F[x] \setminus F.$

(in particular, $[E_i:F] < \infty$).

(2) $\forall i, j, \exists k \text{ s.t. } E_i \cup E_j \subseteq E_k.$

(3) $E = \bigcup_{i \in I} E_i.$

Pf. (a) \Rightarrow (b) Let $\alpha' \in \bar{F}$ be another zero of $m_{\alpha,F}(x)$. Then $\exists \theta: F[\alpha] \xrightarrow{\sim} F[\alpha'] \subseteq \bar{F}, \theta(\alpha) = \alpha'$. Then by the previous theorem $\exists \tilde{\theta} \in \text{Aut}(\bar{F}/F), \tilde{\theta}|_{F[\alpha]} = \theta$. Since $\tilde{\theta}(E) = E$, we deduce that $\tilde{\theta}(\alpha) = \theta(\alpha) = \alpha' \in E$; and the claim follows.

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(b) \Rightarrow (c). Let $\mathcal{F} := \{m_{\alpha, F}(x) \mid \alpha \in E\}$. Then E is a splitting field of \mathcal{F} over F , by definition.

(c) \Rightarrow (d). Let I be the set of all the finite subsets of \mathcal{F} .

And, for any $i \in I$, let $f_i(x) := \prod_{p \in i} p(x)$. Let E_i be the splitting field of $f_i(x)$ over F . Then, $E_i \subseteq E$; and for

any i, j , if $k = i \cup j$, then $E_k \supseteq E_i \cup E_j$. Using this

one can check that $\bigcup_{i \in I} E_i$ is a field. Since E is generated

by zeros of $f(x) \in \mathcal{F}$, we get $E \subseteq \bigcup_{i \in I} E_i$. And so

$$E = \bigcup_{i \in I} E_i.$$

(d) \Rightarrow (a) For any $\sigma \in \text{Aut}(\bar{F}/F)$, we have seen that $\sigma(E_i) = E_i$.

Therefore $\sigma(E) = \sigma\left(\bigcup_{i \in I} E_i\right) = \bigcup_{i \in I} \sigma(E_i) = \bigcup_{i \in I} E_i = E$;

and $\bigcup_{i \in I} E_i$ is a field. ■

Def. We say an extension E/F is a normal extension if

$E \subseteq \bar{F}$ (algebraic) and the statements of the previous

theorem hold.