

# Math 103B - HW-4 (solution)

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## Problem set

1. Suppose  $E$  is a finite integral domain of characteristic  $p$ . Let  $F_p : E \rightarrow E, F_p(x) := x^p$ . Prove that  $F_p$  is a ring isomorphism. (Long ago in class we proved that  $F_p$  is a ring homomorphism in any ring of characteristic  $p$  when  $p$  is prime. Go over your notes and rewrite that part of the argument as well. Notice that you have to argue why  $p$  is prime and why  $F_p$  is a bijection.)

*Proof.* Since  $E$  is finite ring, characteristic of  $E$  cannot be 0 (otherwise  $\{1, 1 + 1, \dots\}$  is infinite set in  $E$ ). Moreover, since  $E$  is a domain, we have seen in class that characteristic  $p$  has to be a prime number.

Note that using binomial theorem

$$F_p(x + y) = (x + y)^p = \sum_{i=0}^p \binom{p}{i} x^i y^{p-i} = x^p + y^p = F_p(x) + F_p(y)$$

, since  $p$  divides  $\binom{p}{r}$  for  $0 < r < p$ . Moreover, since  $E$  is commutative  $F_p(xy) = x^p y^p = F_p(x) F_p(y)$  for all  $x, y \in E$ . Thus  $F_p$  is a ring homomorphism.

Note that  $\text{Ker}(F_p) \subset E$  is an ideal since  $F_p$  is a ring homomorphism. However the only possible ideals in a field  $E$  (finite integral domain is a field) are  $\{0\}$  or  $E$ . Since  $F_p(1) = 1$ , we get that  $\text{Ker}(F_p) = \{0\}$  thus  $F_p$  is injective. Since  $E$  is finite,  $F_p$  is bijective hence an isomorphism.  $\square$

2. (a) Prove that the minimal polynomial of  $\alpha = \sqrt{1 + \sqrt{3}}$  is  $f(x) = x^4 - 2x^2 - 2$ .

*Proof.* Note that  $\alpha^2 - 1 = \sqrt{3}$ , hence  $(\alpha^2 - 1)^2 = 3$  which simplifies to  $f(\alpha) = 0$ . To show that  $f(x)$  is the minimal polynomial satisfying  $f(\alpha) = 0$ , we need to show  $f(x)$  is irreducible. We obtain this by applying Eisenstein's criterion for prime  $p = 2$ .  $\square$

- (b) Prove that  $\mathbb{Q}[\alpha] := \{c_0 + \dots + c_3 \alpha^3 \mid c_0, c_1, c_2, c_3 \in \mathbb{Q}\}$  is a subring of  $\mathbb{C}$ .

*Proof.* It is enough to show that  $\mathbb{Q}[\alpha]$  is closed under addition and multiplication. For any polynomial  $g(x) \in \mathbb{Q}[x]$ , by euclidean algorithm for polynomials there exists polynomials  $q(x), r(x) \in \mathbb{Q}[x]$  such that  $g(x) = q(x)f(x) + r(x)$  where  $\deg(f) > \deg(r)$ . We apply it in our situation by noting that any polynomial in  $\alpha$  (call it  $g(\alpha)$ ),  $g(\alpha) = f(\alpha)q(\alpha) + r(\alpha) = r(\alpha)$  since  $f(\alpha) = 0$ , where degree of  $r(x)$  is less than 3. That is to say  $g(\alpha) = r(\alpha) = a_0 + a_1\alpha + a_2\alpha^2 + a_3\alpha^3 \in \mathbb{Q}[\alpha]$ .

Multiplication or addition in  $\mathbb{Q}[\alpha]$  is a polynomial in  $\alpha$  hence by above argument it can be represented by elements in  $\mathbb{Q}$ .  $\square$

(c) Prove that  $\mathbb{Q}[x]/\langle f(x) \rangle \cong \mathbb{Q}[\alpha]$ .

*Proof.* Let  $\phi_\alpha : \mathbb{Q}[x] \rightarrow \mathbb{C}$  be the evaluation homomorphism which takes any polynomial  $g(x)$  to  $g(\alpha) \in \mathbb{C}$ . Observe that from previous problem we note that image of  $\phi_\alpha$  is  $\mathbb{Q}[\alpha]$ . Moreover we know that  $\text{Ker}(\phi_\alpha) = \langle f(x) \rangle$ , so the required result follows from the first isomorphism theorem.  $\square$

(d) Write  $\alpha^{-1}$  in term of  $c_0 + c_1\alpha + c_2\alpha^2 + c_3\alpha^3$  with  $c_i \in \mathbb{Q}$ .

*Proof.* Observe that  $f(\alpha) = \alpha^4 - 2\alpha^2 - 2 = 0$ , thus by dividing  $\alpha$ , we obtain  $\alpha^3 - 2\alpha - \frac{2}{\alpha} = 0$  which implies

$$\alpha^{-1} = \frac{\alpha^3 - 2\alpha}{2}.$$

$\square$

(e) Write  $(1 + \alpha)^{-1}$  in the form  $c_0 + c_1\alpha + c_2\alpha^2 + c_3\alpha^3$  with  $c_i \in \mathbb{Q}$ .

*Answer.* Let  $g(y) = f(y - 1) = (y - 1)^4 - 2(y - 1)^2 - 2 = y^4 - 4y^3 + 4y^2 - 3$ , and note that  $g(\alpha + 1) = f(\alpha) = 0$ . Thus by the same procedure as before,  $y^3 - 4y^2 + 4y - \frac{3}{y} = 0$  for  $y = \alpha + 1$ , which implies

$$(\alpha + 1)^{-1} = \frac{(\alpha + 1)^3 - 4(\alpha + 1)^2 + 4(\alpha + 1)}{3}$$

$\square$

3. Suppose  $E$  is a finite field that contains  $\mathbb{Z}_3$  as a subring. Suppose there is  $\alpha \in E$  such that  $\alpha^3 - \alpha + 1 = 0$ . Let  $\phi_\alpha : \mathbb{Z}_3[x] \rightarrow E$  be the map of evaluation at  $\alpha$ .

(a) Prove that  $\ker \phi_\alpha = \langle x^3 - x + 1 \rangle$ .

*Proof.* Note that  $\mathbb{Z}_3$  is a field hence  $\mathbb{Z}_3[x]$  is a principle ideal domain (PID) and  $\phi_\alpha$  is a homomorphism. Thus  $\ker \phi_\alpha = \langle g(x) \rangle$  for some  $g(x) \in \mathbb{Z}_3[x]$ .

Let  $f(x) := x^3 - x + 1$ . Note that  $f(x)$  is irreducible since it degree 3 polynomial with no zeros. Moreover  $\phi_\alpha(f(x)) = f(\alpha) = 0$ , thus  $f(x) \in \ker \phi_\alpha = \langle g(x) \rangle$ , which implies  $f(x) = g(x)h(x)$ . Since  $f(x)$  is irreducible and  $g(x)$  is not a constant polynomial,  $h(x)$  is a (non-zero) constant as polynomial. Hence  $\ker \phi_\alpha = \langle f(x) \rangle$ .  $\square$

(b) Prove that  $\text{Im}\phi_\alpha = \{c_0 + c_1\alpha + c_2\alpha^2 \mid c_0, c_1, c_2 \in \mathbb{Z}_3\}$ .

*Proof.* Note that image of  $\phi_\alpha$  consists of all polynomials in  $\alpha$  (i.e  $g(\alpha) \in E$  where  $g(x) \in \mathbb{Z}_3[x]$ ). We have seen that euclidean algorithm for polynomials over any field, thus for any polynomial  $g(x) \in \mathbb{Z}_3[x]$ , there exists polynomials  $q(x), r(x) \in \mathbb{Z}_3[x]$  such that  $g(x) = q(x)f(x) + r(x)$  where  $3 \deg(f) > \deg(r)$ . Applying this to our situation, we see that  $g(\alpha) = r(\alpha) = c_0 + c_1\alpha + c_2\alpha^2$ , where  $c_i \in \mathbb{Z}_3$ .  $\square$

(c) Let us denote the image of  $\phi_\alpha$  by  $\mathbb{Z}_3[\alpha]$ . Prove that  $\mathbb{Z}_3$  is a finite field with 27 elements.

*Proof.* Note that  $c_0 + c_1\alpha + c_2\alpha^2 = 0$  implies  $c_0 = c_1 = c_2 = 0$  because  $f(x) = x^3 - x + 1$  is the minimal polynomial satisfying  $f(\alpha) = 0$ . Thus  $c_0 + c_1\alpha + c_2\alpha^2 = b_0 + b_1\alpha + b_2\alpha^2$  implies  $c_i = b_i$  for all  $i$ . Hence by using part (b) we conclude that  $\mathbb{Z}_3[\alpha]$  is in set theoretic bijection with  $\mathbb{Z}_3 \times \mathbb{Z}_3 \times \mathbb{Z}_3$  given by  $c_0 + c_1\alpha + c_2\alpha^2 \rightarrow (c_0, c_1, c_2)$ . Thus there are precisely  $3^3 = 27$  elements in  $\mathbb{Z}_3[\alpha]$ .

Note that  $\mathbb{Z}_3[\alpha]$  is a subring of the field  $E$  (since it is the image of a homomorphism), thus  $\mathbb{Z}_3[\alpha]$  is an integral domain. Since any finite integral domain is a field, we conclude  $\mathbb{Z}_3[\alpha]$  is a field.  $\square$

4. Suppose  $I$  and  $J$  are two ideals of a commutative ring  $R$ .

(a) Prove that  $I \cap J$  is an ideal of  $R$ .

*Proof.* Let  $a, b \in I \cap J$  and  $r \in R$ , then  $a, b \in I$  and  $a, b \in J$ . Since  $I$  and  $J$  are ideals,  $(a + b), ar$  are both in  $I$  and  $J$ , hence  $(a + b), ar \in I \cap J$ . Thus  $I \cap J$  is an ideal.  $\square$

(b) Let  $I + J := \{x + y \mid x \in I, y \in J\}$ . Prove that  $I + J$  is an ideal of  $R$ .

*Proof.* Let  $a = (x + y), b = (x' + y') \in I + J$  and  $r \in R$ , then  $a + b = (x + x') + (y + y') \in I + J$  and  $ar = (xr + yr) \in I + J$ . Hence  $I + J$  is an ideal.  $\square$

5. Suppose  $R$  is a unital commutative ring and  $x_1, \dots, x_n \in R$ .

(a) Let  $I = Rx_1 + Rx_2 + \dots + Rx_n = \{r_1x_1 + \dots + r_nx_n\}$ , where  $Rx_i = \langle x_i \rangle$ . Prove that  $I$  is an ideal.

*Proof.* The proof is nearly same as the proof of part(b) of the previous problem.  $\square$

(b) Prove that the ideal  $I$  is the smallest ideal that contains  $x_1, \dots, x_n$ .

*Proof.* Note that  $I$  contains  $x_1, \dots, x_n$  so we need to show that for any ideal  $J \subset R$  containing  $x_1, \dots, x_n$  we have  $I \subset J$ . Any element  $a \in I$  can be written as  $a = r_1x_1 + \dots + r_nx_n$ , we need to show that  $a \in J$ . This follows since  $x_i \in J$  and  $r_i \in R$ , we get  $r_ix_i \in J$  and hence  $\sum_{i=1}^n r_ix_i = a \in J$  since  $J$  is an ideal.  $\square$

6. Let  $I := \langle 2, x \rangle = \{2f(x) + xg(x) : f, g \in \mathbb{Z}[x]\}$ . Prove that  $I$  is not a principal ideal. Deduce that  $\mathbb{Z}[x]$  is not a PID.

*Proof.* Suppose  $I = \langle h(x) \rangle$  for some  $h(x) \in \mathbb{Z}[x]$ . Note that  $2 = h(x)q(x)$  and  $x = h(x)r(x)$  for some  $q(x), r(x) \in \mathbb{Z}[x]$  because  $2, x \in I$ . We use  $2 = h(x)q(x)$  to conclude that  $\deg h(x) = 0$  as polynomial, thus  $h(x) = c$  where  $c|2$ . Moreover since  $x = h(x)r(x) = cr(x)$ , evaluating this equation at  $x = 1$ , we get  $1 = cr(1)$  where  $r(1) \in \mathbb{Z}$ , thus  $c = \pm 1$ .

Although since  $c \in I$ , there exists  $f(x), g(x) \in \mathbb{Z}[x]$  such that  $c = 2f(x) + xg(x)$ . Evaluating this equation at  $x = 0$  we get  $c = 2f(0) + 0g(0) = 2f(0)$ , since  $c = \pm 1$  and  $f(0) \in \mathbb{Z}$ , we get a contradiction.  $\square$

7. Suppose  $E$  is a finite field that contains  $\mathbb{Z}_p$  as a subring. Suppose  $a \in \mathbb{Z}_p^\times$ . Suppose there is  $\alpha \in E$  such that  $\alpha^p - \alpha + a = 0$ .

(a) Prove that  $\alpha + 1, \alpha + 2, \dots, \alpha + (p - 1)$  are zeroes of  $g(x) = x^p - x + a$ .

*Proof.* Note that since characteristic of  $E$  is  $p$ ,  $(\alpha + \beta)^p = \alpha^p + \beta^p$  for all  $\alpha, \beta \in E$ . Thus

$$(\alpha + i)^p - (\alpha + i) + a = \alpha^p - \alpha + a + i^p - i = 0,$$

since  $\alpha^p - \alpha + a = 0$  and by Fermat's little theorem  $i^p - i = 0$  for  $i \in \{0, 1, \dots, p - 1\}$ . Thus  $\alpha, \alpha + 1, \alpha + 2, \dots, \alpha + (p - 1)$  are zeroes of  $g(x) = x^p - x + a$   $\square$

(b) Prove that in  $E[x]$  we have

$$x^p - x + a = (x - \alpha)(x - \alpha + 1) \dots (x - \alpha + p - 1).$$

*Proof.* By using generalized factor theorem  $h(x) := (x - \alpha)(x - \alpha + 1) \dots (x - \alpha + p - 1)$  divides  $g(x) = x^p - x + a$  since  $\alpha, \alpha + 1, \alpha + 2, \dots, \alpha + (p - 1)$  are distinct zeros of  $g(x)$ . Observe that  $\deg h(x) = \deg g(x)$ , thus  $g(x) = ch(x)$ , and since leading term of both  $g(x)$  and  $h(x)$  are 1, we get  $g(x) = h(x)$  as required.  $\square$

(c) Suppose  $f(x)$  is a (monic) divisor of  $g(x) = x^p - x + a$ . Argue why  $f(x) = (x - \alpha - i_1) \dots (x - \alpha - i_d)$  for some  $i_1, \dots, i_d \in \mathbb{Z}_p$ .

*Proof.* We can write  $g(x) = f(x)t(x)$  for some polynomial  $t(x) \in E[x]$ . Since  $g(\alpha + i) = 0$  for  $i \in \{0, 1, \dots, p - 1\}$ , for each  $i$ , either  $f(\alpha + i) = 0$  or  $t(\alpha + i) = 0$ . Let  $S = \{i \in \mathbb{Z}_p : f(\alpha + i) = 0\}$  and  $T = \{i \in \mathbb{Z}_p : t(\alpha + i) = 0\}$ , thus  $S \cup T = \{0, 1, \dots, p - 1\}$ .

By generalized factor theorem,

$$q_1(x) \prod_{i \in S} (x - \alpha - i) = f(x)$$

$$q_2(x) \prod_{i \in T} (x - \alpha - i) = t(x)$$

and we have  $\deg f(x) = |S| + \deg q_1(x)$  and  $\deg t(x) = |T| + \deg q_2(x)$ . We also know  $\deg f(x) + \deg t(x) = \deg g(x) = p$ , we get  $|S| + |T| + \deg q_1(x) + \deg q_2(x) = p = |S \cup T|$  which is only possible when  $\deg q_i = 0$  for  $i = 1, 2$  and  $S \cap T = \{\}$ . In particular we get  $f(x) = \prod_{i \in S} (x - \alpha - i)$  as required.  $\square$

(d) Show that coefficient of  $x^{d-1}$  of  $f$  is  $-(d\alpha + i_1 + \cdots + i_d)$ .

*Proof.* We have  $f(x) = (x - \alpha - i_1) \cdots (x - \alpha - i_d)$ , simply by expanding the polynomial we see that coefficient of  $x^{d-1}$  is  $-(\alpha + i_1) - \cdots - (\alpha + i_d) = -(d\alpha + i_1 + \cdots + i_d)$ .  $\square$

(e) Suppose  $f(x) \in \mathbb{Z}_p[x]$  is a divisor of  $x^p - x + a$  and  $0 < \deg f < p$ . Prove that  $\alpha \in \mathbb{Z}_p$ .

*Proof.* Note that  $f(x) \in \mathbb{Z}_p[x]$  implies that coefficient of  $x^{d-1}$  is in  $\mathbb{Z}_p$ . Thus by part (b),  $d\alpha + i_1 + \cdots + i_d \in \mathbb{Z}_p$  which implies  $\alpha \in \mathbb{Z}_p$  since  $i_1, \dots, i_d \in \mathbb{Z}_p$  and  $0 \neq d \in \mathbb{Z}_p$  (we have used that fact that  $\mathbb{Z}_p$  is a field).  $\square$

(f) Use previous part and Fermat's little theorem to get a contradiction, and deduce that  $x^p - x + a$  is irreducible.

*Proof.* Suppose  $f(x)$  is a divisor of  $x^p - x + a$  such that  $0 < \deg f < p$ , then by previous part  $\alpha \in \mathbb{Z}_p$ . By Fermat's theorem, we know  $\alpha^p - \alpha = 0$  which is a contradiction because  $\alpha$  is a zero of  $x^p - x + a$  (that is  $\alpha^p - \alpha + a = 0$ ) and  $a \in \mathbb{Z}^\times$ .  $\square$